

NATIONAL STOCK EXCHANGE OF INDIA LIMITED

DEPARTMENT : INSPECTION	
Download Ref.No.: NSE/INSP/27039	Date : June 30, 2014
Circular Ref.No.: 189/2014	

To All Members

Sub : Submission of Designated Director details to FIU-IND

This is with reference to the SEBI circular No CIR/MIRSD/1/2014 dated March 12, 2014 and Exchange Circulars Ref. No. NSE/INVG/26173 dated March 13, 2014 regarding 'Anti-Money Laundering/Countering the Financing of Terrorism (AML/CFT) Obligations of Securities Market Intermediaries under the Prevention of Money laundering Act, 2002 and Rules framed there under'.

SEBI had directed the members to appoint a person as a 'Designated Director' in addition to Principal Officer to comply with AML/CFT requirements. 'Designated Director' includes -

- (i) the Managing Director or a Whole-time Director duly authorized by the Board of Directors if the reporting entity is a company,
- (ii) the managing partner if the reporting entity is a partnership firm,
- (iii) the proprietor if the reporting entity is a proprietorship concern,
- (iv) the managing trustee if the reporting entity is a trust,
- (v) a person or individual, as the case may be, who controls and manages the affairs of the reporting entity if the reporting entity is an unincorporated association or a body of individuals, and
- (vi) such other person or class of persons as may be notified by the Government if the reporting entity does not fall in any of the categories above."

The members were also directed to provide details of the Designated Director, such as, name, designation and address to the office of the Director to FIU-IND.

FIU-IND has informed that many members have not yet appointed and intimated details of designated director to them.

In view of the above, members are hereby advised to appoint a Designated Director, intimate its details to FIU-IND and provide compliance status of the same by sending an email to pmlacompliance@nse.co.in in the following format on or before **August 01, 2014**.

Member Code	Name of the Member	Name of the Designated Director	Whether intimated to FIU-IND (Yes/ No)	Date of Intimation

Members are advised to take note and comply with the same.

**For and on behalf of
National Stock Exchange of India Limited**

Rachana Bhusari
Chief Manager

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