

National Stock Exchange Of India Limited**Department :**

Download Ref No: NSE/INSP/42052

Date : September 04, 2019

Circular Ref. No: 27/2019

To All Members,

Sub: FAQ on Handling of Clients' Securities by Trading Members/Clearing Members

This has reference to the SEBI circular CIR/HO/MIRSD/DOP/CIR/P/2019/75 dated June 20, 2019, SEBI/HO/MIRSD/DOP/CIR/P/2019/95 dated August 29, 2019 and Exchange circular NSE/INSP/41359 dated June 20, 2019 on Handling of Clients' Securities by Trading Members/Clearing Members.

Based on representations received from Members, Exchange is pleased to issue clarifications on the subject in the form of frequently asked questions (FAQs). The FAQs are enclosed as Annexure-A for your reference.

Members are requested to take note and comply with the same.

For and on behalf of**National Stock Exchange of India Limited****Srijith Menon****Chief Manager-Inspection**

Enclosed: Annexure A

In case of any clarifications, Members may contact our below offices:

Regional Office	CONTACT NO.	E MAIL ID
Ahmedabad (ARO)	079-49008632	inspectionahm@nse.co.in
Chennai (CRO)	044- 66309915/17	inspection_cro@nse.co.in
Delhi (DRO)	011-23459127 / 38 / 46	delhi_inspection@nse.co.in
Kolkata (KRO)	033-40400411 / 06	inspection_kolkata@nse.co.in
Mumbai(WRO)	022-25045264/259/224	compliance_wro@nse.co.in
Central Help Desk	compliance_assistance@nse.co.in	