

Annexure-B

Guidelines for applicability of Members

S.No.	Particulars	Remarks
1	Members registered however not enabled on the Exchange	Such Members are not required to upload the data to the Exchange where they are not enabled.
2	Members who are enabled on an Exchange, but has not commenced trading.	Such Members shall upload the data once they commence clientele trading or hold client fund (cash and cash equivalent).
3	Member who have applied for voluntarily disablement on an Exchange.	Such Members are required to submit the data as long as they have client fund (cash and cash equivalent) pertaining to that Exchange. In case no client fund (cash and cash equivalent) are available or held for that Exchange, Member is not required to submit the data after submitting an undertaking that they do not hold any client fund (cash and cash equivalent) pertaining to that Exchange.
4	Members who have applied for Surrender of Membership (from all segments of the Exchange)	Undertaking to be submitted by such Member stating that they do not hold any client fund (cash and cash equivalent) pertaining to that Exchange. Such Member shall however continue to upload the data to the Exchange/s where they are enabled.
5	Members with Proprietary trading only, in a particular Exchange.	A one-time undertaking to be submitted indicating no clientele dealings. Such Members shall upload the data once they commence clientele trading or hold client fund (cash and cash equivalent).
6	Members doing only Institutional trading.	A one-time undertaking to be submitted stating that they do not hold any non-institutional client fund (cash and cash equivalent). Such Members shall upload the data once they commence to hold client fund (cash and cash equivalent) of non-institutional client.
7	Banks	Bank holding client fund (cash and cash equivalent) are required to upload the data.