



NATIONAL STOCK EXCHANGE OF INDIA LIMITED

DEPARTMENT : INSPECTION	
Download Ref. No.: NSE/INSP/37807	Date : May 21, 2018
Circular Ref. No.: 329/2018	

All Trading Members and Clearing Members

Sub: Reminder for Submission of Risk Based Supervision Data for the FY 2017-18

This has reference to our circular Ref. No 322 (download reference no. NSE/INSP/37359) dated March 28, 2018 requiring all the members to collate data/details required for the risk assessment template and submit the same for the FY 2017-18 (i.e. from April 01, 2017 to March 31, 2018) **on or before May 31, 2018.**

The submission of RBS data is mandatory for all active Members of the Exchange (i.e. those who have executed/cleared even a single trade during the aforementioned period). It is once again reiterated that the submission is to be made electronically through the Inspection module in the Member Portal only and no physical copies need to be submitted to the Exchange.

All Members are advised to ensure that the submission is made positively by the due date to avoid any penal charges/disciplinary action as prescribed by Exchange Circular NSE/INSP/37359 dated March 28, 2018.

All members are advised to ensure compliance with the above and note that no documents are to be submitted in physical form to the exchange.

**For and on behalf of
National Stock Exchange of India Limited**

**Manish Sharma
Senior Manager – Inspection**

In case of any clarifications, Members may contact our below offices:

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