

National Stock Exchange of India

Circular

Department: Compliance	
Download Ref No: NSE/COMP/76906	Date: October 14, 2026
Circular Ref. No: 94/2026	

To All Members,

Sub: Half-Yearly Submission of details of Associate/Subsidiary Entities

This is with reference to the submission of details pertaining to Associate/Subsidiary Entities under the Risk Based Supervision (RBS) framework.

Based on the representations received from Brokers Industry Standard Forum (“ISF”) and in consultation with SEBI, it was decided that the certain information/details under the Risk Based Supervision (RBS) shall be sourced by Exchanges internally and/or through other submissions by members to Exchanges. It was also decided that the details pertaining to Associate/Subsidiary will be taken as a separate submission.

In this regard, all Trading Members are requested to submit the details of their Associate/Subsidiary Entities on a half-yearly basis. Trading Members are required to submit the details of their Associate/Subsidiary Entities for the half-year ended March 31, 2026, **on or before November 15, 2026**.

For facilitating the submission of said information, the Exchange has developed an online module on ENIT Portal. The path for online submission of the details pertaining to Associate/Subsidiary Entities is given below.

Path: ENIT → ENIT-NEW-Compliance → Compliance → Associate and Subsidiary → Associate and Subsidiary Submission

Further, Trading Members are also required to notify the Exchange of any changes in the details of their Associate/Subsidiary Entities, including additions, deletions, or modifications, as and when such changes occur within 30 calendar days of the said changes.

Further, as per technology-based sharing mechanisms of common submissions among Exchanges, trading members of NSE who are also registered with any other Exchange(s) (BSE/MSE/MCX/NCDEX) are required to submit details of associate/subsidiary to NSE. NSE will share this submission with the respective Exchange/s.

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The process manual for submission of details pertaining to Associate/Subsidiary Entities through the ENIT portal is provided in **Annexure-A**.

All Trading Members are advised to take note of the above and comply.

For any support, please reach out to the helpdesk on 1800 266 0050 (Select IVR option 3) or email at memcompliance_support@nse.co.in

For and on behalf of
National Stock Exchange of India Limited

Sonal Sharma
Senior Manager

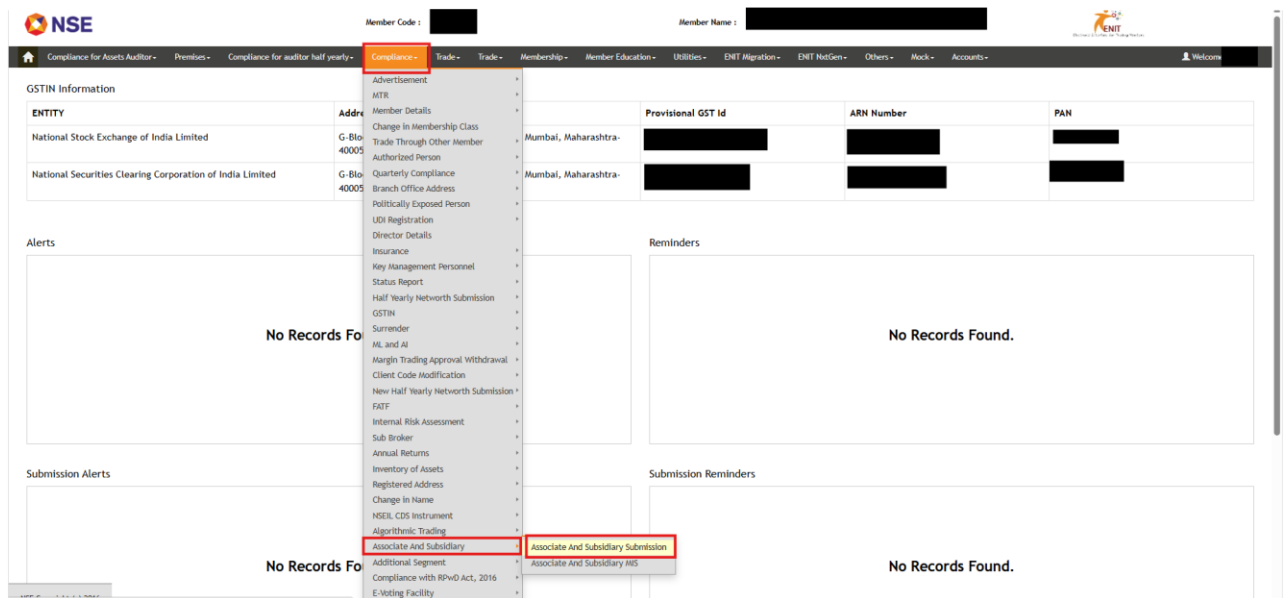
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Annexure A

- Half-Yearly Submission**

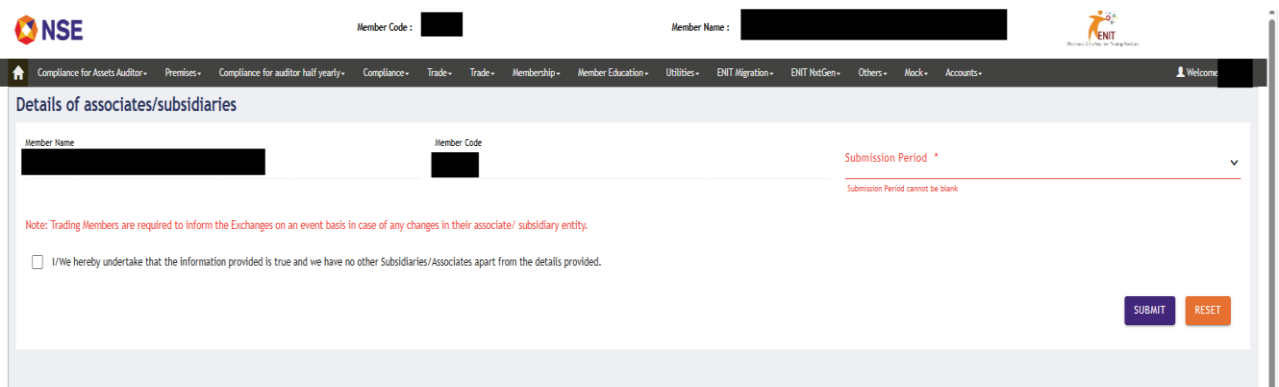
Step by step guide to submit the details of Associate/Subsidiary Entities.

1. Login to Member portal – ENIT-NEW-Compliance -> Compliance -> Associate and Subsidiary -> Associate and Subsidiary Submission



The screenshot shows the NSE Member portal interface. The top navigation bar includes links for Compliance, Trade, Membership, Member Education, Utilities, ENIT Migration, ENIT NetGen, Others, Mock, and Accounts. The 'Compliance' menu is expanded, showing options like GSTIN Information, Alerts, Submission Alerts, and Associate and Subsidiary Submission. The 'Associate and Subsidiary Submission' option is highlighted with a red box. The main content area displays a table with columns for ENTITY, Address, Provisional GST Id, ARN Number, and PAN. The table is currently empty, showing 'No Records Found'.

2. On clicking “Associate and Subsidiary Submission”, below page will get open:



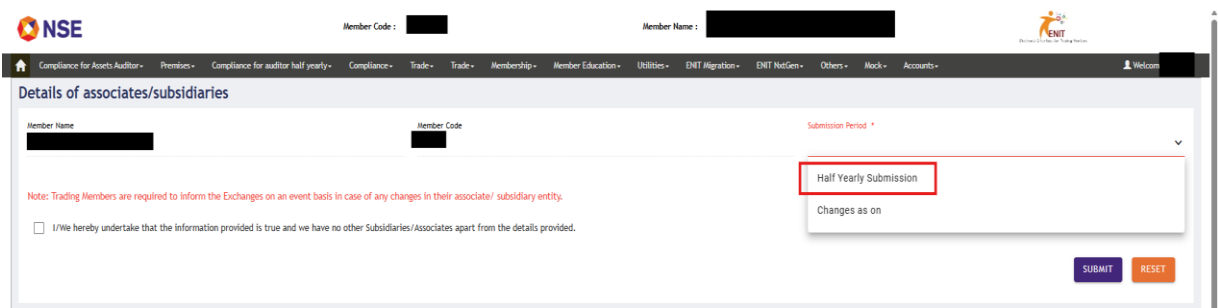
The screenshot shows the 'Details of associates/subsidiaries' page. The page has a header with the NSE logo and member information. The main content area includes a form for submitting details of associates and subsidiaries. The form has fields for Member Name, Member Code, and Submission Period. A note states: 'Note: Trading Members are required to inform the Exchanges on an event basis in case of any changes in their associate/ subsidiary entity.' Below the note is a checkbox for 'I/We hereby undertake that the information provided is true and we have no other Subsidiaries/Associates apart from the details provided.' At the bottom right, there are 'SUBMIT' and 'RESET' buttons.

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3. Details to be filled in Parameters –

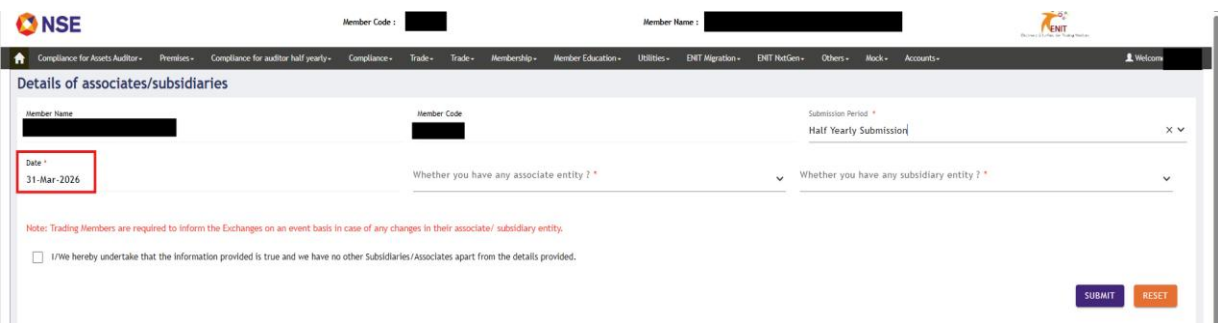
- a. Member Name – This field will be auto-populated with the Member Name
- b. Member Code – This field will be auto-populated with the Member Code

4. Submission Period - Select the Submission Period **Half Yearly Submission**



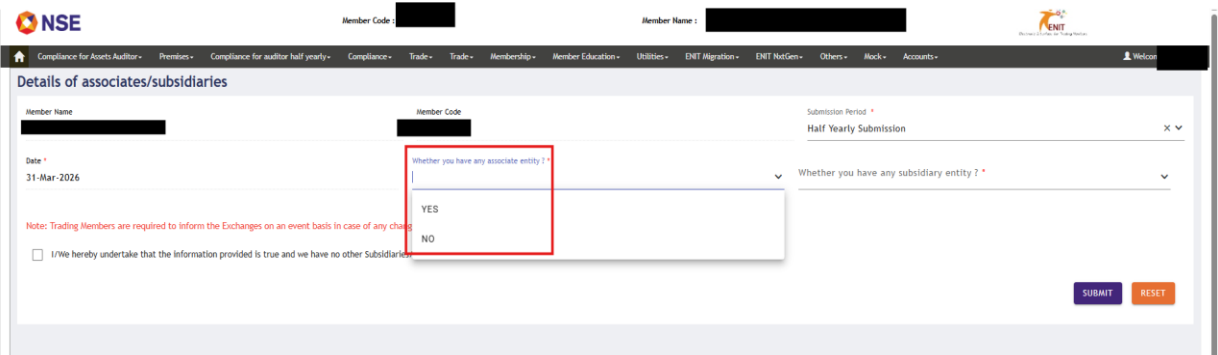
The screenshot shows the NSE portal interface for the 'Details of associates/subsidiaries' form. The 'Submission Period' dropdown menu is open, and 'Half Yearly Submission' is selected. The form includes fields for Member Name, Member Code, and a checkbox for undertaking the information provided is true. The 'SUBMIT' and 'RESET' buttons are visible at the bottom right.

5. Date – This field will be auto-populated with date **31-March-2026**



The screenshot shows the NSE portal interface for the 'Details of associates/subsidiaries' form. The 'Date' field is highlighted with a red box, showing '31-Mar-2026'. The 'Submission Period' dropdown is also visible, showing 'Half Yearly Submission'. The form includes fields for Member Name, Member Code, and a checkbox for undertaking the information provided is true. The 'SUBMIT' and 'RESET' buttons are visible at the bottom right.

6. Whether you have any associate entity? – Select “Yes” if the Member has any Associate Entity. Select “No” if the Member does not have any Associate Entity.



The screenshot shows the NSE portal interface for the 'Details of associates/subsidiaries' form. The 'Whether you have any associate entity?' dropdown menu is open, and 'YES' is selected. The form includes fields for Member Name, Member Code, Date, and a checkbox for undertaking the information provided is true. The 'SUBMIT' and 'RESET' buttons are visible at the bottom right.


Member Code: [REDACTED]
Member Name: [REDACTED]



[Home](#)
[Compliance for Assets Auditor](#)
[Premises](#)
[Compliance for auditor self party](#)
[Compliance](#)
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Details of associates/subsidiaries

Associate name

[REDACTED]

Associate code

[REDACTED]

Initiation Period 1

Self Party Submission

Start

31-Mar-2024

Whether you have any associate entity 1 ?

YES

Whether you have any subsidiary entity 1 ?

YES

Associate entity

Sr.No.	Name of the Company	Relation with You	Indian / BFC / Overseas	PAN Number (Enter PANIN/TAFFN, if PAN not applicable)	Registration Number, if any	Regulator Name	Financial Market Sector	Status of the entity
1								Remove

[Add more](#)

Subsidiary entity

Sr.No.	Name of the Company	Relation with You	Indian / BFC / Overseas	PAN Number (Enter PANIN/TAFFN, if PAN not applicable)	Registration Number, if any	Regulator Name	Financial Market Sector	Status of the entity
1								Remove

[Add more](#)

Note: Trading Members are required to inform the Exchanges on an event basis in case of any changes in their associate/ subsidiary entity.

☐ I/We hereby undertake that the information provided is true and we have no other Subsidiaries/Associates apart from the details provided.

[SUBMIT](#)
[RESET](#)

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Names of Company	- Enter the name of Associate Entity/ Subsidiary Entity - This field is mandatory
Relation with TM	- Select the relationship of the Associate Entity/ Subsidiary Entity with the Trading Member from the dropdown: ➤ Associate Company ➤ Wholly-owned subsidiary Company ➤ Subsidiary Company ➤ Group Company ➤ Related Party ➤ Other - This field is mandatory
Indian / IFSC / Overseas	- Specify the jurisdiction in which the Associate Entity/ Subsidiary Entity is incorporated or operated from the dropdown: ➤ Indian ➤ IFSC ➤ Overseas - This field is mandatory
PAN Number (Enter PANNOTAPPL, if PAN not applicable)	- Provide the PAN of Associate Entity/ Subsidiary Entity - If PAN is not applicable, enter "PANNOTAPPL" - This field is mandatory
Registration Number, if any	- Provide the Registration Number of the Associate Entity/ Subsidiary Entity, if available - This field is Optional
Regulator Name	- Select the regulator governing the Associate Entity/ Subsidiary Entity from dropdown: ➤ SEBI ➤ RBI ➤ IRDA ➤ NABARD ➤ AMFI ➤ PFRDA ➤ Other - This is a Mandatory field
Financial Market Sector	Select Financial Market Sector of the Associate Entity/ Subsidiary Entity from the dropdown:

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	➤ Banking ➤ Mutual Fund ➤ NBFC ➤ Pension fund ➤ Primary Dealer ➤ Depository Participant ➤ Stock Broker ➤ Insurance ➤ FPI ➤ Investment Advisor ➤ AIF ➤ AMC ➤ Venture Capital ➤ RTA ➤ Research Analyst ➤ Clearing Member ➤ Custodians ➤ Others • This is a Mandatory field
Status of the entity	• Select whether the Associate Entity/ Subsidiary Entity is "Active" or "Inactive" • This is a Mandatory field
Add More / Remove Button	• Click "Add More" button to add additional Associate Entity/ Subsidiary Entity • Click "Remove" button to delete an existing Associate Entity/ Subsidiary Entity entry

10. After putting all the details, click on "Submit" button.

Note:

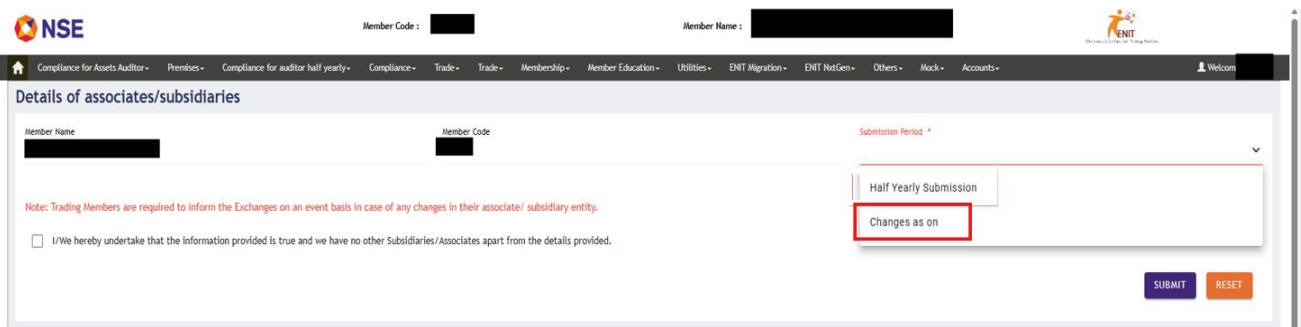
Trading Members are required to inform the Exchange of any addition, deletion, or changes in the details of their Associate/Subsidiary Entities as and when such changes occur within 30 calendar days of such change.

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- **Changes as on submission**

Trading Members are required to notify the Exchange of any changes in the details of their Associate/Subsidiary Entities, including additions, deletions, or modifications, as and when such changes occur within 30 calendar days of such change.

For such submission, Trading Members shall follow the same steps as mentioned above. However, under “**Submission Period**” - select “**Changes as on**” (Refer Point no. 4) and select the applicable date on which changes occur.



Member Code : [REDACTED] Member Name : [REDACTED]

Details of associates/subsidiaries

Member Name [REDACTED] Member Code [REDACTED] Submission Period *

Note: Trading Members are required to inform the Exchanges on an event basis in case of any changes in their associate/ subsidiary entity.

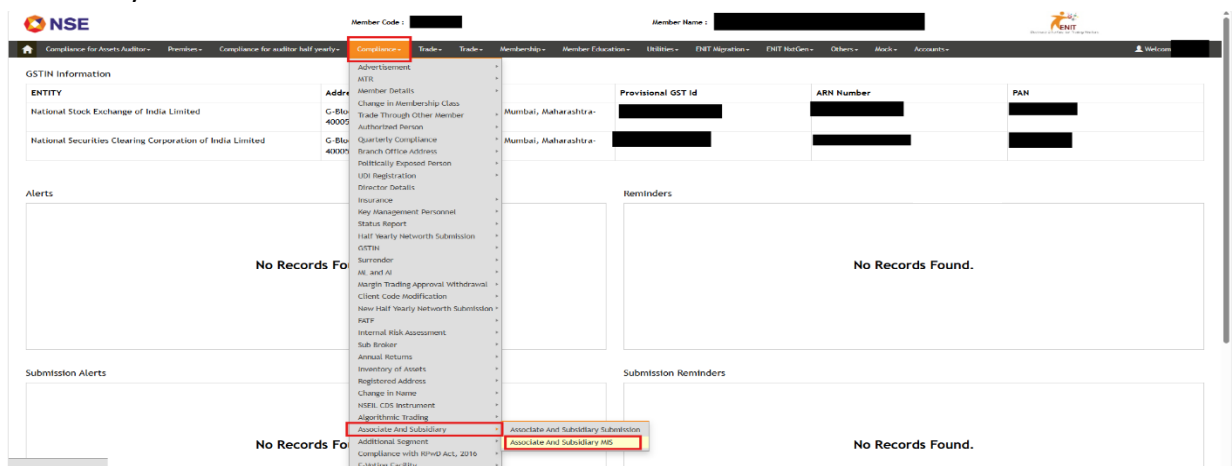
☐ I/We hereby undertake that the information provided is true and we have no other Subsidiaries/Associates apart from the details provided.

Half Yearly Submission
Changes as on

SUBMIT RESET

To check the submissions – MIS

1. Click on ENIT-NEW-Compliance -> Compliance -> Associate and Subsidiary -> Associate and Subsidiary MIS



Member Code : [REDACTED] Member Name : [REDACTED]

Compliance for Assets Auditor Premises Compliance for auditor half yearly Compliance Trade Trade Membership Member Education Utilities ENIT Migration ENIT NxtGen Others Mock Accounts Welcome

GSTIN Information

ENTITY	Address	Member Details	Provisional GST Id	ARN Number	PAN
National Stock Exchange of India Limited	C-Block 4000	Change in Membership Class	[REDACTED]	[REDACTED]	[REDACTED]
National Securities Clearing Corporation of India Limited	C-Block 4000	Trade Through Other Member	[REDACTED]	[REDACTED]	[REDACTED]

Alerts

No Records Found.

Submission Alerts

No Records Found.

Compliance

- Advertisement
- ARs
- Change in Membership Class
- Trade Through Other Member
- Authorized Person
- Quarterly Compliance
- Branch Office Address
- Politically Exposed Person
- UDR Registration
- Director Details
- Insurance
- Key Management Personnel
- Status Report
- Half Yearly Networth Submission
- OTTS
- Surrender
- AR and AR
- Margin Trading Approval/Withdrawal
- Client Code Modification
- New Half Yearly Networth Submission
- ADP
- Internal Risk Assessment
- Sub Broker
- Annual Returns
- Inventory of Assets
- Registered Address
- Change in Name
- NSEIL CDS Instrument
- Algorithmic Trading
- Associate and Subsidiary
- Additional Segment
- Compliance with SEBI Act, 2019
- Linking Facility

Associate and Subsidiary

Associate And Subsidiary Submission

Associate And Subsidiary MIS

Reminders

No Records Found.

Submission Reminders

No Records Found.

Member Code :

Member Name :

Test Digital Signature

[Compliance for Assets Auditor](#)
[Premises](#)
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[Mock](#)
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Welcome 1234

Associate and Subsidiary MIS

Member Name

Member Code

Request From Date

Request To Date

DD-MM-YYYY

[Detail Report](#)
[SEARCH](#)
[RESET](#)

Sl. No. ↑	Ref No. ↑	Member Code ↑	Member Name ↑	Is Associate Entity ↑	Is Subsidiary Entity ↑	Submission Date ↑	Submission Type ↑	Half Yearly Submission Date ↑	Changes As On Date ↑

Export

Items per page: 10

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