

National Stock Exchange of India Limited

Circular

Department: FUTURES & OPTIONS	
Download Ref No: NSE/FAOP/55452	Date: February 01, 2023
Circular Ref. No: 12/2023	

All Members,

Mock trading on Saturday, February 04, 2023 – New version of NEAT+ 7.6.0

In continuation to our circular (Download No. 54865) dated December 16, 2022, Exchange will be conducting a mock trading session in the Futures & Options Segment on Saturday, February 04, 2023, as per the following schedule:

Mock trading from Primary Site:

Saturday, February 04, 2023	Time
Trading Session	
Normal Market open time	11:15 hrs
Contingency start time	13:00 hrs
Contingency end time	14:00 hrs
Normal Market close time	14:00 hrs

Mock trading from DR Site:

Saturday, February 04, 2023	Time
Trading Session-2	
Normal Market open time	15:00 hrs
Normal Market close time	15:30 hrs
Trade modification end time	15:40 hrs

Saturday, February 04, 2023	Time
Live Re-login start time	18:30 hrs
Live Re-login close time	19:00 hrs

National Stock Exchange of India Limited

The Exchange shall be releasing new version of NEAT+ 7.6.0. The new version shall be available for download from February 03, 2023 at 17:00 hours onwards on NSE Extranet path /faoftp/faocommon/NEATPlus760.

Login with the version NEAT+ 7.5.9 shall be discontinued from next mock in March 04, 2023.

Members are requested to refer to Exchange circular download ref no. [NSE/FAOP/55344](#) dated January 25, 2023 and [NSE/FAOP/55396](#) dated January 27, 2023 regarding Pre-Trade risk controls.

Please note that the aforesaid that Limit Price Protection (LPP) mechanism on Index & Stock Futures and Stock Options shall be available for testing in mock of February 04, 2023 and shall be effective from February 06, 2023 in LIVE. A separate circular shall be provided for detailed LIVE parameters for the LPP mechanism.

For other important instructions regarding the mock trading, kindly refer to the following Annexure:

Annexure 1: Important instructions regarding mock trading session.

Annexure 2: Pre-requisites / General guidelines for participating in the Mock environment.

Annexure 3: Important guidelines in case of switchover of trading system to Disaster Recovery (DR) Site.

For and on behalf of
National Stock Exchange of India Limited

Khushal Shah
Associate Vice President

Toll Free No	Email id
1800-266-0050 (Option 1)	msm@nse.co.in

National Stock Exchange of India Limited

Annexure – 1

Important instructions regarding mock trading session

1. Refer to Exchange consolidated circular download ref no NSE/MSD/45703 dated September 15, 2020 for Interactive Connectivity Parameters.
2. Installation procedure for NEAT+ is available on extranet path /common/Installation_Procedure.
3. Members are requested to refer to circular reference no. NSE/MSD/46441 regarding Testing of software used in or related to Trading and Risk Management. Members may choose to participate either in Mock Trading Session or Simulated environment for fulfilling their regulatory requirements in accordance to SEBI circular no. SEBI/HO/MRD1/DSAP/CIR/P/2020/234 dated November 24, 2020.
4. Trades resulting from this session shall not attract any obligation in terms of funds pay-in and/or pay-out.
5. With reference to Exchange circular NSE/ISC/51754 dated March 24, 2022 and NSE/ISC/52722 dated June 23, 2022 issued by Investor Services Cell and updated from time to time, only valid and compliant UCC / PAN uploaded by members before cutoff time in UCC system and approved by Exchange shall be available for trading in the mock session. In case of any queries for status of UCC and/or reporting, members may connect with UCI team. (uci@nse.co.in)
6. Unique Client Code (UCC) will not be validated for the Order entry during contingency time, if any.
7. Kindly participate actively in the mock trading session from all trading software and re-login into live environment to check the connectivity and to avoid login problems on Monday February 06, 2023.
8. Kindly refer to the website of NSE at www.nseindia.com for any information which may be updated by the Exchange on the mock trading session.
9. In case of any queries please call Toll Free no: 1800 266 0050 (Option 1).

Annexure – 2

Pre-requisites / General guidelines for participating in the Mock environment

All members eligible to trade in FO segment in live market are enabled for participating in the mock trading sessions with their existing user ids, IP and Box Id mapping that of the live environment. Accordingly, members are requested to ensure the following:

1. You are able to successfully telnet the Exchange host from the IP you wish to participate.
2. The Box ID with appropriate messages has been created on the IP in the respective segments you wish to participate.
3. In case you wish to participate via Non-NEAT frontend (NNF) applications, kindly ensure that the User Id is of type dealer, is converted for NNF and is mapped with the IP.
4. Members are required to set appropriate branch and / user limits from their corporate manager terminal in the respective segments, prior to placing orders in the Mock.

National Stock Exchange of India Limited

5. Members are requested to send requests via email pertaining to following activities with the details of User ID and segment for Exchange action only for the purpose of MOCK participation.
 - a. Pro enablement
 - b. CTCL conversion
 - c. Password Reset for Corporate Manger user id
 - d. Unlocking of Corporate Manager user id
6. Password Reset / Unlocking of all other types of user ids should be done from the corporate manger user id by the member in the respective segments.

Annexure – 3

Important guidelines in case of switchover of trading system to Disaster Recovery (DR) Site

1. Kindly note below points after switch over to DR site:
 - a. Trades of primary site will be available in Previous Trades window.
 - b. Trades can be modified/cancelled using Multiple Trade Modification and Cancellation window respectively.
 - c. Messages of primary site will be available in TWS Message Area.
2. If user renames or deletes the User folder and re-login the NEAT+ terminal, then data of primary site shall not be available in the functional window as mentioned above point.