

National Stock Exchange Of India Limited

Department : Inspection	
Download Ref No: NSE/INSP/41044	Date : May 20, 2019
Circular Ref. No: 12/2019	

To All Members,

Sub: Reminder for Submission of Risk Based Supervision Data for the FY 2018-19

This has reference to Exchange circular reference no. NSE/INSP/40575 dated March 28, 2019 requiring all the members to collate data/details required for the risk assessment template and submit the same for the FY 2018-19 **on or before May 31, 2019.**

The submission of RBS data is mandatory for all active Members of the Exchange (i.e. those who have executed/cleared even a single trade during the aforementioned period). It is once again re-iterated that the submission is to be made electronically through the Inspection module in the Member Portal only and no physical copies need to be submitted to the Exchange.

All Members are advised to ensure that the submission is made positively by the due date to avoid any penal charges/disciplinary action as prescribed by Exchange Circular NSE/INSP/40575 dated March 28, 2019.

For and on behalf of

National Stock Exchange of India Limited

Srijith Menon

Chief Manager-Inspection

In case of any clarifications, Members may contact our below offices:

Regional Office	CONTACT NO.	E MAIL ID
Ahmedabad (ARO)	079-49008632	inspectionahm@nse.co.in
Chennai (CRO)	044- 66309915/17	inspection_cro@nse.co.in
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Kolkata (KRO)	033-40400411 / 06	inspection_kolkata@nse.co.in
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