

## National Stock Exchange of India Limited

### Circular

| DEPARTMENT: INSPECTION           |                         |
|----------------------------------|-------------------------|
| Download Ref No: NSE/INSP/ 50758 | Date: December 23, 2021 |
| Circular Ref. No: 64/2021        |                         |

To All Trading Members,

#### **Sub: Reminder for Submission of Risk Based Supervision Data for the half year ended September 30, 2021**

This has reference to Exchange circulars reference no. NSE/INSP/50229 dated November 08, 2021 and NSE/INSP/50424 dated November 26, 2021 requiring all the members to collate data/details required for the risk assessment template and submit the same for the half year ended September 30, 2021 on or before December 31, 2021.

The submission of RBS data is mandatory for all active Members of the Exchange (i.e. those who have executed/cleared even a single trade during the aforementioned period). It is once again re-iterated that the submission is to be made electronically through the Inspection module in the Member Portal on or before due date to avoid any penal charges/disciplinary action as prescribed by Exchange Circular NSE/INSP/50229 dated November 08, 2021.

Members are advised to take note of the above and comply.

**For and on behalf of**

**National Stock Exchange of India Limited**

**Swati Sopare**

**Senior Manager – Inspection**

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## National Stock Exchange of India Limited

In case of any clarifications, Members may contact our below offices:

| Regional Office   | E MAIL ID                       |
|-------------------|---------------------------------|
| Ahmedabad (ARO)   | inspectionahm@nse.co.in         |
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