

National Stock Exchange Of India Limited**Department : Inspection**

Download Ref No: NSE/INSP/45899

Date : September 30, 2020

Circular Ref. No: 59/2020

To All Trading Members,

Sub: Disciplinary actions for Incorrect/Wrong submission of data towards Holding Statement

This is further to Exchange circulars NSE/INSP/39393, NSE/INSP/41711, and NSE/INSP/45193 dated November 13, 2018, July 25, 2019 and July 31, 2020 respectively on the weekly upload of the Holding Statement data to the Exchange.

All Members are required to ensure correct submission of data towards Holding Statement to Exchange. The Disciplinary actions with respect to wrong or incorrect submission are stipulated as under: -

Particulars	Disciplinary Actions
Material wrong submission or incorrect submission of Holding Statement	Penalty may vary from warning to Rs. 1 lac on case to case basis.

Disciplinary actions shall be applicable for submissions starting from week ending October 03, 2020.

All Members are advised to ensure correct submission in order to avoid any disciplinary actions.

For and on behalf of**National Stock Exchange of India Limited****Naresh Sawana**
Senior Manager-Inspection

In case of any clarifications, Members may contact our below offices:

Regional Office	CONTACT NO.	E MAIL ID
Ahmedabad (ARO)	079-49008632	inspectionahm@nse.co.in
Chennai (CRO)	044- 66309915/17	inspection_cro@nse.co.in
Delhi (DRO)	011-23459127 / 38 / 46	delhi_inspection@nse.co.in
Kolkata (KRO)	033-40400411 / 06	inspection_kolkata@nse.co.in
Mumbai(WRO)	022-25045144/053/138 or 022-61928200 Extn: 28144/28053/28138	compliance_wro@nse.co.in
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