

National Stock Exchange Of India Limited**Department :**

Download Ref No: NSE/INSP/42422

Date : Oct 16, 2019

Circular Ref. No:36/2019

To All Members,

Sub: Cyber Security & Cyber Resilience framework for Stock Brokers / Depository Participants

This has reference to the Exchange circular NSE/INSP/41723 dated July 26, 2019 and SEBI circular SEBI/HO/MIRSD/CIR/PB/2018/147 dated December 03, 2018 on Cyber Security & Cyber Resilience framework for Stock Brokers / Depository Participants.

SEBI has issued circular No. SEBI/HO/MIRSD/DOP/CIR/P/2019/109 dated October 15, 2019 on the captioned subject wherein they have provided the clarification on the periodicity of the cyber security Audit and the qualification of the Auditor. The format for submitting the quarterly report on the cyber attacks & threats has also been specified. The copy of the said SEBI circular is enclosed as **Annexure-A**.

In view of the above, Exchange circular NSE/INSP/41723 dated July 26, 2019 on the quarterly report shall stand superceded and all Members shall submit the report in the revised format, duly signed by the Designated Officer appointed in terms of para 6 of the said SEBI Circular dated December 03, 2018. The format of the quarterly report as specified by SEBI is enclosed as **Annexure-B**. The report for the first quarter ending on September 30, 2019 shall be submitted on or before November 30, 2019. Members who have already submitted the details of any cyber attack /threat/ incident for the quarter ending on September 2019 are advised to resubmit the same in the revised format by the due date. Henceforth, effective from quarter ending on December 2019, time period for submission of the report shall be 15 days after the end of the respective quarter.

While the exchange shall be providing an electronic interface to submit this report shortly which shall be notified by a separate circular, the aforementioned quarterly report on cyber alert/attacks shall be sent to cybersecurityalerts@nse.co.in, till such time.

All Members are advised to take note and comply.

In case any queries, members may contact on 022-2659 8100 Extn. 25459/25331

**For and on behalf of
National Stock Exchange of India Limited**

Srijith Menon**Chief Manager-Inspection**