

National Stock Exchange of India Limited

Circular

DEPARTMENT: INSPECTION	
Download Ref No: NSE/INSP/50728	Date: December 21, 2021
Circular Ref. No: 62/2021	

To All Members,

Sub: Reminder for submission of Internal Audit Report for the half year ended September 30, 2021

This has reference to Exchange circulars Ref. No. NSE/INSP/50110 dated October 26, 2021, and NSE/INSP/50424 dated November 26, 2021, requiring all trading members/clearing members to carry out a complete internal audit for the half-year ended September 30, 2021.

In view of the above, members are reminded to submit the Internal Audit Report on or before December 31, 2021, through the Inspection module in the Member Portal in the prescribed format and comply with the regulatory requirements to avoid any penal charges/disciplinary action for the non/late submission of the report.

Members are advised to take note of the above and comply.

For and on behalf of
National Stock Exchange of India Limited

Harinatha Reddy M
Senior Manager - Inspection

In case of any clarifications, Members may contact our below offices:

Regional Office	E MAIL ID
Ahmedabad (ARO)	inspectionahm@nse.co.in
Chennai (CRO)	inspection_cro@nse.co.in
Delhi (DRO)	delhi_inspection@nse.co.in
Kolkata (KRO)	inspection_kolkata@nse.co.in
Mumbai (WRO)	compliance_wro@nse.co.in
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