

NATIONAL STOCK EXCHANGE OF INDIA LIMITED

DEPARTMENT : INSPECTION	
Download Ref.No.: NSE/INSP/36286	Date: November 13, 2017
Circular Ref.No.: 325/2017	

All Trading Members and Clearing Members

Sub : Reminder for submission of Internal Audit Report for the Half Year Ended September 30, 2017

This has reference to our circular Ref. No. : 319/2017 (download reference no. NSE/INSP/36088) dated October 11, 2017 requiring all trading members / clearing members to carry out complete internal audit for the **Half Year ended September 30, 2017**.

In view of the above, members are reminded to submit the Internal Audit Report **on or before November 30, 2017** through the Inspection module in the Member Portal in the prescribed format and comply with the regulatory requirements so as to avoid any penal charges/disciplinary action for the non/late submission of the report.

Please note, as per circular Ref no. NSE/INSP/36238 dated November 03, 2017, the existing charges for late/non submission shall be applicable for this current audit submission i.e. for half year ended September 30, 2017.

For and on behalf of
National Stock Exchange of India Limited

Yukti Sharma
Chief Manager-Inspection

In case of any clarifications, Members may contact our below offices:

CENTRE	CONTACT NO.	E MAIL ID
Ahmedabad	079-49008632	inspectionahm@nse.co.in
Chennai	044-28479915 / 17	inspection_cro@nse.co.in
Delhi	011-23459127 / 38 / 46	delhi_inspection@nse.co.in
Kolkata	033-40400411 / 406	inspection_kolkata@nse.co.in
Mumbai	022-25045081/232/264	compliance_wro@nse.co.in
Help Desk	1800 2200 51	compliance_assistance@nse.co.in