

National Stock Exchange of India

Circular

Department: Compliance	
Download Ref No: NSE/COMP/76681	Date: October 01, 2026
Circular Ref. No: 86/2026	

To All Members,

Sub: Submission of Margin Trading Compliance Certificate for half year ended September 30, 2026

As per Chapter 1 at Para 4.10.3 of the SEBI circular no. SEBI/HO/MRD-PoD2/CIR/P/2024/00181 dated December 30, 2024, Trading members who have obtained approval for Margin Trading Facility from the Exchange are required to get their books of accounts related to margin trading facility offered by them audited and submit an auditor's certificate to the Exchange, within one month from the date of the half year ending 31st March and 30th September certifying compliance with the conditions of margin trading facility.

Trading Members who have obtained approval for offering Margin Trading Facility and have commenced the same, are required to get their books of accounts related to margin trading facility audited and submit compliance certificate duly signed by the Chartered Accountant. Format is attached as **Annexure I**.

Trading Members who have obtained approval for offering Margin Trading Facility but have not commenced the same, need to submit a Self-Certified certificate to this effect duly signed by Compliance Officer/Designated Director. Format is attached as **Annexure II**.

Kindly note, submission of MTF Compliance Certificate should be done through the ENIT module at path - **ENIT-New-Compliance > Compliance > MTR > MTR Compliance Certificate submission**. The URL for accessing ENIT portal is <https://enit.nseindia.com/MemberPortal/>. The user manual for submission of Margin Trading Compliance certificate is as attached as **Annexure III**.

All members are advised to ensure submission on or before **October 31, 2026**.

National Stock Exchange of India

For any support, please reach out to the helpdesk on 1800 266 0050 (Select IVR option 3) or email at memcompliance_support@nse.co.in.

**For and on behalf of
National Stock Exchange of India Limited**

**Swati Sopare
Chief Manager**

Annexure I

**Format for Trading Members who have obtained approval for offering Margin
Trading Facility and have commenced the same**

**(ON THE LETTERHEAD OF THE CHARTERED ACCOUNTANT)
CERTIFICATE**

I/We have verified and audited the relevant books, records, and documents of M/s. _____, trading member of National Stock Exchange of India Ltd., for the period from _____ to _____ and on the basis of the relevant information and explanation submitted to me/us and to my/our satisfaction, I/we certify that the trading member has complied with the conditions of margin trading facility as prescribed in circular Ref. No. NSE/COMP/35125 dated June 15, 2017, NSE Circular ref. no. NSE/COMP/35260 dated June 30, 2017, NSE Circular ref. no. NSE/COMP/35521 dated August 03, 2017, NSE Circular ref. no. NSE/COMP/36350 dated November 22, 2017, NSE/COMP/63887 September 12, 2024 and NSE/COMP/64519 October 11, 2024.

Name of Chartered Accountant / Firm
Signature and Seal of Chartered Accountant
Membership Number
UDIN No.:
Place :
Date :

Annexure II

Format for Trading Members who have obtained approval for offering Margin Trading Facility but have not commenced the same

(ON THE LETTERHEAD OF THE TRADING MEMBER)

We, M/s. _____, trading member of National Stock Exchange of India Ltd., have taken approval of the Exchange for offering Margin Trading Facility but have not provided Margin Trading Facility to any client for the period from _____ to _____.

Name of the Trading Member

Signature and Seal of Compliance Officer/Designated Director

Place:

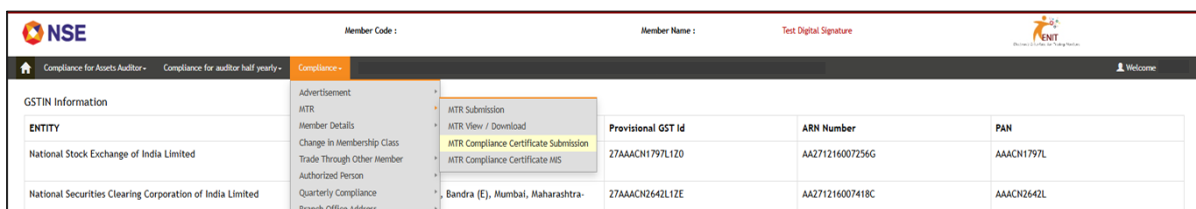
Date:

Annexure III

User Manual for Submission of Margin Trading Compliance certificate

➤ Margin Trading Compliance Certificate submission

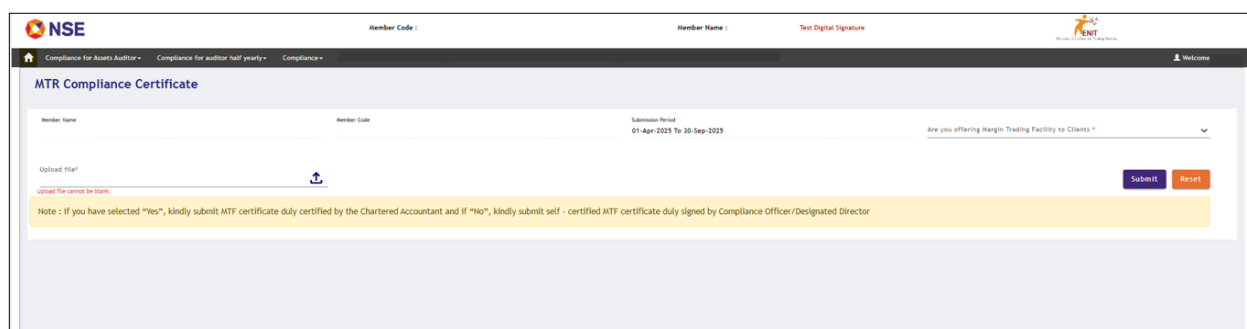
1. Login with correct member credentials to member portal - <https://enit.nseindia.com/MemberPortal/>
2. Click on 'Compliance'.
3. Go to 'MTR' module.
4. Select 'MTR Compliance Certificate submission' and click on it.



The screenshot shows the NSE Member Portal interface. The top navigation bar includes the NSE logo, Member Code, Member Name, and Test Digital Signature. The main menu on the left has 'Compliance' selected, with a sub-menu showing 'MTR Compliance Certificate Submission' highlighted. The right side of the screen displays a table with member details:

Provisional GST Id	ARN Number	PAN
27AAACH1797L120	AA271216007256G	AAACH1797L
27AAACH2642L12E	AA271216007418C	AAACH2642L

5. After clicking on MTR Compliance certificate submission, below screen will be visible.
 - Member Name, Member Code and Submission period are the auto populated fields which are not editable.

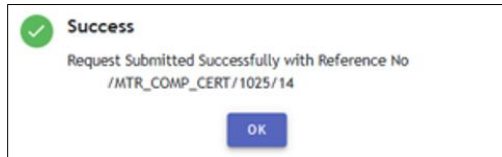


The screenshot shows the 'MTR Compliance Certificate' submission form. It includes fields for Member Name, Member Code, and Submission Period (01-Apr-2025 To 30-Sep-2025). There is a dropdown menu for 'Are you offering Margin Trading Facility to Clients' with options 'Yes' and 'No'. Below the form is a note: 'Note : If you have selected "Yes", kindly submit MTF certificate duly certified by the Chartered Accountant and if "No", kindly submit self - certified MTF certificate duly signed by Compliance Officer/Designated Director'. There are 'Submit' and 'Reset' buttons.

- "Are you offering Margin Trading Facility to Clients" is a dropdown option. The options available are:
 - Yes
 - No

If you have selected "Yes", kindly submit MTF certificate duly certified by the Chartered Accountant and if "No", kindly submit self-certified MTF certificate duly signed by Compliance Officer/Designated Director.

- Upload file is a browse field. It accepts documents in the pdf format. Special characters and spaces are not allowed in the naming of the file, except hyphen and underscore.
6. After filling in all the mandatory details, click on Submit button. When Member clicks on Submit, a reference number will get generated as shown below:



➤ MTR Compliance Certificate MIS

1. When member submits the MTF Compliance Certificate, the same will reflect under “MTR Compliance Certificate MIS” module viewable from member’s end

The screenshot shows the NSE MTR Compliance Certificate MIS interface. At the top, there's a header with the NSE logo and navigation links. Below the header, there's a form with fields for Member Name, Member Code, Request Ref No, Request From Date, Request To Date, and Submission Period. There are Submit and Reset buttons. Below the form, there's a table with the following columns: Sr No, Member Code, Member Name, Ref No, Submission Date, Submission Period, Are you offering Margin Trading Facility to Clients?, and Download File. The table contains one row of data.

Sr No	Member Code	Member Name	Ref No	Submission Date	Submission Period	Are you offering Margin Trading Facility to Clients?	Download File
1			/MTR_COMP_CERT/1025/14	13-OCT-2025	01-APR-2025 To 30-SEP-2025	YES	

