

National Stock Exchange Of India Limited

Department : Inspection	
Download Ref No: NSE/INSP/42740	Date : November 26, 2019
Circular Ref. No: 42/2019	

To All Trading Members

Sub: Reminder for Submission of Risk Based Supervision Data for the half year ended September 30, 2019.

This has reference to Exchange circular reference no. NSE/INSP/42244 dated September 27, 2019 requiring all the members to collate data/details required for the risk assessment template and submit the same for the half year ended September 30, 2019 **on or before November 30, 2019.**

The submission of RBS data is mandatory for all active Members of the Exchange (i.e. those who have executed/cleared even a single trade during the aforementioned period). It is once again re-iterated that the submission is to be made electronically through the Inspection module in the Member Portal only and no physical copies need to be submitted to the Exchange.

All Members are advised to ensure that the submission is made positively by the due date to avoid any penal charges/disciplinary action as prescribed by Exchange Circular NSE/INSP/42244 dated September 27, 2019.

For and on behalf of

National Stock Exchange of India Limited

Srijith Menon

Chief Manager-Inspection

In case of any clarifications, Members may contact our below offices:

Regional Office	CONTACT NO.	E MAIL ID
Ahmedabad (ARO)	079-49008632	inspectionahm@nse.co.in
Chennai (CRO)	044- 66309915/17	inspection_cro@nse.co.in
Delhi (DRO)	011-23459127 / 38 / 46	delhi_inspection@nse.co.in
Kolkata (KRO)	033-40400411 / 06	inspection_kolkata@nse.co.in
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