

National Stock Exchange of India Limited

Circular

DEPARTMENT: INSPECTION

Download Ref No: NSE/INSP/72118	Date: January 01, 2026
Circular Ref. No: 01/2026	

To All Trading Members,

Sub: Quarterly Cyber Incident reporting under Cyber Security & Cyber Resilience Framework for Regulated Entities (REs)

This is with reference to SEBI circular no. SEBI/HO/ ITD-1/ITD_CSC_EXT/P/CIR/2024/113 dated August 20, 2024 and Exchange circular no. NSE/INSP/63502 dated August 21, 2024 on Cyber Security & Cyber Resilience framework for SEBI Regulated Entities (REs).

In view of the above, REs/trading members are required to report Cyber Incident(s) for the quarter ending December 31, 2025, through member portal on or before January 15, 2026. The path for online submission of the Quarterly Cyber Incident Report is given below.

ENIT > ENIT-NEW-TRADE > Trade > Incident Report > Quarterly Report Submission.

In addition to the above for reporting of Immediate Cyber Incident, REs/trading members are requested to refer to Exchange circular no. NSE/INSP/66040 dated January 08, 2025, on Standard Operating Procedure (SOP) for handling Cyber Security Incidents.

The guideline for submitting the Quarterly Cyber Incident Report is given in **Annexure 1**. Further, in case of any non-submission/delayed submission, disciplinary action as prescribed in Annexure 1.2 of Exchange Circular Ref No. NSE/INSP/70746 dated October 10, 2025 will be initiated. The details of Penalties/disciplinary action(s)/charges have been provided in **Annexure 2**.

In case of any query or support for cyber incident reporting, please reach us on email address:
DL-SYSCYB@nse.co.in

For and on behalf of
National Stock Exchange of India Limited

Prashant Aier
Chief Manager – Inspection

National Stock Exchange of India Limited

In case of any clarifications, Members may contact our below offices:

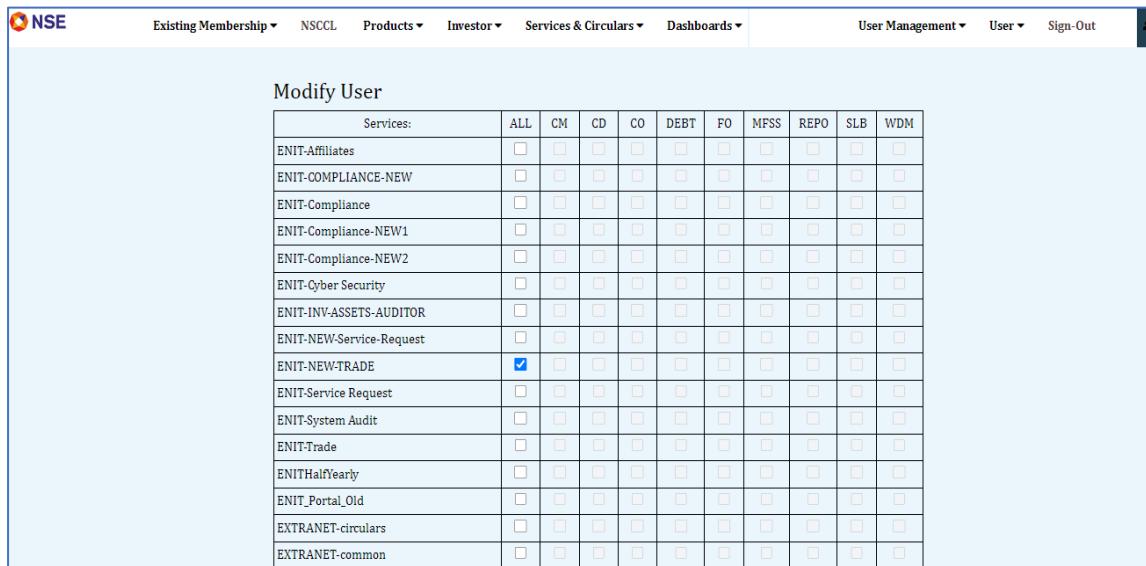
Regional Office	EMAIL ID	CONTACT NO.
Ahmedabad (ARO)	inspectionahm@nse.co.in	079- 49008632
Chennai (CRO)	inspection_cro@nse.co.in	044- 66309915 / 17
Delhi (DRO)	delhi_inspection@nse.co.in	011- 23459127 / 38 / 46
Kolkata (KRO)	inspection_kolkata@nse.co.in	033- 40400411 / 405
Mumbai (WRO)	compliance_wro@nse.co.in	022-26598200 / 022-61928200
Central Help Desk	compliance_assistance@nse.co.in	

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Annexure 1

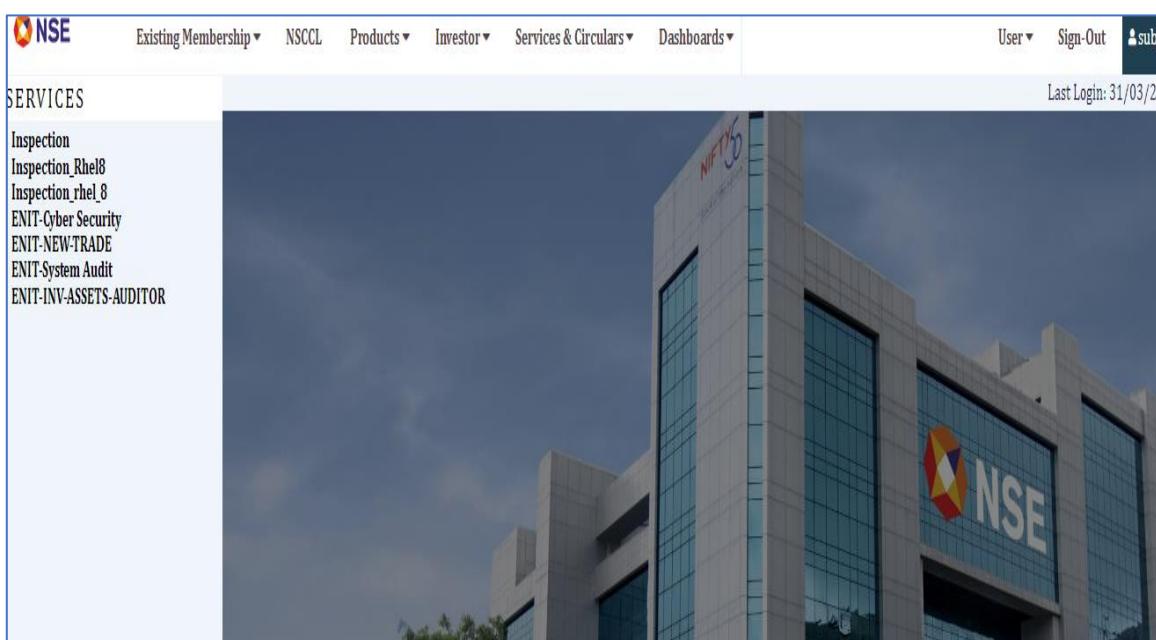
The guideline for submitting the Quarterly Cyber Incident Report

1. Admin needs to assign “ENIT New trade” role to designated officer in the Member Portal.



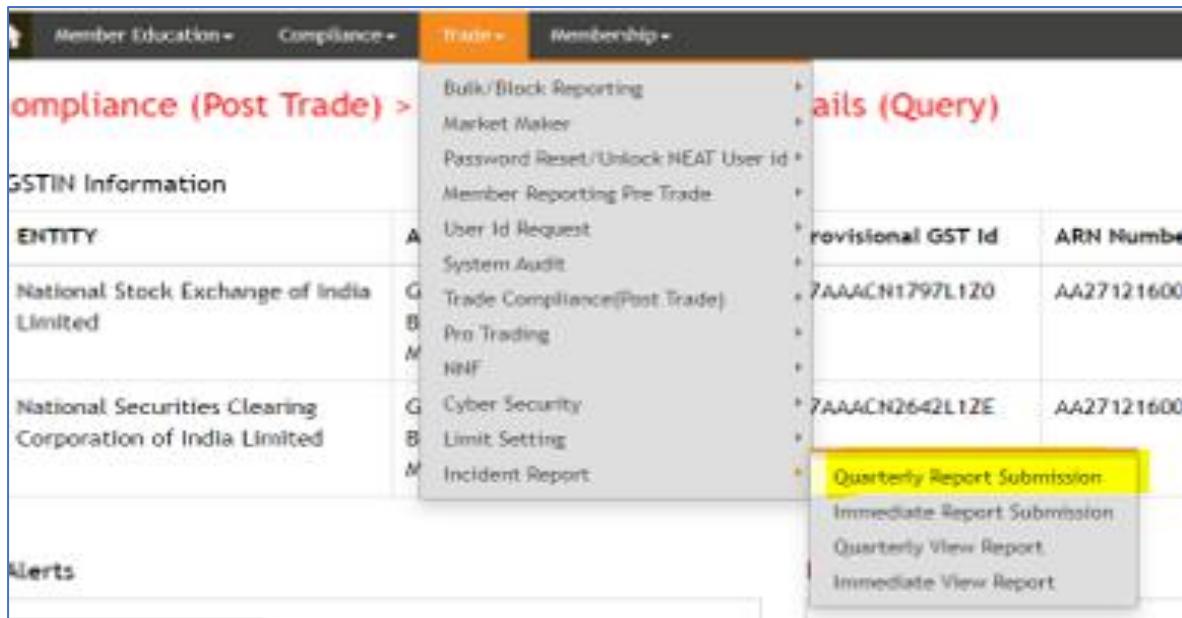
Services:	ALL	CM	CD	CO	DEBT	FO	MFSS	REPO	SLB	WDM
ENIT-Affiliates	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
ENIT-COMPLIANCE-NEW	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
ENIT-Compliance	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
ENIT-Compliance-NEW1	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
ENIT-Compliance-NEW2	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
ENIT-Cyber Security	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
ENIT-INV-ASSETS-AUDITOR	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
ENIT-NEW-Service-Request	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
ENIT-NEW-TRADE	<input checked="" type="checkbox"/>	<input type="checkbox"/>								
ENIT-Service Request	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
ENIT-System Audit	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
ENIT-Trade	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
ENITHalfYearly	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
ENIT_Portal_Old	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
EXTRANET-circulars	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
EXTRANET-common	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

2. Sub User id having above mentioned ‘ENIT NEW TRADE’ role can see below screen after login.
Click on ‘ENIT-NEW-TRADE’



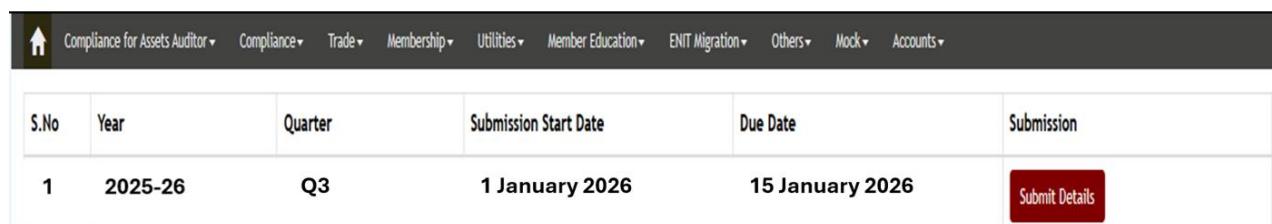
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3. Click on Trade > Incident Report > Quarterly Report Submission.



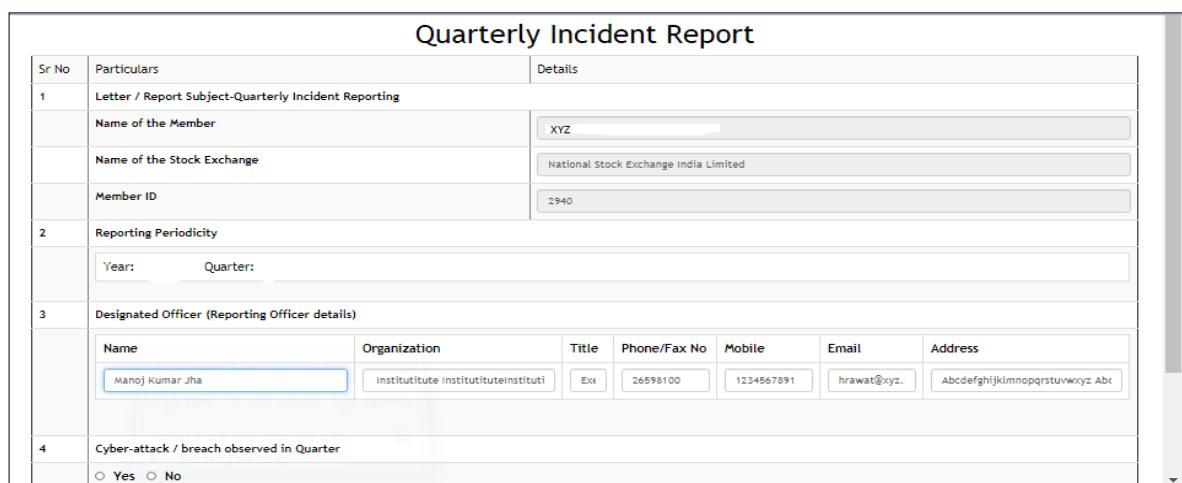
The screenshot shows the NSE Compliance (Post Trade) interface. The 'Trade' menu is active, and a dropdown menu is open, showing the 'Quarterly Report Submission' option highlighted in yellow. Other options in the dropdown are 'Immediate Report Submission', 'Quarterly View Report', and 'Immediate View Report'.

4. Click on 'Submit Details' button



S.No	Year	Quarter	Submission Start Date	Due Date	Submission
1	2025-26	Q3	1 January 2026	15 January 2026	Submit Details

5. Details for first 2 point will auto-populate in system. Enter Designated Officer details in 3rd point. Confirm in point no. 4 whether any breach observed by selecting 'Yes' or 'No'.



Quarterly Incident Report

Sr No	Particulars		Details				
1	Letter / Report Subject-Quarterly Incident Reporting						
	Name of the Member		XYZ				
	Name of the Stock Exchange		National Stock Exchange India Limited				
	Member ID		2940				
2	Reporting Periodicity						
	Year: Quarter:						
3	Designated Officer (Reporting Officer details)						
	Name	Organization	Title	Phone/Fax No	Mobile	Email	Address
	Manoj Kumar Jha	Institute	Ext	26598100	1234567891	hrawati@xyz..	Abcdefghijklmnopqrstuvwxyz Abc
4	Cyber-attack / breach observed in Quarter						
	<input type="radio"/> Yes <input type="radio"/> No						

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6. If 'No' is selected in Point no. 4, a new field of 'Nil Submission' will auto-populate. Click the 'Nil Submission' check Box.

Quarterly Incident Report

Sr No	Particulars		Details																			
1	Letter / Report Subject-Quarterly Incident Reporting																					
	Name of the Member		XYZ																			
	Name of the Stock Exchange		National Stock Exchange India Limited																			
	Member ID		1940																			
2	Reporting Periodicity																					
	Year: 2023-24 Quarter: Q2																					
3	Designated Officer (Reporting Officer details)																					
	<table border="1"> <tr> <th>Name</th> <th>Organization</th> <th>Title</th> <th>Phone/Fax No</th> <th>Mobile</th> <th>Email</th> <th>Address</th> </tr> <tr> <td>Manoj Kumar Jha</td> <td>Institute Institute/Instituti</td> <td>Exx</td> <td>28598100</td> <td>1234567891</td> <td>hravat@xyz.</td> <td>Abcdefghijklmnopqrstuvwxyz Abc</td> </tr> </table>		Name	Organization	Title	Phone/Fax No	Mobile	Email	Address	Manoj Kumar Jha	Institute Institute/Instituti	Exx	28598100	1234567891	hravat@xyz.	Abcdefghijklmnopqrstuvwxyz Abc						
Name	Organization	Title	Phone/Fax No	Mobile	Email	Address																
Manoj Kumar Jha	Institute Institute/Instituti	Exx	28598100	1234567891	hravat@xyz.	Abcdefghijklmnopqrstuvwxyz Abc																
4	Cyber-attack / breach observed in Quarter																					
	<input type="radio"/> Yes <input checked="" type="radio"/> No																					
5	Brief Information																					
	<input checked="" type="checkbox"/> Nil Submission																					

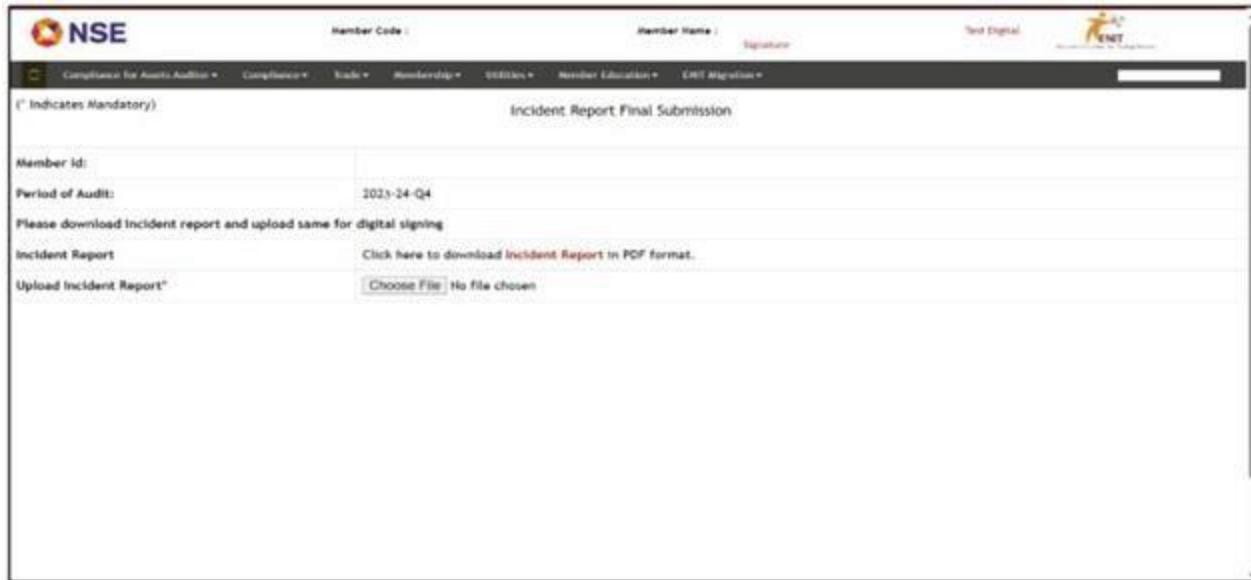
7. In case "No" is selected user will be directed to save the draft and upload the report. In case "Yes" is selected, user can save the details of incident as per below print screen. User can save data on clicking 'Save as Draft' and can submit data later. After filling in all required details, click on 'Generate PDF' button for submitting the report.

10	IP Address of apparent or suspected source														
	<table border="1"> <tr> <td>Select</td> <td>S.No.</td> <td>Source IP address</td> <td>Other information available</td> </tr> <tr> <td><input type="checkbox"/></td> <td>1.</td> <td></td> <td></td> </tr> </table>		Select	S.No.	Source IP address	Other information available	<input type="checkbox"/>	1.			<input type="button" value="Add Row"/> <input type="button" value="Delete Row"/>				
Select	S.No.	Source IP address	Other information available												
<input type="checkbox"/>	1.														
11	How many host(s) are affected														
	<input type="radio"/> 0 <input type="radio"/> 1 to 10 <input type="radio"/> 10 to 100 <input type="radio"/> More than 100														
12	Whether any action has been taken for mitigation and any preventive measures applied ?														
	<input type="radio"/> Yes <input type="radio"/> No														
	<input type="button" value="Save As Draft"/> <input style="border: 2px solid red;" type="button" value="Generate PDF"/>														

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8. On Clicking “Incident Report” a PDF report will get downloaded. Check the PDF report and upload the incident report on clicking ‘Choose File’ button.

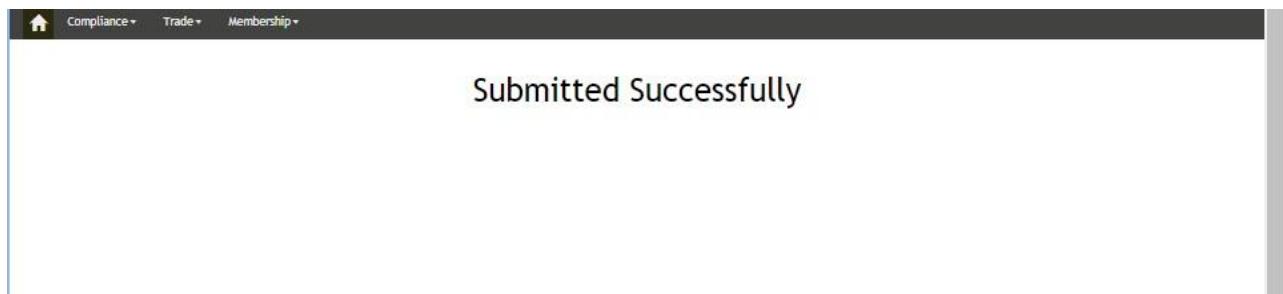
Please Note: To facilitate ease of submission for Quarterly/Immediate Incident Report the requirement of digital signature shall not be applicable.



9. After uploading PDF file, click on ‘Submit’ button.



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User can see the status of the report on the below path:

ENIT-NEW-TRADE >Trade > Incident Report > Quarterly View Report

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Annexure – 2

Indicative penalty for non-submission / late submission of cyber incident reporting (quarterly submission) within the time specified by the exchange.

The following late/non-submission penalty/ disciplinary actions would be initiated against the Member in this regard:

Details of Violation/contravention	Penalty/disciplinary actions	Penalty/disciplinary action in case of Repeat violation/contravention
Non-submission of Cyber Incident reporting (Quarterly Submission) within the time specified by the Exchange.	<p>1. Charges Rs. 1,500/- per day till first 7 calendar days or submission of report, whichever is earlier.</p> <p>2. Charges of Rs. 2,500/- per day from 8th calendar day to 21st calendar day or submission of report, whichever is earlier.</p> <p>3. In case of non-submission of report till 21st calendar days, new client registration shall be prohibited and notice of 7 calendar days for disablement of trading facility till submission of report, shall be issued.</p> <p>4. The disablement notice issued to the member will be shared with all the Exchanges for information.</p> <p>5. In case of non-submission of report by 28th calendar day, Member shall be disabled in all segments till submission of report.</p>	<p>2nd Time & Onwards- Levy of applicable monetary penalty along with an escalation of 50%.</p> <p>In case of non-submission of report till 21st calendar days, new client registration shall be prohibited and notice of 7 calendar days for disablement of trading facility till submission of report, shall be issued. The disablement notice issued to the member will be shared with all the Exchanges for information. In case of non-submission of report by 28th calendar day, Member shall be disabled in all segments till submission of report.</p>