

National Stock Exchange of India

Circular

| Department: MEMBER COMPLIANCE | |
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| Download Ref No: NSE/COMP/61367 | Date: March 28, 2024 |
| Circular Ref. No: 12/2024 | |

To All Members,

Sub: Restriction on use of certain words by Trading Members/Authorized Persons in their name

This is in continuation to Exchange circular no. NSE/COMP/55716 dated February 22, 2023, regarding restriction on use of certain words by Trading Member/Authorized Person in their name as the name should reflect the registration held by the entity and should not in any way create an impression of performing a role for which the entity is not registered.

Exchange vide circular no- NSE/COMP/56041 dated March 17, 2023, had advised Members to change their name or the name of their registered Authorized Persons if it contained restricted words indicating a role for which the entity is not registered. This change was required to be made with the Registrar of Companies (ROC)/Registrar of Firms (ROF) and also updated in Exchange records by March 31, 2024.

It has come to our attention that Members and Authorized Persons are currently in the process of executing these changes with the ROC/ROF.

In view of the above, considering the ongoing efforts, the timeframe for changing their name with ROC/ROF and updating it in Exchange records has been extended up to June 30, 2024.

Members are advised to ensure compliance within this extended timeframe.

**For and on behalf of
National Stock Exchange of India Limited**

**Ankit Kothari
Senior Manager**