

National Stock Exchange of India Limited

Circular

DEPARTMENT: INSPECTION	
Download Ref No: NSE/INSP/67367	Date: March 28, 2025
Circular Ref. No: 20/2025	

To All Trading Members,

Sub: Quarterly Cyber Incident reporting of Cyber Security & Cyber Resilience framework for Stockbrokers

This has reference to the SEBI circulars SEBI/HO/MIRSD/CIR/PB/2018/147 dated December 03, 2018, SEBI/HO/MIRSD/TPD/P/CIR/2022/93 dated June 30, 2022, and Exchange Circulars no. NSE/ITRC/40081 dated January 30, 2019, and NSE/INSP/53387 dated August 23, 2022 on Cyber Security & Cyber Resilience framework for Stock Brokers/Depository Participants.

In view of the above, members are required to report Cyber Incident(s) for the quarter ending March 31, 2025, through member portal on or before April 15, 2025. The path for online submission of the Quarterly Cyber Incident Report is given below.

ENIT > ENIT-NEW-TRADE > Trade > Incident Report > Quarterly Report Submission.

The guideline for submitting the Quarterly Cyber Incident Report is given in **Annexure 1**. Further, in case of any non-submission/delayed submission, disciplinary action as prescribed in **Annexure 2** will be initiated.

In case of any query or support for cyber incident reporting, please reach us on email address:
DL-SYSCYB@nse.co.in

**For and on behalf of
National Stock Exchange of India Limited**

**Ajinkya Nikam
Senior Manager – Inspection**

National Stock Exchange of India Limited

In case of any clarifications, Members may contact our below offices:

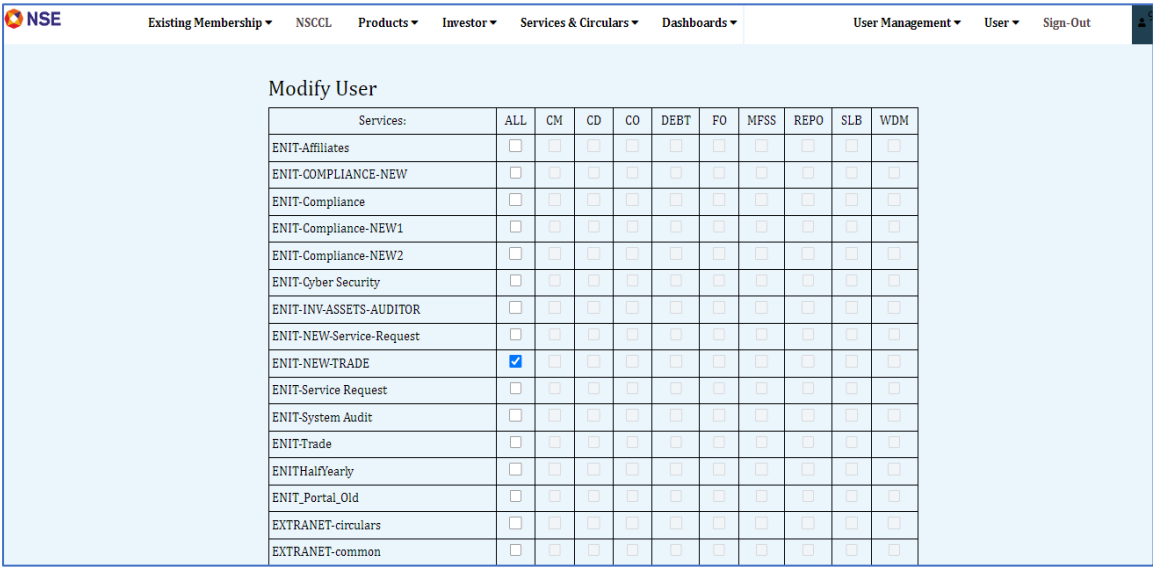
Regional Office	E MAIL ID	CONTACT NO.
Ahmedabad (ARO)	079- 49008632	inspectionahm@nse.co.in
Chennai (CRO)	044- 66309915 /17	inspection_cro@nse.co.in
Delhi (DRO)	011- 23459127 / 38 / 46	delhi_inspection@nse.co.in
Kolkata (KRO)	033- 40400412 / 59	inspection_kolkata@nse.co.in
Mumbai (WRO)	022- 26598200/61928200	compliance_wro@nse.co.in
Central Help Desk	compliance_assistance@nse.co.in	

National Stock Exchange of India Limited

Annexure 1

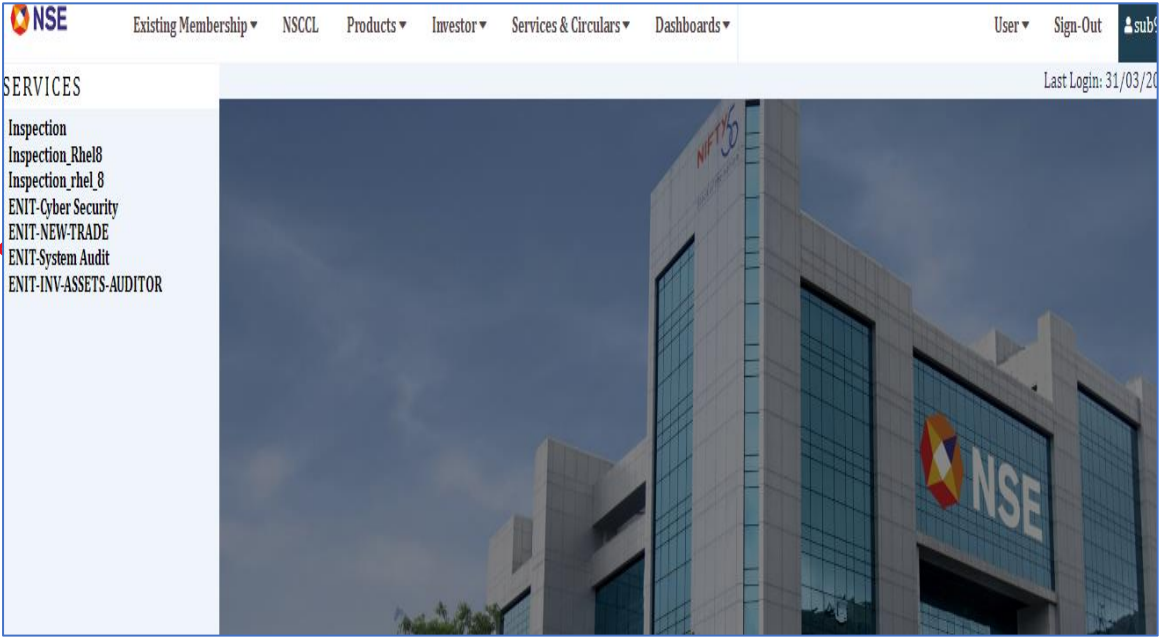
The guideline for submitting the Quarterly Cyber Incident Report

- Admin needs to assign “Enit New trade” role to designated officer in the Member Portal.



Services:	ALL	CM	CD	CO	DEBT	FO	MFSS	REPO	SLB	WDM
ENIT-Affiliates	☐	☐	☐	☐	☐	☐	☐	☐	☐	☐
ENIT-COMPLIANCE-NEW	☐	☐	☐	☐	☐	☐	☐	☐	☐	☐
ENIT-Compliance	☐	☐	☐	☐	☐	☐	☐	☐	☐	☐
ENIT-Compliance-NEW1	☐	☐	☐	☐	☐	☐	☐	☐	☐	☐
ENIT-Compliance-NEW2	☐	☐	☐	☐	☐	☐	☐	☐	☐	☐
ENIT-Cyber Security	☐	☐	☐	☐	☐	☐	☐	☐	☐	☐
ENIT-INV-ASSETS-AUDITOR	☐	☐	☐	☐	☐	☐	☐	☐	☐	☐
ENIT-NEW-Service-Request	☐	☐	☐	☐	☐	☐	☐	☐	☐	☐
ENIT-NEW-TRADE	☒	☐	☐	☐	☐	☐	☐	☐	☐	☐
ENIT-Service Request	☐	☐	☐	☐	☐	☐	☐	☐	☐	☐
ENIT-System Audit	☐	☐	☐	☐	☐	☐	☐	☐	☐	☐
ENIT-Trade	☐	☐	☐	☐	☐	☐	☐	☐	☐	☐
ENITHalfYearly	☐	☐	☐	☐	☐	☐	☐	☐	☐	☐
ENIT_Portal_Old	☐	☐	☐	☐	☐	☐	☐	☐	☐	☐
EXTRANET-circulars	☐	☐	☐	☐	☐	☐	☐	☐	☐	☐
EXTRANET-common	☐	☐	☐	☐	☐	☐	☐	☐	☐	☐

- Sub User id having above mentioned ‘ENIT NEW TRADE’ role can see below screen after login. Click on ‘ENIT-NEW-TRADE’



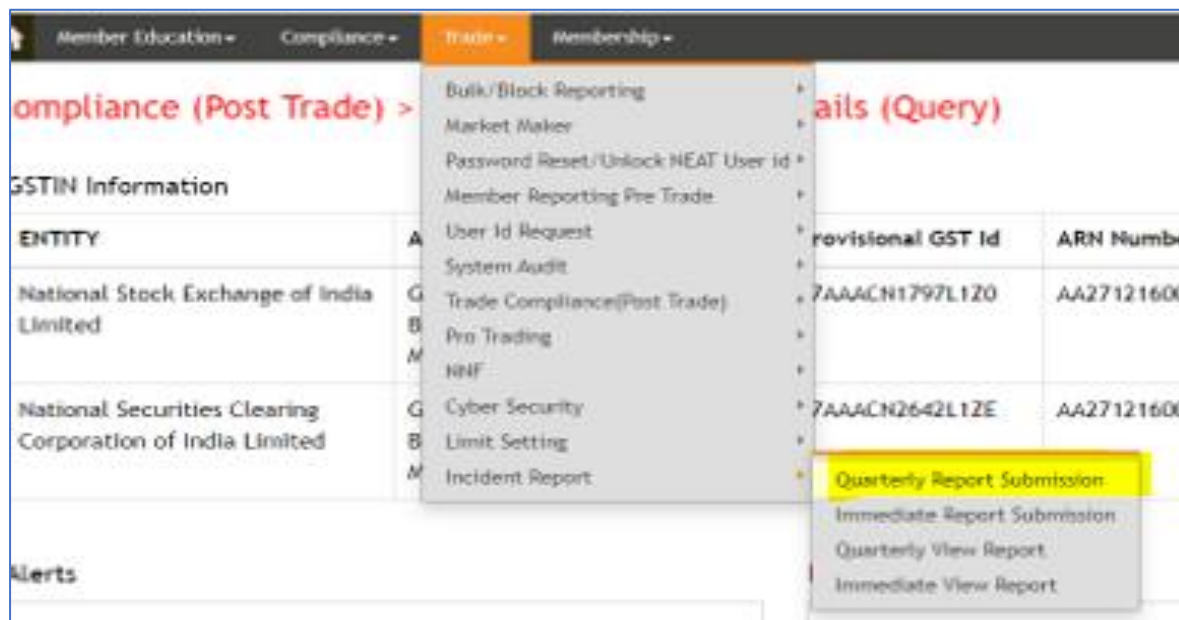
SERVICES

- Inspection
- Inspection_Rhel8
- Inspection_rhel_8
- ENIT-Cyber Security
- ENIT-NEW-TRADE
- ENIT-System Audit
- ENIT-INV-ASSETS-AUDITOR

Last Login: 31/03/20

National Stock Exchange of India Limited

3. Click on Trade > Incident Report > Quarterly Report Submission.



The screenshot shows the NSE member portal interface. The 'Trade' menu is open, displaying options like Bulk/Block Reporting, Market Maker, Password Reset/Unlock NEAT User Id, Member Reporting Pre Trade, User Id Request, System Audit, Trade Compliance(Post Trade), Pro Trading, NMF, Cyber Security, Limit Setting, and Incident Report. The 'Incident Report' option is selected, and its dropdown menu is visible, showing 'Quarterly Report Submission' as the highlighted option. Other options in the dropdown include Immediate Report Submission, Quarterly View Report, and Immediate View Report.

4. Click on 'Submit Details' button.

S.No	Year	Quarter	Submission Start Date	Due Date	Submission
1	2023-24	Q3	01-Jan-2024	15-Jan-2024	Submit Details

5. Details for first 2 point will auto-populate in system. Enter Designated Officer details in 3rd point. Confirm in point no. 4 whether any breach observed by selecting 'Yes' or 'No'.

Quarterly Incident Report							
Sr No	Particulars				Details		
1	Letter / Report Subject-Quarterly Incident Reporting						
	Name of the Member				XYZ		
	Name of the Stock Exchange				National Stock Exchange India Limited		
	Member ID				2940		
2	Reporting Periodicity						
	Year: Quarter:						
3	Designated Officer (Reporting Officer details)						
	Name	Organization	Title	Phone/Fax No	Mobile	Email	Address
	Manoj Kumar Jha	Institutute institututeinstituti	Exr	26598100	1234567891	hrawat@xyz.	Abcdefghijklmnopqrstuvwxyz Abc
4	Cyber-attack / breach observed in Quarter						
	☐ Yes ☐ No						

National Stock Exchange of India Limited

6. If 'No' is selected in Point no. 4, a new field of 'Nil Submission' will auto-populate. Click the 'Nil Submission' check Box.

Quarterly Incident Report

Sr No	Particulars	Details														
1	Letter / Report Subject-Quarterly Incident Reporting															
	Name of the Member	XYZ														
	Name of the Stock Exchange	National Stock Exchange India Limited														
	Member ID	1940														
2	Reporting Periodicity															
	Year: 2023-24 Quarter: Q2															
3	Designated Officer (Reporting Officer details)															
	<table border="1"> <thead> <tr> <th>Name</th> <th>Organization</th> <th>Title</th> <th>Phone/Fax No</th> <th>Mobile</th> <th>Email</th> <th>Address</th> </tr> </thead> <tbody> <tr> <td>Manoj Kumar Jha</td> <td>Institute InstituteInstitute</td> <td>Exi</td> <td>26598100</td> <td>1234567891</td> <td>hrawat@xyz.</td> <td>Abcdefghijklmnopqrstuvwxyz Abc</td> </tr> </tbody> </table>	Name	Organization	Title	Phone/Fax No	Mobile	Email	Address	Manoj Kumar Jha	Institute InstituteInstitute	Exi	26598100	1234567891	hrawat@xyz.	Abcdefghijklmnopqrstuvwxyz Abc	
Name	Organization	Title	Phone/Fax No	Mobile	Email	Address										
Manoj Kumar Jha	Institute InstituteInstitute	Exi	26598100	1234567891	hrawat@xyz.	Abcdefghijklmnopqrstuvwxyz Abc										
4	Cyber-attack / breach observed in Quarter															
	☐ Yes ☒ No															
5	Brief Information															
	☒ Nil Submission															

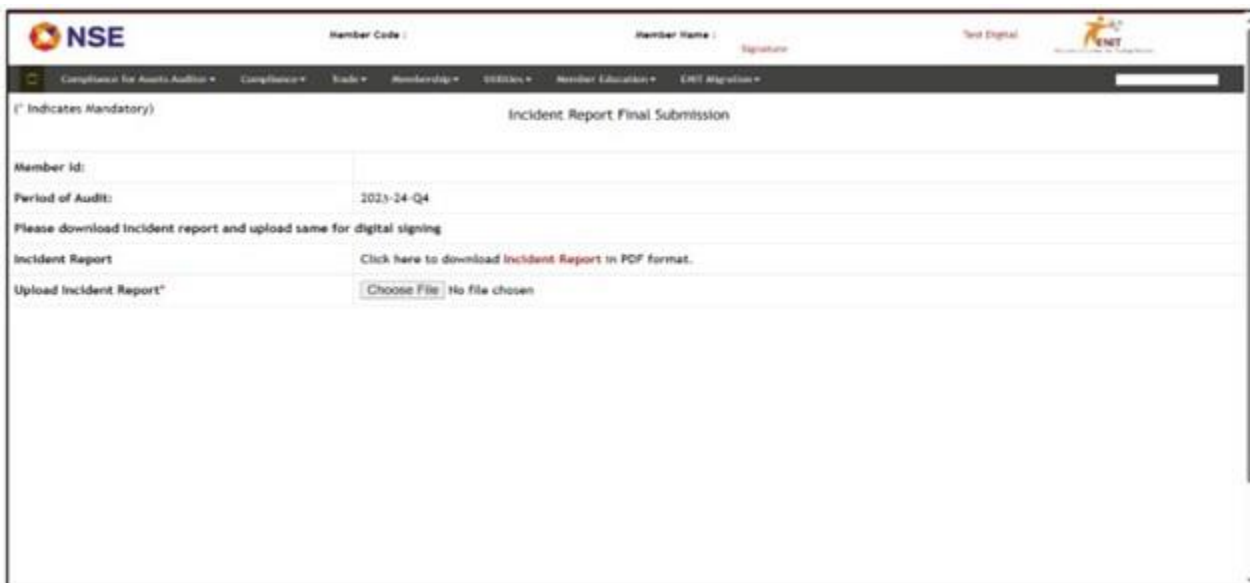
7. In case "No" is selected user will be directed to save the draft and sign and upload the report. In case "Yes" is selected, user can save the details of incident as per below print screen. User can save data on clicking 'Save as Draft' and can submit data later. After filling in all required details, click on 'Generate PDF' button to submit the report.

10	IP Address of apparent or suspected source								
	<table border="1"> <thead> <tr> <th>Select</th> <th>S.No.</th> <th>Source IP address</th> <th>Other information available</th> </tr> </thead> <tbody> <tr> <td>☐</td> <td>1.</td> <td></td> <td></td> </tr> </tbody> </table>	Select	S.No.	Source IP address	Other information available	☐	1.		
Select	S.No.	Source IP address	Other information available						
☐	1.								
	Add Row Delete Row								
11	How many host(s) are affected								
	☐ 0 ☐ 1 to 10 ☐ 10 to 100 ☐ More than 100								
12	Whether any action has been taken for mitigation and any preventive measures applied ?								
	☐ Yes ☐ No								
	Save As Draft Generate PDF								

National Stock Exchange of India Limited

8. On Clicking “Incident Report” a PDF report will get downloaded. Check the PDF report and upload the incident report on clicking ‘Choose File’ button.

Please Note: To facilitate ease of submission for Quarterly/Immediate Incident Report the requirement of digital signature shall not be applicable.



Member Code : Member Name : Signature Text Digital

Compliance for Audit/Auditor Compliance Trade Membership SEBI/ISIN Member Education CMT Application

(* Indicates Mandatory) Incident Report Final Submission

Member Id:

Period of Audit: 2023-24-Q4

Please download Incident report and upload same for digital signing

Incident Report Click here to download **Incident Report** in PDF format.

Upload Incident Report* Choose File No file chosen

9. After uploading PDF file, click on ‘Submit’ button.



Member Id:

Period of Audit: 2023-24-Q4

Please download Incident report and upload same for digital signing

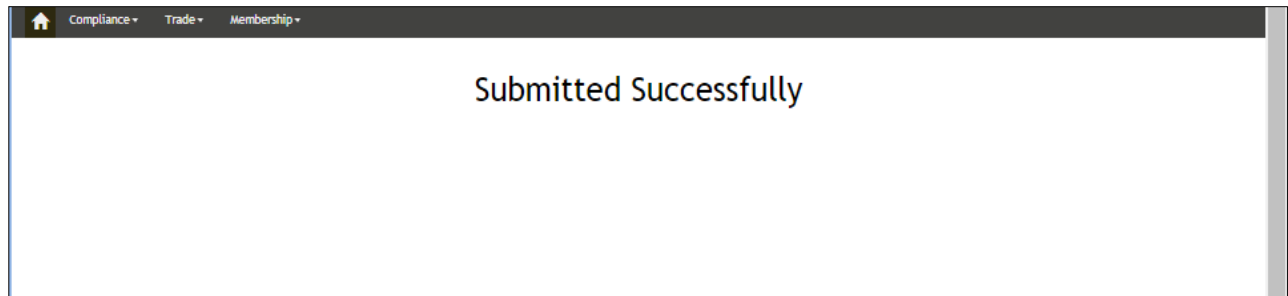
Incident Report Click here to download **Incident Report** in PDF format.

Upload Incident Report* Choose File Quarterly_1_173832.pdf

Submit

NSE Copyright © 2019

National Stock Exchange of India Limited



User can see the status of the report at below path:

ENIT-NEW-TRADE > Trade > Incident Report > Quarterly View Report

National Stock Exchange of India Limited

Annexure – 2

Indicative penalty for non-submission / late submission of cyber incident reporting (quarterly submission) within the time specified by the exchange.

The following late/non-submission penalty/ disciplinary actions would be initiated against the Member in this regard:

Details of Violation/contravention	Penalty/disciplinary actions	Penalty/disciplinary action in case of Repeat violation/contravention
Non-submission of Cyber Incident reporting (Quarterly Submission) within the time specified by the Exchange.	1. For 1st week after due date, Charges of Rs. 2,500/- per day 2. Charges of Rs. 5000/- per day from second week after due date 3. In case of non-submission within three weeks from the due date of submission, new client registration to be prohibited and notice of 7 days for disablement of trading facility till submission of data/report. The disablement notice issued to the member shall be shared with all the Exchanges for information. 4. In case of non-submission within four weeks from the due date of submission, Member shall be disabled in all segments	In case of a repeat instance by the Member, levy of applicable monetary penalty along with an escalation of 50%. In case of non-submission within three weeks from the due date of submission, new client registration to be prohibited and notice of 7 days for disablement of trading facility till submission of data/report. The disablement notice issued to the member shall be shared with all the Exchanges for information. In case of non-submission within three weeks from the due date of submission, Member shall be disabled in all segments till submission of data/report.