

# National Stock Exchange of India Limited

## Circular

| DEPARTMENT: INSPECTION          |                         |
|---------------------------------|-------------------------|
| Download Ref No: NSE/INSP/71322 | Date: November 17, 2025 |
| Circular Ref. No: 68/2025       |                         |

To All Trading Members,

### **Sub: FATF Public statement on jurisdictions on call for action and increased monitoring – October 2025 Plenary**

This is with reference to Exchange Circular Ref. No. NSE/ISC/71297 dated November 14, 2025, on “FATF Public statement on jurisdictions on call for action and increased monitoring - October 2025 Plenary”.

In furtherance to the aforesaid Circular, all Members are required to update the actions taken by them in the prescribed format in FATF module in “ENIT New Compliance”. If the same is not applicable, Members may select ‘not applicable’ and submit the declaration. Detailed procedure has been explained in the user manual attached as **Annexure A**.

The due date for submission shall be Friday, December 5, 2025. All members are advised to comply with the aforesaid requirements within the prescribed timelines to avoid any penal charges/disciplinary actions for the non/late submission of the report as prescribed vide Exchange Circular Ref. No. NSE/INSP/70746 Dated October 10, 2025.

Members are advised to take note of the contents of the Circular and ensure compliance.

**For and on behalf of**  
**National Stock Exchange of India Limited**

**Kapil Marwah**  
**Associate Vice President**

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## National Stock Exchange of India Limited

In case of any clarifications, Members may contact our below offices:

| Regional Office   | CONTACT NO.                     | E MAIL ID                    |
|-------------------|---------------------------------|------------------------------|
| Ahmedabad (ARO)   | 079-65278024/55                 | inspectionahm@nse.co.in      |
| Chennai (CRO)     | 044- 66309915/17                | inspection_cro@nse.co.in     |
| Delhi (DRO)       | 011-23459146/ 127/144/ 147      | delhi_inspection@nse.co.in   |
| Kolkata (KRO)     | 033-40400412 / 59               | inspection_kolkata@nse.co.in |
| Mumbai (WRO)      | 022-61928200                    | compliance_wro@nse.co.in     |
| Central Help Desk | compliance_assistance@nse.co.in |                              |



National Stock Exchange Ltd.

User Manual for FATF Module

## About This MANUAL

### PURPOSE OF THIS

### MANUAL

This manual will enable the Trading Member to use FATF Module of the Electronic Member Interface User Module and provides detailed procedures for the same.

### HOW TO GET IN TOUCH

The following sections provide information on how to obtain support for the documentation and the software.

#### Documentation Support

NSE welcomes your comments and suggestions on the quality and usefulness of this document. For any questions, comments, or suggestions on the documentation, you can contact us at:

National Stock Exchange of India Limited.  
Exchange Plaza, Block G,  
Bandra-Kurla Complex, Bandra (East),  
Mumbai - 400051  
Tel - 022-26598100

#### Customer Support

If you have any problems, questions, comments, or suggestions regarding Electronic Member Interface User module, contact us at the address mentioned above. While contacting customer support, have the following information ready:

- Your NAME, Email address, phone number and FAX number
- The type of hardware including the server configuration and network hardware, if available
- The version of Electronic Member Interface User Module
- The name and version of the operating system

## STARTING

To start the 'Electronic Member Interface' portal, Trading Member first needs to log in to Member Portal using the 'User Id, Member Code and Password'.

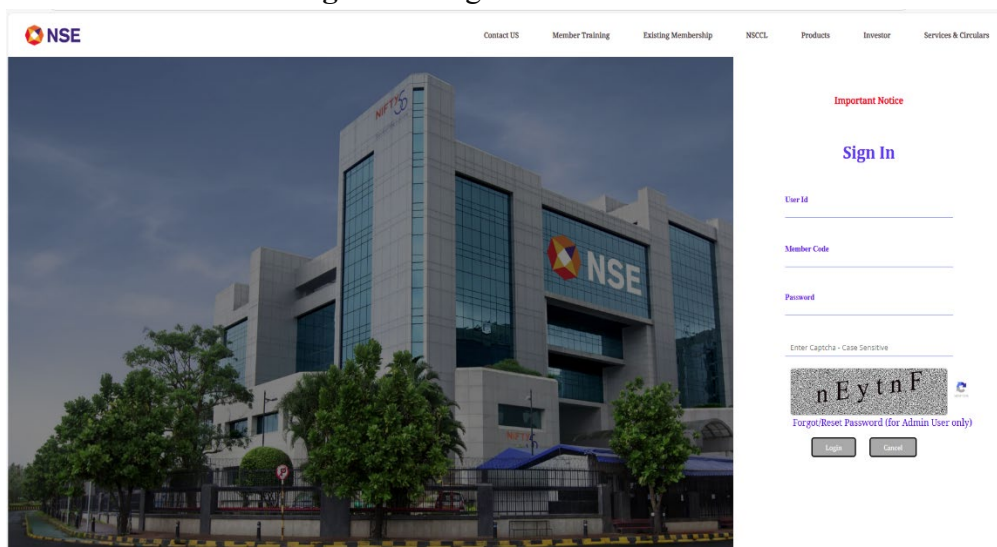
To start the electronic member interface user module

Open Internet Explorer browser from the desktop.

Type <https://www.connect2nse.com/MemberPortal/home.jsp>

Or <https://enit.nseindia.com/MemberPortal/> in the address bar and then click the **Go** button from the browser.

NSE Member Portal **Login** screen gets launched as below:



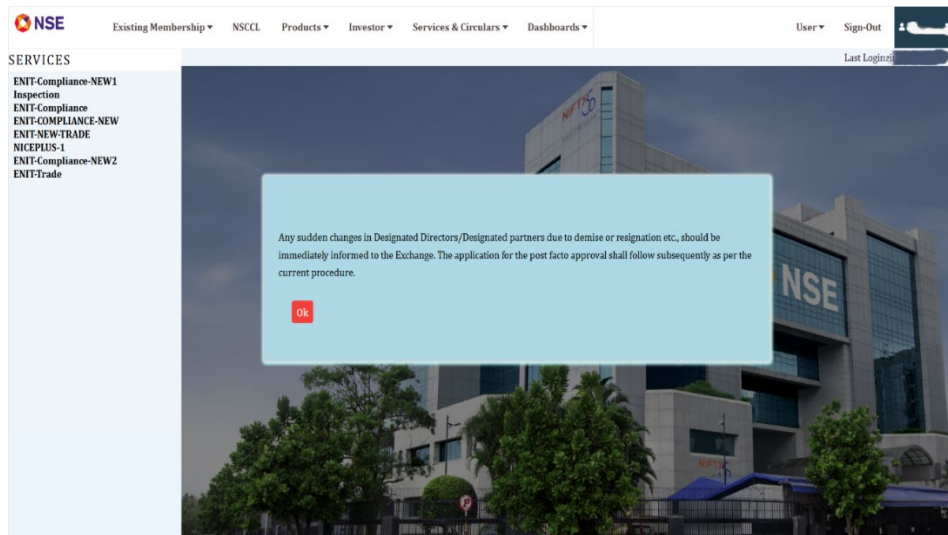
The screenshot shows the NSE Member Portal login interface. It includes a navigation bar with links like 'Contact US', 'Member Training', 'Existing Membership', 'NSCCL', 'Products', 'Investor', and 'Services & Circulars'. The main content area has a large image of the NSE building and a login form on the right. The form contains the following elements:

- Important Notice**: A red text label.
- Sign In**: A blue text label.
- User Id**: A text input field.
- Member Code**: A text input field.
- Password**: A text input field.
- Enter Captcha - Case Sensitive**: A label above a captcha image.
- Forgot/Reset Password (for Admin User only)**: A link below the captcha.
- Login** and **Cancel**: Two buttons at the bottom of the form.

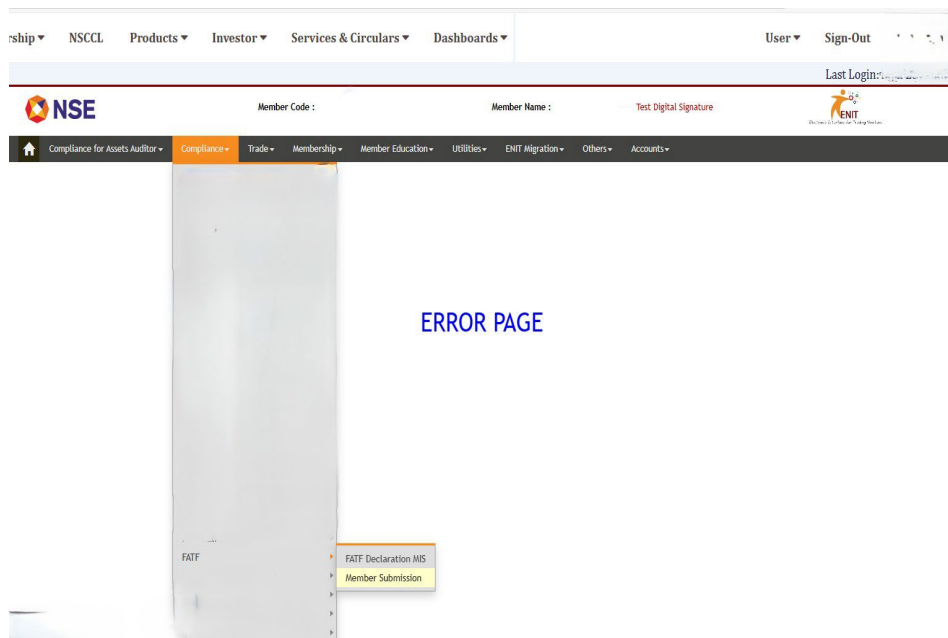
After entering the credentials, user needs to click on 'Submit' button to login.

|             |   |
|-------------|---|
| Userid      | Type the appropriate Userid in this field.<br>This field is alphanumeric. This field is mandatory.  |
| Member Code | Type the appropriate member code of the user in this field.<br>This field is numeric only.<br>This field should accept 5 digit correct member code.<br>This field is mandatory. |
| Password    | Type correct password in this field.<br>This field accepts alphanumeric & special characters.<br>This field is mandatory.   |

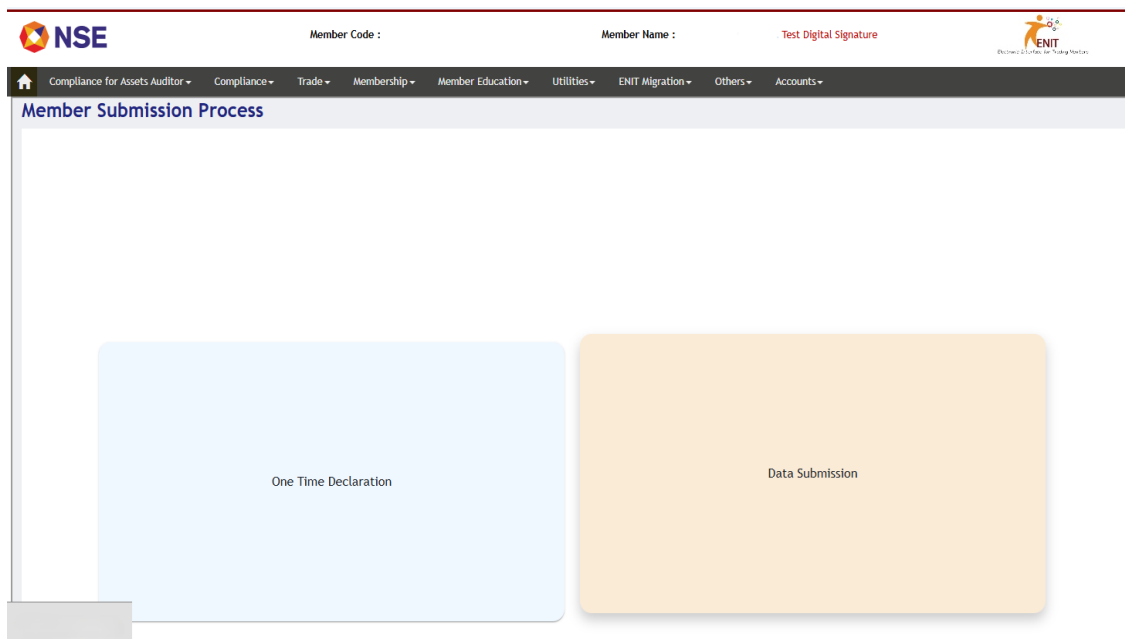
When the user logs in, the following screen will be displayed:



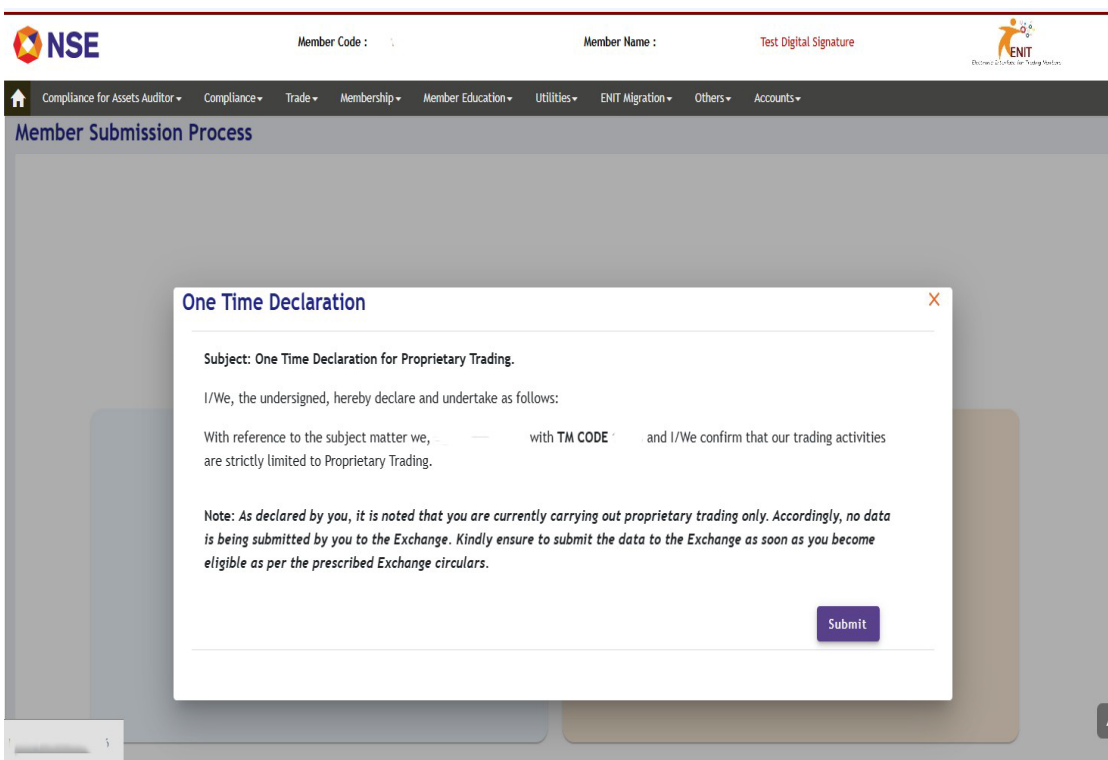
When Trading Member opens 'ENIT NEW COMPLIANCE' tab, the main screen '**Dashboard**' gets open:



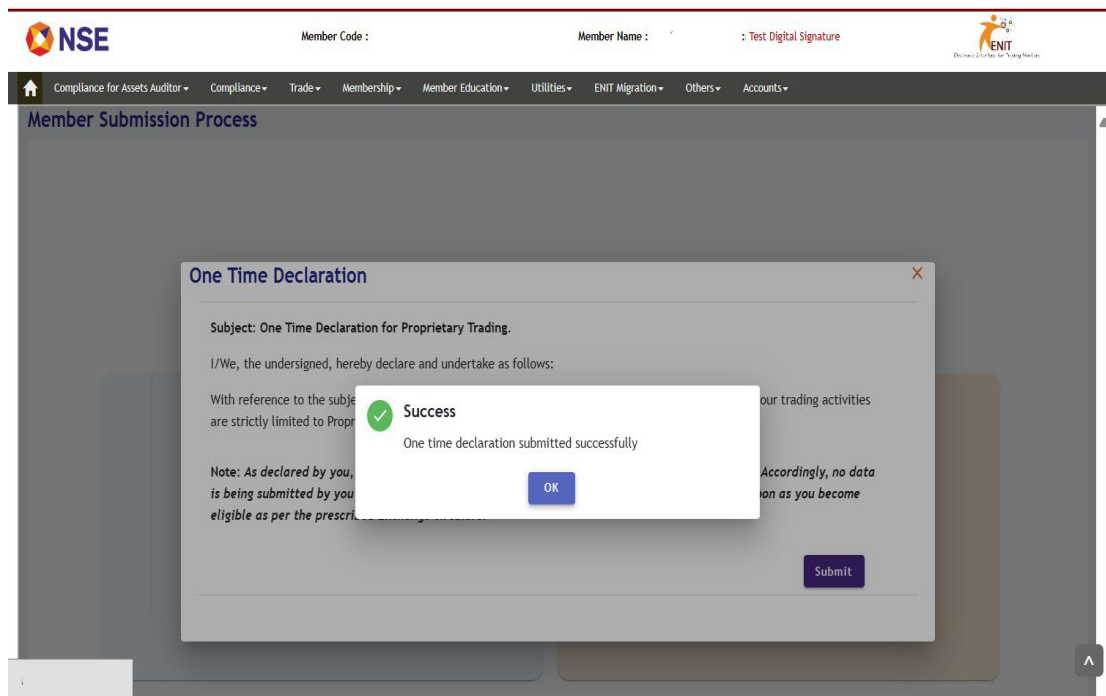
On clicking Member Submission, below page will appear, and Member can see two options “One time declaration” and “Data Submission.”



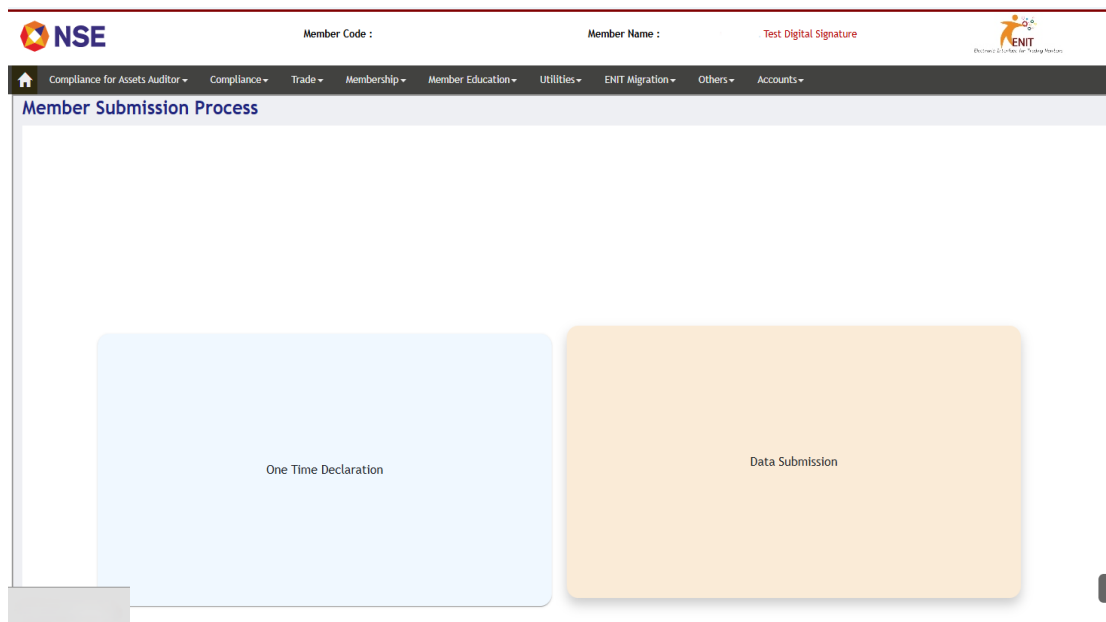
Members who are carrying out proprietary trading only and has no data to be submitted to the Exchange, may Submit “One time declaration” as below:



On the submission of “One time declaration” following pop-up will appear:



Other Members shall submit their declaration in “Data Submission” Tab.





Select the countries from the dropdown and its Applicability. If the “applicable” has been chosen, then the below page will appear

**FATF Declaration by Member**

|                                      |                               |                       |   |
|--------------------------------------|-------------------------------|-----------------------|---|
| Member Code<br>1                     | Member Name<br>[Redacted]     | Statement Date *<br>1 | X |
| Country *<br>Country cannot be blank | Applicability *<br>APPLICABLE | X                     |   |

[Save and View Declaration](#)

Note: Content of Action required may vary from country to country. The above screen is just for reference.

After filling all the details in the text area, click on the save and view declaration button. On clicking, the following pop-up will appear"

**FATF Declaration by Member**

| Member Code | Member Name | Declaration Date |
|-------------|-------------|------------------|
| IRAN        | IRAN        | APPLICABLE       |

| Country | As-Filed Requested  | As-Filed Taken by Member (With Speed/Exception) |
|---------|---|---|
| Iran    | Actions taken by you for supervising applicable sanctions based on FATF public statements issued by FATF and disseminated by the Exchange, along with policy / process adopted for the same | —   |
| Iran    | Also, please provide any if additional measures are being taken with respect to clients from the cited jurisdictions.   |   |

**Declaration Document:**

**Success**

The FATF request is saved successfully but yet not submitted. Please upload digitally signed declaration file and submit to Exchange.

[OK](#)

**Declaration Notes:**

- We confirm that we have taken actions required in the above template.
- We have carried out appropriate due diligence on existing clients.
- We have ensured we have appropriate alert mechanisms put in place to identify the business relationships and transactions with the cited jurisdictions.

**Digital Signature Process**

**NOTE:-**

1. Please select the process of signing declaration file from Digital Signature  
[or](#) [System Generated File](#) to download the file.

Then download the system generated pdf file and then click on sign pdf.

After that, select system generated pdf file from the download location and digitally sign the pdf. Post that, click on upload digitally signed pdf and select the signed pdf file.

Declaration Document:

To: Membership Compliance Department, National Stock Exchange of India Ltd.,

Pr Nov 14 15:34:58 IST 2025

**Info**  
Select  
● FATF\_Declaration\_Democratic\_Peoples\_Republic\_of\_Korea.pdf  
for signing  
OK

**Declaration Note:**

- We confirm that we have taken actions required in the above template.
- We have carried out appropriate due-diligence on existing clients.
- We have ensured we have appropriate alert mechanisms put in place to identify the business relationships and transactions with the cited jurisdictions.

**Digital Signature Process**

**NOTE:-**

- Please select the process of signing declaration file from Digital Signature
- Please click on "System Generated File" to download the file.
- Please click on Sign PDF to sign your digitally generated file and upload the same in Uploaded Digitally Signed File.
- After digitally signed file upload, please upload "Client Details" if applicable, or click on "Submit Request" to submit to exchange.

System Generated PDF file : [\\_FATF\\_Declaration\\_Democratic\\_Peoples\\_Republic\\_of\\_Korea.pdf](#)

Uploaded Digitally Signed Pdf : [Upload](#)

(Please upload Signed document with naming convention as AAAAA-signed.pdf where A stands for system generated filename.)

[SIGN PDF](#) [Edit](#)

At the time of upload, Naming convention of the document should be system generated file name followed by "-signed.pdf".

Post pdf file upload, an excel file is to be uploaded, as per the template provided. Members are required to download template excel, feed the data in the excel and upload the same excel file. After excel is attached, click on submit request. And naming convention of the excel file should be **TMCode\_FATF\_ClientDetails\_CountryName**, for example *XXXXX\_FATF\_ClientDetails\_Iran.xlsx*

Iran

Also, please provide any if additional measures are being taken with respect to clients from the cited jurisdictions.

→ to be completed with 5

Declaration Document:

This is a computer generated statement.

**Declaration Note:**

- We confirm that we have taken actions required in the above template.
- We have carried out appropriate due-diligence on existing clients.
- We have ensured we have appropriate alert mechanisms put in place to identify the business relationships and transactions with the cited jurisdictions.

**Digital Signature Process**

**NOTE:-**

- Please select the process of signing declaration file from Digital Signature
- Please click on "System Generated File" to download the file.
- Please click on Sign PDF to sign your digitally generated file and upload the same in Uploaded Digitally Signed File.
- After digitally signed file upload, please upload "Client Details" if applicable, or click on "Submit Request" to submit to exchange.

System Generated PDF file : [\\_FATF\\_Declaration\\_Iran.pdf](#)

Uploaded Digitally Signed Pdf : [\\_FATF\\_Declaration\\_Iran-signed.pdf](#)

(Please upload Signed document with naming convention as AAAAA-signed.pdf where A stands for system generated filename.)

Upload Client Details Excel File: [\\_FATF\\_ClientDetails\\_Iran.xlsx](#)

[Click Here To Download Template Excel File](#)

[SIGN PDF](#) [Submit Request](#)

When the request is successfully submitted a pop-up will be shown stating your request has been submitted successfully.

The screenshot shows the 'Declaration Document' section for Iran. A success pop-up is displayed in the center, stating: 'Success. The FATF request is submitted successfully with request reference No: FATF\_...\_Iran\_2025\_... on 14-Nov-2025. Please go to Compliance -> FATF -> FATF Declaration AIS for viewing the request. Also please submit the FATF for all Countries.' Below the pop-up, the 'Declaration Note' section contains three bullet points. The 'Digital Signature Process' section includes a 'NOTE:-' and four steps. The 'System Generated PDF File' section shows a file named '\_FATF\_Declaration\_Iran.pdf'. The 'Upload Digitally Signed Pdf' section shows a file named '\_FATF\_Declaration\_Iran-signed.pdf'. The 'Upload Client Details Excel File' section shows a file named '\_FATF\_ClientDetails\_Iran.xlsx'. At the bottom, there are buttons for 'SIGN PDF', 'Submit Request', and 'Edit'.

In case the FATF declaration is not applicable to the member, member needs to select 'Not applicable' as the applicability. Then Click on Save and view Declaration.

The screenshot shows the 'FATF Declaration by Member' form. It includes fields for 'Member Code', 'Member Name', 'Statement Date' (13-Nov-2025), 'Reference No' (FATF\_...\_Democratic Peoples Republic of Korea\_...), 'Country' (Democratic Peoples Republic of Korea), and 'Applicability' (NOT APPLICABLE). A 'Save and View Declaration' button is located at the bottom right.

On clicking “Save and View Declaration” on the pop up, the page below will appear, wherein the member has to select system generated pdf file from the download location and digitally sign the pdf. Post that, member has to click on upload digitally signed pdf and select the signed pdf file.

The screenshot shows the 'Declaration Document' section for the Democratic Peoples Republic of Korea. An info pop-up is displayed in the center, stating: 'Info. Select \_FATF\_Declaration\_Democratic\_Peoples\_Republic\_of\_Korea.pdf for signing'. Below the pop-up, the 'Declaration Note' section contains three bullet points. The 'Digital Signature Process' section includes a 'NOTE:-' and four steps. The 'System Generated PDF File' section shows a file named '\_FATF\_Declaration\_Democratic\_Peoples\_Republic\_of\_Korea.pdf'. The 'Upload Digitally Signed Pdf' section shows a file named '\_FATF\_Declaration\_Democratic\_Peoples\_Republic\_of\_Korea-signed.pdf'. At the bottom, there are buttons for 'SIGN PDF', 'Submit Request', and 'Edit'.

In case of Not-applicable, no excel file is required to be uploaded. After submitting the request, the following pop-up will appear:

The screenshot shows a web application for submitting FATF declarations. A central pop-up window displays a green checkmark and the text: "Success. The FATF request is submitted successfully with request reference No- FATF\_5 - I\_Democratic Peoples Republic of Korea\_2025 on 14.10.25. Please go to Compliance -> FATF -> FATF Declaration MIS for viewing the request. Also please submit the FATF for all Countries." Below the pop-up, the "Declaration Document" section shows a preview of a document with fields for "To:" (Membership Compliance Department, National Stock Exchange of India Ltd.) and a date stamp "Fri Nov 14 15:37:08 IST 2025". The "Declaration Note" section lists three bullet points: "We confirm that we have taken actions required in the above template.", "We have carried out appropriate due-diligence on existing clients.", and "We have ensured we have appropriate alert mechanisms put in place to identify the business relationships and transactions with the client." The "Digital Signature Process" section includes a "NOTE:-" with four steps: "Please select the process of signing declaration file from Digital Signature", "Please click on 'System Generated File' to download the file.", "Please click on Sign PDF to sign your digitally generated file and upload the same in Uploaded Digitally Signed file.", and "After digitally signed file upload, please upload 'Client Details' if applicable, or click on 'Submit Request' to submit to exchange." Below this, there are two sections: "System Generated PDF file:" with a download link for "\_FATF\_Declaration\_Democratic\_Peoples\_Republic\_of\_Korea.pdf" and "Uploaded Digitally Signed Pdf:" with a link for "\_FATF\_Declaration\_Democratic\_Peoples\_Republic\_of\_Korea-signed.pdf". A "SIGN PDF" button is located between these sections. At the bottom, there is a "Submit Request" button and an "Edit" button in the bottom right corner.

Above pop-up screen will appear, “Also please submit the FATF for all Countries” if there is any declaration yet to be submitted. Once the all declarations are submitted pop-up message will be shown as “You have already submitted all FATF declarations for all countries. No pending countries now.”

**“Edit” Functionality** has also been provided to rectify the incorrect submissions, if any. They can edit their declaration by clicking “Edit” tab down-right side of the screen.

**Member can only edit their declaration until the final submissions** If all declarations have been submitted, “Edit” functionality will be disabled and submissions cannot be edited.

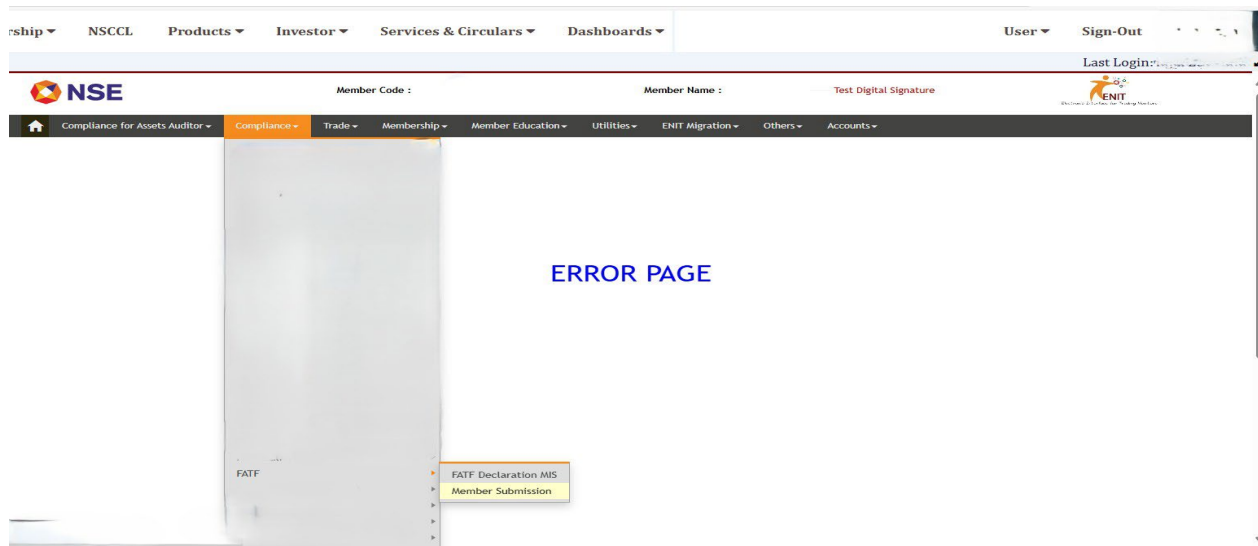
Below screen will be visible after submission of all declarations:

The screenshot shows the "FATF Declaration by Member" page on the NSEIL portal. The page header includes the NSE logo, "Member Code:", "Member Name:", and "Test Digital Signature". A navigation bar contains links for "Compliance for Assets Auditor", "Compliance", "Trade", "Membership", "Member Education", "Utilities", "ENIT Migration", "Others", and "Accounts". The main form area has fields for "Member Code", "Member Name", "Statement Date", "Country", and "Applicability". A central pop-up window displays an information icon and the text: "info. You have already submitted all FATF declarations for all countries. No pending countries now." Below the pop-up, there is an "OK" button. In the bottom right corner, there is an "Edit" button.

NSEIL

## FATF Declaration MIS

After submitting the request, the member can view the submitted request by clicking on FATF->FATF Declaration MIS.



After clicking on FATF Declaration MIS the following page will appear. Member can click on the Search Button of the MIS page and view all the requests submitted by the member or the member can also search for some specific data by entering data in the filter fields provided. Member can download the Excels, PDF Files from the MIS by clicking on the download button. Member can also see the summary data submitted by clicking on the reference number.

FATF DECLARATION MIS

Member Name

Request Reference No

Country

Member Code

Statement from User

Applicability

Request Status

SUBMIT

Statement to User

Request Type

Search

Reset

Export

Items per page: 10

1 - 3 of 3

| Sr No. | Request Ref.No                                       | Member Name | Member Code | Status | OTD (Yes/No) | Applicability  | CountryName                          | Submitted Date | As On Date | Download PDF | Download Excel |
|--------|--|-------------|-------------|--------|--------------|----------------|--------------------------------------|----------------|------------|--------------|----------------|
|        |  |             |             |        |              |                |                                      |                |            |              |                |
| 1      | FATF_Iran_2025_26762                                 |             |             | SUBMIT | No           | APPLICABLE     | Iran                                 |                |            | Download     | Download       |
| 2      | FATF_Democratic Peoples Republic of Korea_2025_26751 |             |             | SUBMIT | No           | NOT APPLICABLE | Democratic Peoples Republic of Korea |                |            | Download     |                |
| 3      | FATF_Democratic Peoples Republic of Korea_2025_26724 |             |             | SUBMIT | No           | APPLICABLE     |                                      |                |            | Download     | Download       |