

National Stock Exchange Of India Limited**Department : Inspection**

Download Ref No: NSE/INSP/42743

Date : November 27, 2019

Circular Ref. No: 44/2019

To All Trading Members and Clearing Members

Sub: Reminder for submission of Internal Audit Report for the Half Year Ended September 30, 2019

This has reference to Exchange circular reference no. NSE/INSP/42366 dated October 11, 2019 requiring all trading members / clearing members to carry out complete internal audit for the **Half Year ended September 30, 2019.**

In view of the above, members are reminded to submit the Internal Audit Report **on or before November 30, 2019** through the Inspection module in the Member Portal in the prescribed format and comply with the regulatory requirements so as to avoid any penal charges/disciplinary action for the non/late submission of the report.

Please note that existing penalty structure for non-compliances as per circular Ref no. NSE/INSP/39094 dated October 10, 2018 shall be applicable for this current audit submission i.e. for half year ended September 30, 2019.

For and on behalf of**National Stock Exchange of India Limited**

Manish Sharma

Senior Manager-Inspection

In case of any clarifications, Members may contact our below offices:

Regional Office	CONTACT NO.	E MAIL ID
Ahmedabad (ARO)	079-49008632	inspectionahm@nse.co.in
Chennai (CRO)	044- 66309915/17	inspection_cro@nse.co.in
Delhi (DRO)	011-23459127 / 38 / 46	delhi_inspection@nse.co.in
Kolkata (KRO)	033-40400411 / 06	inspection_kolkata@nse.co.in
Mumbai(WRO)	022-25045264/259/224	compliance_wro@nse.co.in
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