

Manual for Online Registration of Trading Member

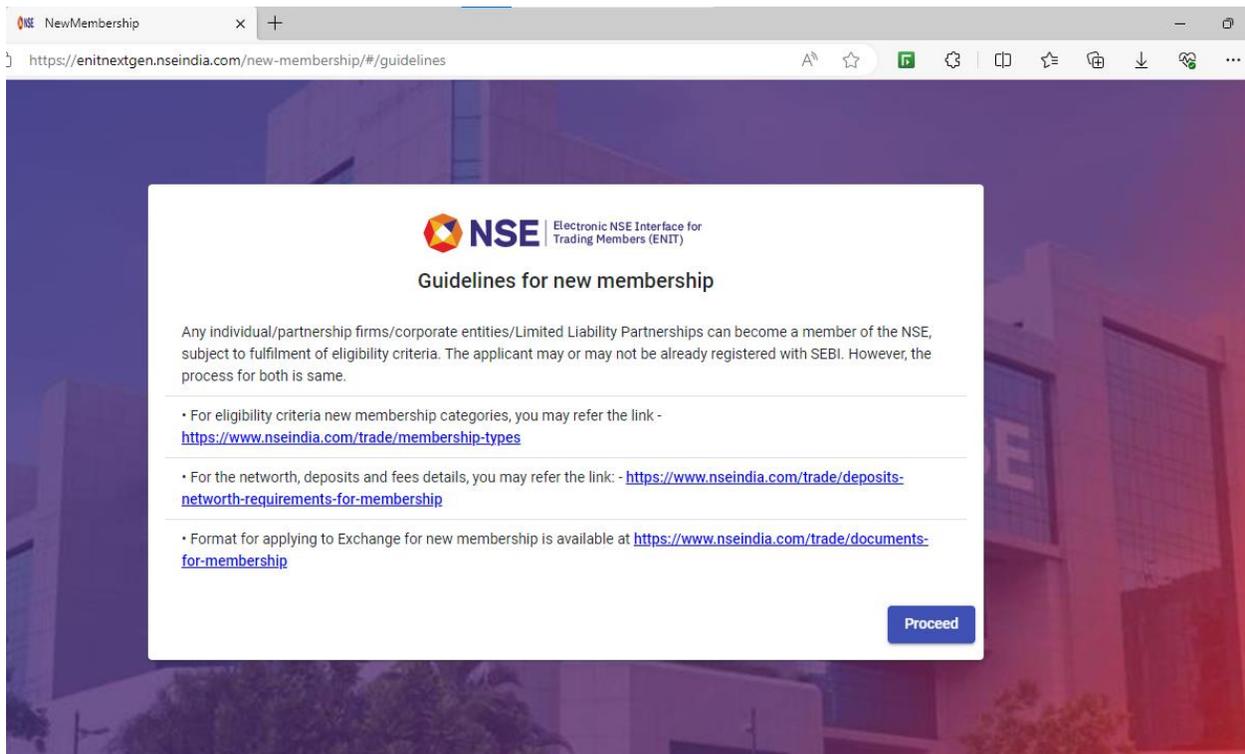
Step 1. Go to NSE website – www.nseindia.com and click on the tab – Trade - Become a member -Process &FAQs

The screenshot shows the NSE India website homepage. The 'TRADE' tab is selected in the navigation menu. A dropdown menu is open under 'TRADE', showing 'Become a Member' with a sub-menu containing 'Process & FAQs', 'Eligibility Criteria & Categories', 'Fees, Deposits & Networth', and 'Using ENIT'. A black arrow points to the 'Process & FAQs' link. Other sections include 'Resources for Members', 'Platforms & Services', 'Member's Home', 'Member Portal', and 'Exchange Communication'. A stock price chart and a banner for the 'NATIONAL FINANCIAL LITERACY QUIZ' are also visible.

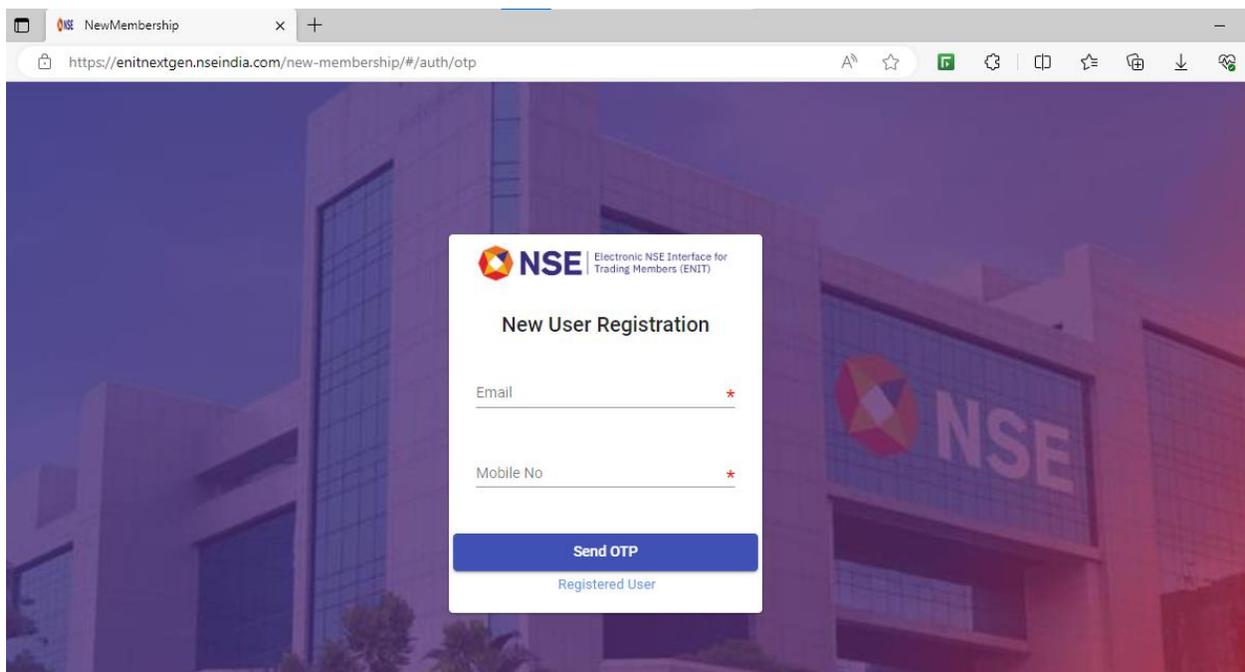
Step 2. The below screen shall appear, click on the link – “Apply for membership”

The screenshot shows the 'ADMISSION PROCESS' page on the NSE India website. The page title is 'ADMISSION PROCESS'. A sidebar on the left contains a menu with 'Become a Member' expanded, showing 'Processes', 'Admission Process', 'Set of Documents', 'Enablement', 'Authorised Persons', and 'Consolidated Circulars'. The main content area shows the 'Admission Process' section with the text: 'Any individual/partnership firms/corporate entities/Limited Liability Partnerships can become a member of the NSE, subject to fulfilment of eligibility criteria.' A link 'Apply for membership' is highlighted with a black arrow. Below it is a button 'Click here for FAQs' with a right-pointing arrow.

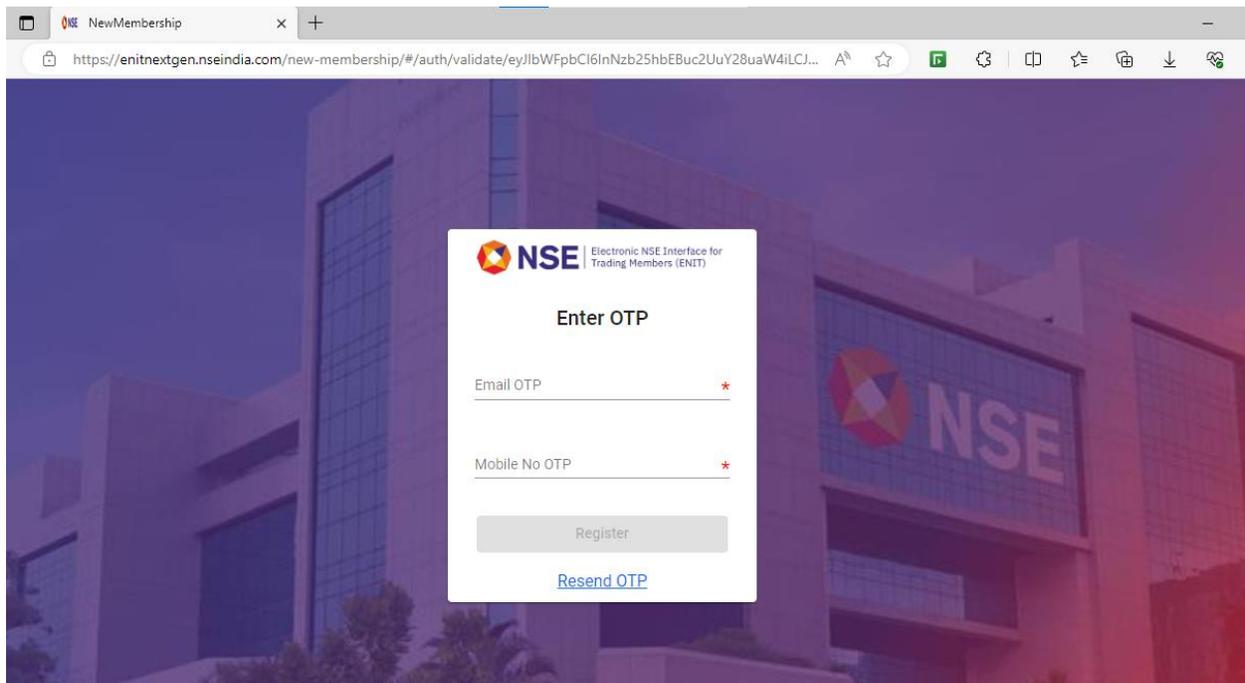
Step 3. The below screen shall appear, click on proceed.



Step 4. Enter email id and mobile no. for registration of new user on the portal.

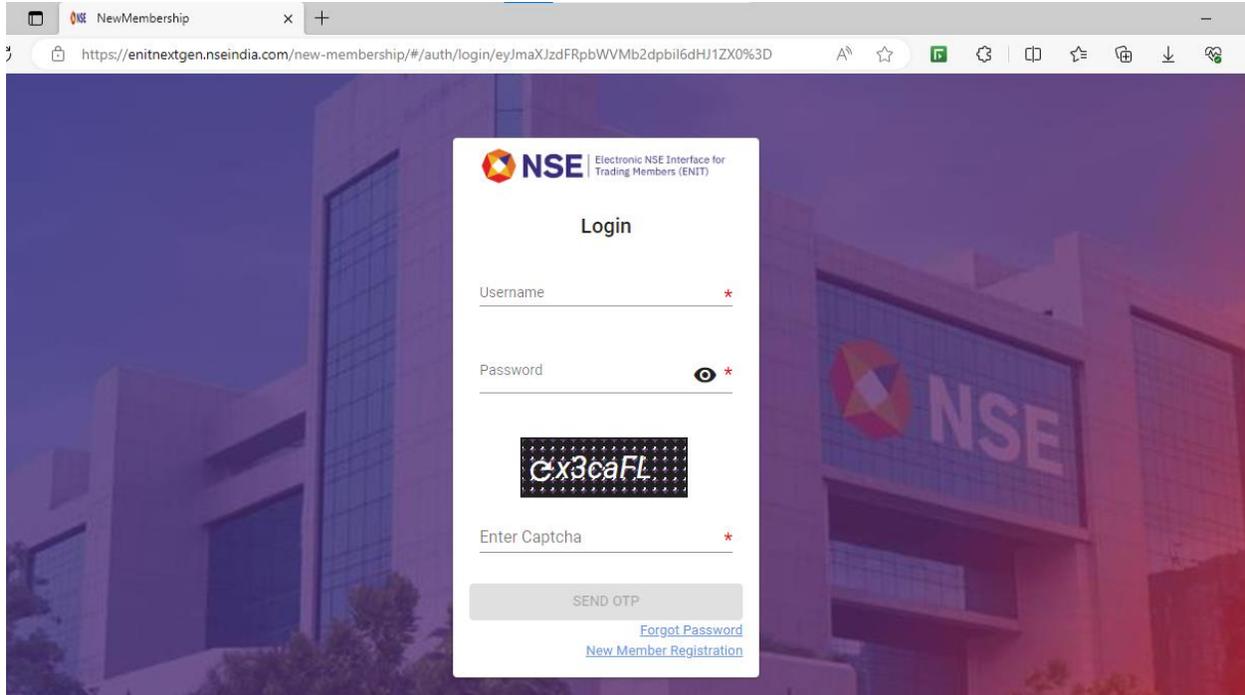


Step 5. Enter the OTP received on email id and mobile no. to register the user.



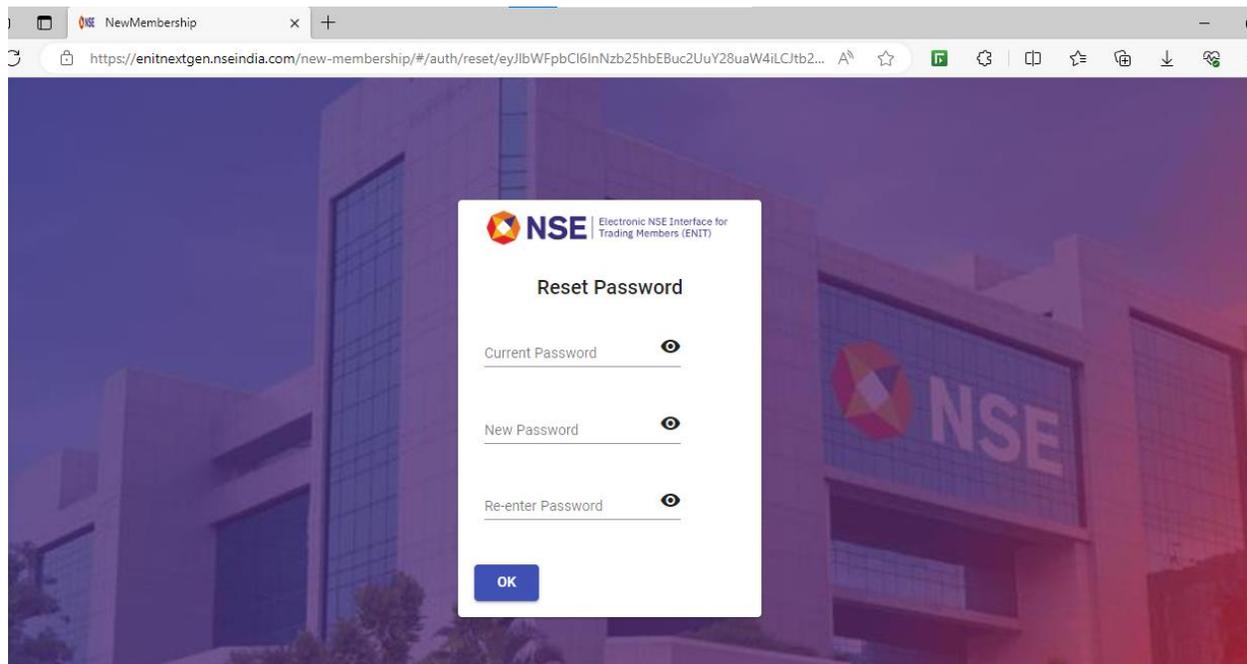
The screenshot shows a web browser window with the URL <https://enitnextgen.nseindia.com/new-membership/#/auth/validate/eyJlbWFpbCl6InNzb25hbEBuc2UuY28uaW4iLCJ...>. The page features a background image of the NSE building. A white modal form is centered on the screen with the NSE logo and the text "Electronic NSE Interface for Trading Members (ENIT)". The form is titled "Enter OTP" and contains two input fields: "Email OTP" and "Mobile No OTP", both marked with a red asterisk. Below the fields is a "Register" button and a "Resend OTP" link.

Step 6. Enter the user id and password received on the email id used for creating the user.



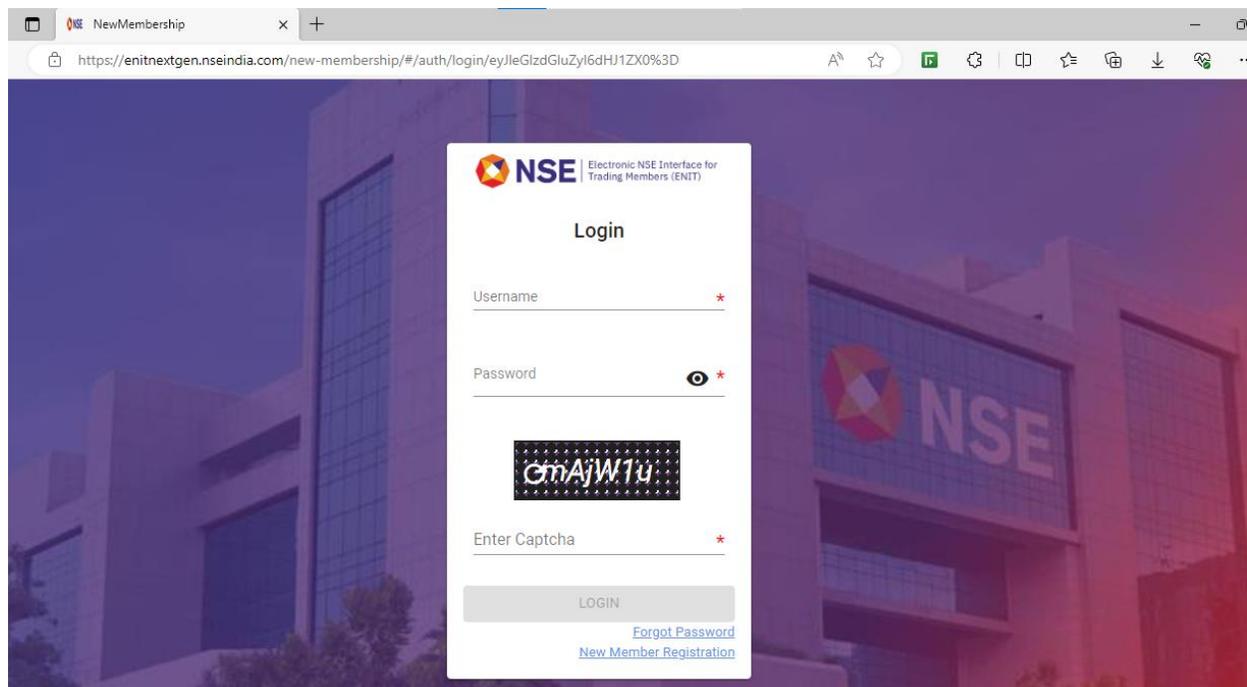
The screenshot shows a web browser window with the URL <https://enitnextgen.nseindia.com/new-membership/#/auth/login/eyJmaXJzdFRpbWVmb2dpbil6dHJ1ZX0%3D>. The page features a background image of the NSE building. A white modal form is centered on the screen with the NSE logo and the text "Electronic NSE Interface for Trading Members (ENIT)". The form is titled "Login" and contains three input fields: "Username", "Password", and "Enter Captcha", all marked with a red asterisk. The "Password" field has an eye icon. Below the fields is a "SEND OTP" button and two links: "Forgot Password" and "New Member Registration".

Step 7. Post entering the default user id and password, kindly reset the password.



The screenshot shows a web browser window with the URL <https://enitnextgen.nseindia.com/new-membership/#/auth/reset/eyJlbWFpbGl6InNzb25hbEBuc2UuY28uaW4iLCJtb2...>. The page displays a modal window titled "Reset Password" with the NSE logo and the text "Electronic NSE Interface for Trading Members (ENIT)". The form contains three input fields: "Current Password", "New Password", and "Re-enter Password", each with a toggle icon. A blue "OK" button is located at the bottom of the modal.

Step 8. Enter the new password to login to the portal.



The screenshot shows a web browser window with the URL <https://enitnextgen.nseindia.com/new-membership/#/auth/login/eyJleGlzdGluZyI6dHJ1ZX0%3D>. The page displays a modal window titled "Login" with the NSE logo and the text "Electronic NSE Interface for Trading Members (ENIT)". The form contains three input fields: "Username", "Password", and "Enter Captcha", each with a red asterisk indicating a required field. The "Password" field is filled with a masked password "cmAjW1u". A "LOGIN" button is located at the bottom of the modal. Below the button, there are two links: "Forgot Password" and "New Member Registration".

Step 9. Post entering the OTP, you will be able to login into the portal. Enter the applicant details.

Applicant Details

- Personnel Details
- NISM**
- Shareholding/Partnership Details
- Associate Details
- Compliance Officer Details
- Subsidiary Details
- Net-Worth Details
- Other Registrations
- Attachments
- Processing Fees
- Review & Submit

General Info

Name of the Applicant Max 100 Characters 0/100	Trade Name Max 100 Characters 0/100	Constitution Max 10 Characters	PAN No Max 10 Characters
CEO Name Max 100 Characters 0/100	Compliance Officer Name Max 100 Characters 0/100	PAN Card Copy Of The Applicant Kindly upload only pdf or zip file & File size should not be more than 2 MB	Date of Incorporation
Place of Incorporation	CIN/LLPIN Max 21 Characters 0/21	Clause No Max 20 Characters 0/20	Copy of Memorandum & Arti Kindly upload only pdf or zip file & File should not be more than 2 MB
Website Max 50 Characters 0/50	Date of Birth	Education Details Max 50 Characters 0/50	No. of Years of Experience In Max 3 Digits
Experience Details Max 50 Characters 0/50	Whether Registered As Stock Broker	Region	

Step 10. Enter the personnel details i.e. details of Sole proprietor/partners/directors.

Personnel Details

- Applicant Details
- Personnel Details**
- NISM
- Shareholding/Partnership Details
- Associate Details
- Compliance Officer Details
- Subsidiary Details
- Net-Worth Details
- Other Registrations
- Attachments
- Processing Fees
- Review & Submit

Particulars of Personnel

No. of Personnel Max 2 Characters 0/2
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Step 11. Enter NISM details:

Application No.
Status: DRAFT

- Applicant Details
- Personnel Details
- NISM
- Shareholding/Partnership Details
- Associate Details
- Compliance Officer Details
- Subsidiary Details
- Net-Worth Details
- Other Registrations
- Attachments
- Processing Fees
- Review & Submit

NISM

No. of NISM
1

Max 2 Characters 1/2

NISM 1

General Info

Name of the Sales Personnel / Approv... <small>Max 100 Characters</small>	PAN No <small>Max 10 Characters</small>	Certification Program <small>Max 100 Characters</small>	Date of Test* <small>0/100</small>	
Result <small>Result is required</small>	Percentage (%) <small>0/10</small>	Certificate No <small>Max 20 Characters</small>	Copy of Certificate <small>0/20</small>	<small>Kindly upload only pdf or zip file & File size should not be more than 2 MB</small>
Validity From* <small>0/3</small>	Validity To* <small>0/3</small>			

SAVE
RESET

Step 12. Enter details of the shareholder/partners.

- Applicant Details
- Personnel Details
- NISM
- Shareholding/Partnership Details
- Associate Details
- Compliance Officer Details
- Subsidiary Details
- Net-Worth Details
- Other Registrations
- Attachments
- Processing Fees
- Review & Submit

Particulars of Shareholders / Partners

No. of shareholders/Partners
2

Shareholder/Partner 1 Shareholder/Partner 2

Particulars of personnel

Type	Name of The Personnel <small>Max 100 Characters</small>	Date of Birth* <small>0/100</small>	Nationality	
Father's Name <small>Max 50 Characters</small>	PAN No <small>Max 10 Characters</small>	PAN Card <small>Kindly upload only pdf or zip file & File size should not be more than 2 MB</small>	No. Of Shares <small>Max 10 Digits</small>	
Face Value Of Shares (₹) <small>Max 10 Digits</small>	Shareholding Value/ Partnership Value (₹) <small>Max 10 Digits</small>	Shareholding Percentage / Partnership Capital... <small>Max 10 Digits</small>	Shareholding Pattern <small>Kindly upload only pdf or zip file & File size should not be more than 2 MB</small>	
Partnership Sharing Percentage (%) <small>Max 3 Characters</small>	Is this Promoter? <small>0/3</small>			

Address

SAVE
RESET

Step 13. Enter the details of the associate companies, if any.

Add New Associate

Particulars

Company Name Max 50 Characters 0/50	Registration No.-CIN/LLPIN Max 21 Characters 0/21	Nature of Business Max 50 Characters 0/50	Nationality Max 50 Characters 0/50
Pan No Max 10 Characters 0/10	Pan Card Kindly upload only pdf or zip file & File size should not be more than 2 MB	Nature of Interest in Applicant Company Max 20 Characters 0/20	Whether Registered With SEBI

Registered Address

Flat No Max 50 Characters 0/50	Building Name Max 50 Characters 0/50	Floor No Max 50 Characters 0/50	Street No Max 50 Characters 0/50
Landmark Max 50 Characters 0/50	City	District	State
Pin Code	Telephone No	Mobile No	Email

SAVE **RESET** **CLOSE**

Step 14. Enter the Compliance Officer details and ensure that the Compliance Officer should be in compliance with the Exchange circular ref. no. NSE/COMP/54600 dated Nov 25, 2022 .

Compliance Officer Details

Particulars of Compliance Officer

Name of the personnel Max 100 Characters 0/100	Date of Birth*	Nationality	Pan No Max 10 Characters 0/10
PAN Card Kindly upload only pdf or zip file & File size should not be more than 2 MB	Date of Appointment*	Education Max 50 Characters 0/50	NISM Series III A 0/50
NISM Certificate Kindly upload only pdf or zip file & File size should not be more than 2 MB	No of Years of Experience Max 3 Characters 0/3	Proof of Experience Kindly upload only pdf or zip file & File size should not be more than 2 MB	

Compliance Officer Address

SAVE **RESET**

Step 15. Enter the subsidiary details, if any:

Particulars			
Company Name Max 50 Characters 0/50	Registration No.-CIN/LLPIN Max 21 Characters 0/21	Nature of Business Max 50 Characters 0/50	Nationality 0/50
Pan No Max 10 Characters 0/10	Pan Card Kindly upload only pdf or zip file & File size should not be more than 2 MB	Nature of Interest in Applicant Company Max 20 Characters 0/20	Whether Registered With SEBI 0/20
Registered Address			
Flat No Max 50 Characters 0/50	Building Name Max 50 Characters 0/50	Floor No Max 50 Characters 0/50	Street No Max 50 Characters 0/50
Landmark Max 50 Characters 0/50	City	District	State
Pin Code	Telephone No	Mobile No	Email

SAVE
RESET
CLOSE

Step 16. Enter the networth details which should be based on audited financials (not older than 6 months):

Particulars Amount	
Network as on:	Network As On*
Capital (₹):	Capital (₹) * Max 10 Digits 0/10
Add: Free Reserves (₹):	Add: Free Reserves (₹) * Max 10 Digits 0/10
Total A:	Total A * Max 10 Digits 0/10
Less :Non- AllowableAssets (₹):	Less :Non- allowable assets (₹) * Max 10 Digits 0/10
Fixed Assets (₹):	Fixed Assets (₹) * Max 10 Digits 0/10
Pledged Securities (₹):	Pledged Securities (₹) * Max 10 Digits 0/10
Member's Card (₹):	Member's Card (₹) * Max 10 Digits 0/10
*Non-Allowable Securities	Non-allowable securities (unlisted securities) (₹) +

Step 17. Enter details of other registration of the applicant with SEBI.

Applicant Details	<p>Other Registrations With SEBI</p> <p>Whether the Applicant is registered with SEBI in any capacity?: _____ *</p> <p>Whether Details of Registration with any other Govt. Regulatory Body?: _____ *</p> <p>SAVE RESET</p>
Personnel Details	
NISM	
Shareholding/Partnership Details	
Associate Details	
Compliance Officer Details	
Subsidiary Details	
Net-Worth Details	
Other Registrations	

Step 18. Upload the required attachments on the portal. Ensure that the size of each pdf/zip file should not be more than 2MB.

Applicant Details	<p>Attachments</p> <p>Application Form _____ *</p> <p>Kindly upload only pdf or zip file & File size should not be more than 2 MB</p> <p>Form A _____ *</p> <p>Kindly upload only pdf or zip file & File size should not be more than 2 MB</p> <p>Form AD _____ *</p> <p>Kindly upload only pdf or zip file & File size should not be more than 2 MB</p> <p>Status Report From Other Exchange _____ *</p> <p>Kindly upload only pdf or zip file & File size should not be more than 2 MB</p> <p>Board Resolution _____ *</p> <p>Board Resolution _____ *</p> <p>Kindly upload only pdf or zip file & File size should not be more than 2 MB</p> <p>Experience Certificates For Designated Directors/Partners/Proprietor/compliance officer _____ *</p> <p>Kindly upload only pdf or zip file & File size should not be more than 2 MB</p> <p>Educational Certificates for Designated Directors/Partners/Proprietor/Compliance Officer _____ *</p> <p>Kindly upload only pdf or zip file & File size should not be more than 2 MB</p> <p>Networth Certificate _____ *</p> <p>Kindly upload only pdf or zip file & File size should not be more than 2 MB</p> <p>PAN Card Of _____</p>
Personnel Details	
NISM	
Shareholding/Partnership Details	
Associate Details	
Compliance Officer Details	
Subsidiary Details	
Net-Worth Details	
Other Registrations	
Attachments	
Processing Fees	
Review & Submit	

Step 19. Upload the details of payment of processing fees.

Applicant Details	<p>Fee Details</p> <p>Fee Payment Details</p> <table border="1"> <thead> <tr> <th>Sr.No</th> <th>Type of Fees</th> <th>Amount(INR)</th> <th>Date of Payment</th> <th>Bank Details</th> </tr> </thead> <tbody> <tr> <td>1</td> <td>PROCESSING_FEES</td> <td>11800</td> <td>10/01/2024</td> <td>Beneficiary Name - NATIONAL STOCK EXCHANGE OF INDIA LIMITED Name of the Bank - Name of the Branch - Nature of Account - Account number - IFSC Code of the Bank/Branch -</td> </tr> </tbody> </table> <p>Upload File</p> <p>Proof of Payment _____ * Payment Mode _____ * Name of the Bank _____ *</p> <p>Kindly upload only pdf or zip file & File size should not be more than 2 MB Max 100 Characters 0/100</p> <p>Payment Reference No _____ *</p> <p>Max 100 Characters 0/100</p>	Sr.No	Type of Fees	Amount(INR)	Date of Payment	Bank Details	1	PROCESSING_FEES	11800	10/01/2024	Beneficiary Name - NATIONAL STOCK EXCHANGE OF INDIA LIMITED Name of the Bank - Name of the Branch - Nature of Account - Account number - IFSC Code of the Bank/Branch -
Sr.No		Type of Fees	Amount(INR)	Date of Payment	Bank Details						
1		PROCESSING_FEES	11800	10/01/2024	Beneficiary Name - NATIONAL STOCK EXCHANGE OF INDIA LIMITED Name of the Bank - Name of the Branch - Nature of Account - Account number - IFSC Code of the Bank/Branch -						
Personnel Details											
NISM											
Shareholding/Partnership Details											
Associate Details											
Compliance Officer Details											
Subsidiary Details											
Net-Worth Details											
Other Registrations											
Attachments											
Processing Fees											

Step 20. Review the details provided and submit the application by clicking on “Submit” button.

The screenshot shows a web application interface for reviewing and submitting an application. On the left is a vertical navigation menu with the following items: Applicant Details, Personnel Details, NISM, Shareholding/Partnership Details, Associate Details, Compliance Officer Details, Subsidiary Details, Net-Worth Details, Other Registrations, Attachments, Processing Fees, and a highlighted 'Review & Submit' button. The main content area on the right displays a series of horizontal panels, each representing a section of the application. The panels are: Personnel Details (with a blue '1' notification bubble), NISM Details (with a blue '1' notification bubble), Net-worth Details, Shareholder Details, Associate Details, Subsidiary Details, Compliance Officer Details, Other than SEBI, and Attachments. At the bottom of the main content area, there is a checkbox with the text: I/We hereby undertake that the information provided is true, correct and adequate to enable the Exchange to take an informed decision. A 'SUBMIT' button is located in the bottom right corner of the main content area.

Step 21. Post submission of the application, the status of the application will be visible on the portal and applicant will get auto-generated emails in case of any change in the status of the application.

For assistance, please contact the helpdesk at 1800 266 0050 (Select IVR option 3) or email memcompliance_support@nse.co.in.