

National Stock Exchange Of India Limited**Department : Inspection**

Download Ref No: NSE/INSP/44826

Date : June 30, 2020

Circular Ref. No: 40/2020

To All Members,

Sub: Cyber Security & Cyber Resilience framework for Stock Brokers / Depository Participants

This has reference to the SEBI circular SEBI/HO/MIRSD/CIR/PB/2018/147 dated December 03, 2018 and Exchange Circular no. NSE/INSP/41723 dated July 26, 2019 and NSE/INSP/42422 dated October 16, 2019 on Cyber Security & Cyber Resilience framework for Stock Brokers / Depository Participants.

SEBI vide its circular no. SEBI/HO/MIRSD/DOP/CIR/P/2019/109 dated October 15, 2019 on the captioned subject, has specified the format for submitting the quarterly report on the cyber attacks & threats. Exchange vide aforementioned Exchange circular dated October 16, 2019 informed the Stock Brokers about the revised format of quarterly report and has also intimated about the electronic interface to submit the report.

In view of above, Exchange has developed a module in ENIT to submit Quarterly Report and for reporting of unusual activities and events as prescribed in para 8 of the aforementioned SEBI Circular dated December 03, 2018.

Designated Officer shall submit the Quarterly report within 15 days after the end of the respective quarter through ENIT. Link for submission of same is given below. The guidelines for submitting the same is given in **Annexure 1**.

ENIT > ENIT-NEW-TRADE > Trade > Incident Report > Quarterly Report Submission.

Any unusual activities and events should be reported by Designated Officer within 24 hours of receipt of such Information through ENIT. Link for submission of same is given below. The guidelines for submitting the same is given in **Annexure 2**.

ENIT > ENIT-NEW-TRADE > Trade > Incident Report > Immediate Report Submission.

The link for submission of report shall be activated w.e.f. 01-July-2020. All quarterly Cyber Incidents reports for Q1 of F.Y. 2020-21 and onwards as well as the reporting of unusual activities/events shall henceforth be submitted electronically through aforementioned ENIT link.

For and on behalf of
National Stock Exchange of India Limited

Pranav Tanna
Chief Manager-Inspection

In case of any clarifications, Members may contact our below offices:

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