

NATIONAL STOCK EXCHANGE OF INDIA LIMITED

DEPARTMENT : INSPECTION	
Download Ref. No.: NSE/INSP/37777	Date : May 17, 2018
Circular Ref. No.: 328/2018	

All Trading Members and Clearing Members

Sub : Reminder for submission of Internal Audit Report for the Half Year Ended March 31, 2018

This has reference to our circular Ref. No. : 326/2018 (download reference no. NSE/INSP/37537) dated April 16, 2018 requiring all trading members / clearing members to carry-out complete internal audit for the **Half Year ended March 31, 2018**.

In view of the above, members are reminded to submit the Internal Audit Report **on or before May 31, 2018** through the Inspection module in the Member Portal in the prescribed format and comply with the regulatory requirements so as to avoid any penal charges / disciplinary action for the non/late submission of the report.

Please note that existing penalty structure for non-compliances as per circular Ref no. NSE/INSP/17356 dated March 28, 2011 shall be applicable for the current internal audit submission i.e. for half year ended March 31, 2018.

For and on behalf of
National Stock Exchange of India Limited

Manish Sharma
Senior Manager-Inspection

In case of any clarifications, Members may contact our below offices:

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