

National Stock Exchange Of India Limited**Department : Inspection**

Download Ref No: NSE/INSP/48164

Date: May 03, 2021

Circular Ref. No: 27/2021

To All Trading Members,

Sub: FATF Public Statements after October 2020 and February 2021

SEBI vide emails informed the Exchange about the public statements released by the Financial Action Task Force (FATF) after October 2020 and February 2021 which inter-alia, stated that:

“As part of the on-going efforts to identify and work with jurisdictions with strategic AML / CFT deficiencies, FATF has released Public Statements with regard to –

- a) High risk jurisdictions subject to a call for action
- b) Jurisdictions under increased monitoring”

In view of the same, Exchange has updated the module- FATF on ‘ENIT New Compliance’ for October 2020 and February 2021 to capture the required information on the actions taken by members with regards to the jurisdictions mentioned by FATF. All members of the Exchange are required to update the actions taken by them in the sought format on the module- FATF. In case if the same is not applicable, then members have the provision to select ‘not applicable’ and submit an undertaking in this regard. Detailed procedure has been explained in the attached user manual (Annexure A).

The link for submission shall be available for October 2020 and February 2021 from May 04, 2021 and the due date for submission is May 14, 2021. Request you to submit both the declarations as on October 2020 and February 2021.

All members are advised to take note of the same and comply.

For and on behalf of**National Stock Exchange of India Limited****Mansi Chheda****Senior Manager-Inspection****Enclosure****Annexure A**

In case of any clarifications, Members may contact our below offices:

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