

National Stock Exchange of India Limited

Circular

DEPARTMENT: INSPECTION	
Download Ref No: NSE/INSP/51985	Date: April 12, 2022
Circular Ref. No: 29/2022	

To All Members,

Sub: Guidelines for maintaining bank accounts by the Trading Members

Members' attention is drawn to Exchange circular NSE/INSP/51639 dated March 15, 2022, on Guidelines for maintaining bank accounts by the trading members.

As per said circular, members having bank accounts which are not in accordance with the guidelines stipulated in the said circular were directed to close such bank accounts by April, 15, 2022. Further, as per aforesaid circular, members can maintain the client bank accounts with banks stated in point (ii) and (iii) of said circular if member has obtained written confirmation from such bank(s) that Bank shall submit day wise account number wise end of day clear running balances and/or information/statement of all bank accounts maintained with such bank(s) to Exchange on daily/weekly basis as may be required by the Exchange. Members shall take confirmation from the bank(s) by April 15, 2022 for the existing bank accounts maintained by them and shall submit the same to Exchange.

Members are hereby informed that aforesaid timeline for closing existing bank accounts and obtaining confirmation from banks is extended till May 31, 2022.

Further, Exchange, in order to enable members to submit confirmation, has provided an online facility to members for submission of such confirmation through the inspection module of the member portal. The user manual for submission of the bank confirmation is enclosed as **Annexure -A**. Members are advised to submit the said confirmation on or before May 31, 2022. Further, members shall submit updated/ fresh confirmation to the Exchange within seven working days of opening of any new client bank account.

Members are requested to take note of the contents of the circular and comply.

National Stock Exchange of India Limited

**For and on behalf of
National Stock Exchange of India Limited**

**Mansi Chheda
Senior Manager – Inspection**

In case of any clarifications, Members may contact our below offices:

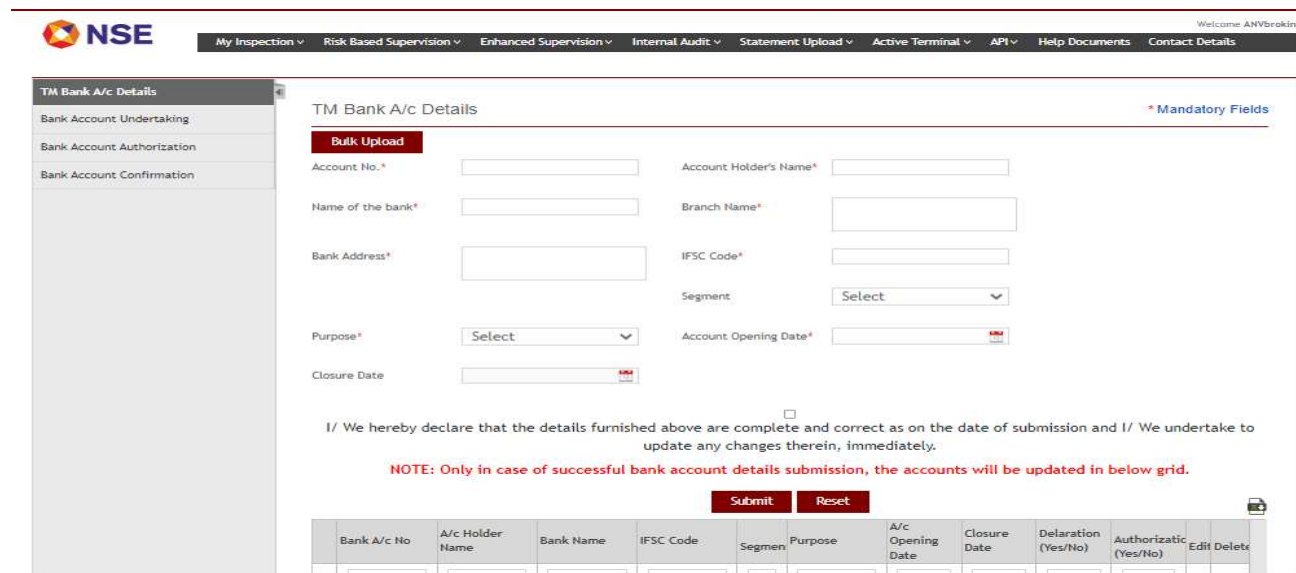
Regional Office	CONTACT NO.	E MAIL ID
Ahmedabad (ARO)	079-49008632	inspectionahm@nse.co.in
Chennai (CRO)	044- 66309915/17	inspection_cro@nse.co.in
Delhi (DRO)	011-23459127 / 38 / 46	delhi_inspection@nse.co.in
Kolkata (KRO)	033-40400411 / 405	inspection_kolkata@nse.co.in
Mumbai (WRO)	Board Line : 022-25045000 / 022-61928200 Direct Line : 022 2504 5138/022 25045144 Extn: 28144/28138	compliance_wro@nse.co.in
Central Help Desk	compliance_assistance@nse.co.in	

National Stock Exchange of India Limited

Annexure A

User manual for submitting the bank account confirmation:

- 1) Login using following URL
<https://inspection.nseindia.com/MemberPortal/>
- 2) Navigation: Inspection --> Enhanced Supervision --> Bank/DP Account Details --> TM Bank Account Details> Bank Account Confirmation



NSE My Inspection Risk Based Supervision Enhanced Supervision Internal Audit Statement Upload Active Terminal API Help Documents Contact Details

Welcome ANV Broking

TM Bank A/c Details * Mandatory Fields

Bulk Upload

Account No.* Account Holder's Name*

Name of the bank* Branch Name*

Bank Address* IFSC Code*

Purpose* Segment Select

Closure Date

I/ We hereby declare that the details furnished above are complete and correct as on the date of submission and I/ We undertake to update any changes therein, immediately.

NOTE: Only in case of successful bank account details submission, the accounts will be updated in below grid.

Submit **Reset**

Bank A/c No.	A/c Holder Name	Bank Name	IFSC Code	Segment	Purpose	A/c Opening Date	Closure Date	Declaration (Yes/No)	Authorization (Yes/No)	Edit Delete

- 3) Trading member is required to select the bank account number for which the bank confirmation letter received from bank is to be submitted.

National Stock Exchange of India Limited

Bank Account Undertaking
Bank Account Authorization
Bank Account Confirmation

TM Bank A/c Confirmation

[Confirmation_Template.docx](#)

	☐	Bank A/c No	A/c Holder Name	Bank Name	IFSC Code	Segme	Purpose	A/c Opening Date	Closure Date	Confirmation	Confirmti Date
1	☐	242621400485	SR	idfc	UTI800000004		CONSTITUENT (TM) BANK ACCOUNT	04-Apr-2022			N/A
2	☐	158324189952	JUN	punb	PUNB0105010		OWN / PROPRIETARY ACCOUNT	05-Apr-2022		Yes	06-Apr-2022
3	☐	206010200004	gaur	punb	PUNB0154788		CLIENT BANK ACCOUNT	06-Apr-2022		Yes	06-Apr-2022
4	☐	206010200005	TRAJESH SECURITIES PRIVATE LIMITED	axib	UTI800000206		CLIENT BANK ACCOUNT	09-Feb-2022			N/A
5	☐	009906100087	ANV BROKING BSE SETTLEMENT A/C	HDFC BANK	HDFC0000060	CM	SETTLEMENT ACCOUNT	15-Oct-2010			N/A
6	☐	157703400078	ANV BROKING CLIENT A/C BSE	HDFC BANK	HDFC0000060	CM	CLIENT BANK ACCOUNT	21-Oct-2010			N/A

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Select Confirmation File: *

Choose File
No file chosen

- 4) Upload the bank confirmation letter received from bank for respective bank accounts selected and click on submit tab. Confirmation letter should be in pdf format only and the nomenclature of the file name should be TMNAME_BANK NAME.

Bank Account Undertaking
Bank Account Authorization
Bank Account Confirmation

TM Bank A/c Confirmation

[Confirmation_Template.docx](#)

Select Confirmation File: *

Choose File
No file chosen

Submit
Reset