

NATIONAL STOCK EXCHANGE OF INDIA LIMITED**DEPARTMENT : INSPECTION**

Download Ref.No.: NSE/INSP/34868	Date : May 15, 2017
Circular Ref.No.: 305/2017	

To All Trading Members and Clearing Members

Sub: Reminder for Submission of Risk Based Supervision Data for the FY 2016-17.

This has reference to our circular Ref. No 302 (download reference no. NSE/INSP/34534) dated 31st March, 2017 requiring all the members to collate data/details required for the risk assessment template and submit the same for the FY 2016-17 (i.e. from April 01, 2016 to March 31, 2017) **on or before May 31, 2017**

The submission of RBS data is mandatory for all active Members of the Exchange (i.e. those who have executed/cleared even a single trade during the aforementioned period). It is once again reiterated that the submission is to be made electronically through the Inspection module in the Member Portal only and no physical copies need to be submitted to the Exchange.

All Members are advised to ensure that the submission is made positively by the due date to avoid any penal charges/disciplinary action as prescribed by Exchange Circular NSE/INSP/34534 dated March 31, 2017.

For and on behalf of
National Stock Exchange of India Limited

Srijith Menon
Senior Manager – Inspection

In case of any clarifications, Members may contact our below offices:

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