

NATIONAL STOCK EXCHANGE OF INDIA LIMITED

DEPARTMENT : INSPECTION	
Download Ref.No.: NSE/INSP/27368	Date : August 18, 2014
Circular Ref.No.: 194/2014	

To All Members

Sub : Clarification on E-mail and SMS alerts to Investors

This is in continuation to Exchange circular NSE/INSP/27339 dated August 12, 2014, requiring Members to update the mobile and e-mail IDs of their clients on UCI Online.

Members have represented that in some of the cases investors do not have mobile number/email id and in such cases, members shall obtain a declaration to this effect from the client and report the same in the UCI online by entering “**notprovided@notprovided.com**” in the email ID field and “**6666666666**” in the mobile number field. Members are advised to retain verifiable records of seeking details of e mail Id and mobile number from such clients.

In order to facilitate members to meet the requirements stated above, the timeline for obtaining and uploading the mobile numbers / e mail IDs for clients, who have transacted during the FY 2014-15 has been extended till **September 30, 2014**.

For all other existing clients who have not traded during the current financial year so far or for any client who would get registered newly, member shall collect e mail ID/ mobile number or a declaration as aforesaid and upload the same to Exchange before executing any fresh transaction.

Members are requested to take note of the above and draw up appropriate plans for obtaining email ids/mobile numbers from their clients.

In case of any clarifications, Members may contact our below offices:

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