

National Stock Exchange of India Limited

Circular

DEPARTMENT: INSPECTION	
Download Ref No: NSE/INSP/66740	Date: February 19, 2025
Circular Ref. No: 10/2025	

To All Trading Members,

Sub: Reminder for submission of Action Taken Report pertaining to System Audit and Cyber Security & Cyber Resilience Audit of Trading Members

This is with reference to Exchange circular no.: NSE/INSP/64438 dated October 08, 2024, and Exchange circular no.: NSE/INSP/64439 dated October 08, 2024, regarding submission of System Audit Report & Cyber Audit and Cyber Resilience Audit of Trading Members.

Trading Members are required to take corrective action for each non-compliance reported by auditor in System & Cyber Audit Report and submit Action Taken Report (ATR) for non-compliances reported in the audit period ending September 30, 2024. On review of details of corrective action taken/submitted by trading member, the auditor shall submit the status of compliance as Compliant or Non-Compliant on ENIT through ATR, as per below mentioned timelines.

Audit Period	Due date for Submission
Half Yearly (April 2024 – September 2024)	Action Taken Report (ATR) (If Applicable)
	February 28, 2025

The link for submission of Action Taken Report is available on ENIT.

Trading members are requested to take note of Exchange circular NSE/INSP/58755 dated October 05, 2023, regarding “Revised Penalties/disciplinary action(s)/charges for System Audit Report and Cyber Security & Cyber Resilience Audit Report related submissions”.

All Trading Members are advised to take note of the above and comply.

**For and on behalf of
National Stock Exchange of India Limited**

**Abhijeet Sontakke
Associate Vice President - Inspection**

Note: The user manual for Cyber Audit ATR submission on ENIT is as follows:**For Trading Member:**ENIT -> NEW-TRADE-> Trade-> Cyber Security-> Cyber Audit Help File-> **ATR****For Auditor:**ENIT -> NEW-TRADE-> Trade-> Cyber Security-> Cyber Audit Help File-> **ATR****The user manual for System Audit ATR submission on ENIT is as follows:****For Trading Member:**ENIT -> NEW-TRADE -> Trade -> System Audit -> System Audit Help File -> **ATR****For Auditor:**ENIT -> NEW-TRADE -> Trade -> System Audit -> System Audit Help File -> **ATR****In case of any clarifications, Trading Members may contact our below offices:**

Regional Office	CONTACT NO.	EMAIL ID
Ahmedabad (ARO)	079- 49008632	inspectionahm@nse.co.in
Chennai (CRO)	044- 66309915 /17	inspection_cro@nse.co.in
Delhi (DRO)	011- 23459127 / 38 / 46	delhi_inspection@nse.co.in
Kolkata (KRO)	033- 40400412 / 59	inspection_kolkata@nse.co.in
Mumbai (WRO)	022- 26598200/61928200	compliance_wro@nse.co.in
Central Help Desk	compliance_assistance@nse.co.in	