

National Stock Exchange Of India Limited**Department : Inspection**

Download Ref No: NSE/INSP/39439

Date : November 20, 2018

Circular Ref. No: 349/2018

To All Trading Members and Clearing Members

Sub: Reminder for submission of Internal Audit Report for the Half Year Ended September 30, 2018

This has reference to our circular Ref. No. : 342/2018 (download reference no. NSE/INSP/39094) dated October 10, 2018 requiring all trading members / clearing members to carry out complete internal audit for the **Half Year ended September 30, 2018**.

In view of the above, members are reminded to submit the Internal Audit Report **on or before November 30, 2018** through the Inspection module in the Member Portal in the prescribed format and comply with the regulatory requirements so as to avoid any penal charges/disciplinary action for the non/late submission of the report.

Please note that existing penalty structure for non-compliances as per circular Ref no. NSE/INSP/36248 dated November 06, 2017 shall be applicable for this current audit submission i.e. for half year ended September 30, 2018.

For and on behalf of**National Stock Exchange of India Limited**

Manish Sharma

Senior Manager-Inspection

In case of any clarifications, Members may contact our below offices:

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