

National Stock Exchange of India

Circular

Department: Debt Segment	
Download Ref No: NSE/DS/ 54624	Date: November 28, 2022
Circular Ref. No: 14/2022	

All Participants,

Reporting of trades in non-convertible securities under SEBI (Issue and Listing of Non-Convertible Securities) Regulations, 2021

This is in continuation to SEBI circular SEBI/HO/DDHS/P/CIR/2021/613 dated August 10, 2021, on operational guidelines for issue and listing of Non-convertible Securities, Securitised Debt Instruments, Security Receipts, Municipal Debt Securities and Commercial Paper.

Further, SEBI vide circular SEBI/HO/DDHS/DDHS_Div1/P/CIR/2022/159 dated November 24, 2022, has communicated that reporting of OTC trades in non-convertible securities shall be made by all person(s) dealing in such securities irrespective of whether they are SEBI registered intermediaries or otherwise, as per uniform format specified in the SEBI circular. The SEBI Circular is attached as Annexure 1 for reference.

The provisions of the above circular shall be effective from January 01, 2023.

Market participants are requested to take note of the above-mentioned circular.

For and on behalf of

National Stock Exchange of India Limited

Anjali Kunde

Chief Manager

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