

NATIONAL STOCK EXCHANGE OF INDIA LIMITED**DEPARTMENT : INSPECTION**

Download Ref.No.: NSE/INSP/35976	Date : September 29, 2017
Circular Ref.No.: NSE/INSP/2017/318	

To All Members,

Sub : Submission of data towards Monitoring of client funds - Enhanced Supervision circular

This is in continuation of Exchange circular NSE/INSP/35412 dated July 20, 2017 and the clarification issued by SEBI vide their circular CIR/HO/MIRSD/MIRSD2/CIR/PB/2017/107 dated September 25, 2017.

All Trading Members are required to submit the data towards monitoring of clients funds as on last trading day of every month on or before the next three trading days till March 31, 2018. Thereafter, the uploading of that data by the stock broker to the Stock Exchanges shall be on weekly basis. Accordingly, submission for the month of September 2017 shall be made for the data as on the last trading day i.e. September 29, 2017 by October 05, 2017.

All Members are advised to comply with the above and upload the data electronically every month within the due date through the Inspection module in the Member portal. The procedure for submitting the information is given in **Annexure-A** of this circular.

For and on behalf of
National Stock Exchange of India Limited

Srijith Menon
Senior Manager-Inspection

In case of any clarifications, Members may contact below offices:

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