

NATIONAL STOCK EXCHANGE OF INDIA LIMITED**DEPARTMENT : INSPECTION**

Download Ref.No.: NSE/INSP/36419

Date : December 01, 2017

Circular Ref.No.: 327/2017

To All Members,

Sub : Clarification to circular on Prevention of Unauthorised Trading by Stock Brokers

This has reference to SEBI circular bearing Ref no. CIR/HO/MIRSD/MIRSD2/CIR/P/2017/108 dated September 26, 2017 and Exchange circular NSE/INSP/35929 dated September 27, 2017 wherein all brokers were directed to execute trades of clients only after keeping evidence of the client placing such order.

Based on the representations received from stock brokers, SEBI has issued a clarification in this regard vide circular Ref No. CIR/HO/MIRSD/MIRSD2/CIR/P/2017/124 dated November 30, 2017. The same is also enclosed as Annexure-1.

Members are advised to take note of the same and comply.

For and on behalf of
National Stock Exchange of India Limited

Srijith Menon
Senior Manager-Inspection

Enclosed: Annexure-1**In case of any clarifications, Members may contact below offices:**

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