
NATIONAL STOCK EXCHANGE OF INDIA LIMITED

DEPARTMENT : INSPECTION	
Download Ref.No.: NSE/INSP/36285	Date : November 13, 2017
Circular Ref.No.: 324/2017	

To All Trading Members and Clearing Members

Sub: Reminder for Submission of Risk Based Supervision Data for the half year ended September 30, 2017

This has reference to Exchange circular Ref. No 317 (download reference no. NSE/INSP/35962) dated September 29, 2017 requiring all the members to collate data/details required for the risk assessment template and submit the same for the half year ended September 30, 2017 **on or before November 30, 2017**

The submission of RBS data is mandatory for all active Members of the Exchange (i.e. those who have executed/cleared even a single trade during the aforementioned period). It is once again re-iterated that the submission is to be made electronically through the Inspection module in the Member Portal only and no physical copies need to be submitted to the Exchange.

All Members are advised to ensure that the submission is made positively by the due date to avoid any penal charges/disciplinary action as prescribed by Exchange Circular NSE/INSP/35962) dated September 29, 2017.

**For and on behalf of
National Stock Exchange of India Limited**

Yukti Sharma
Chief Manager-Inspection

In case of any clarifications, Members may contact our below offices:

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Kolkata	033-40400411/ 406	inspection_kolkata@nse.co.in
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