

National Stock Exchange of India

Circular

Department: Compliance	
Download Ref No: NSE/COMP/51325	Date: February 15, 2022
Circular Ref. No: 06/2022	

To All Members,

Sub: Declaration as Defaulter and Expulsion of Trading Member - C. M. GOENKA STOCK BROKERS PVT. LTD.

All members are hereby informed that the following trading member has been expelled from the membership of the Exchange under Rules 1 and 2 of Chapter IV of NSEIL Rules and declared defaulter under Byelaw 1(a) of Chapter XII of the NSEIL Byelaws with immediate effect:

Sr. No.	Name of the Trading Member	SEBI registration number
1	C. M. GOENKA STOCK BROKERS PVT. LTD.	INZ000251131

Member's attention is also drawn to the sub-rule 4(A) & 5 under Rule 8 of Securities Contract (Regulations) Rule, 1957 (SCRR) which provides for disqualification on persons from holding the office of a Director/Partner in a company/firm if such persons had previously held the office of the Directors/partners in any company/firm which had been a Member of the Exchange and has been declared defaulter or expelled by the stock exchange. The list of directors of the aforesaid trading member is enclosed as **Annexure-A**.

Further, as per the Exchange records, the Authorized Persons (mentioned in **Annexure B**) affiliated with the aforesaid trading member, are henceforth not authorized to deal in that capacity.

All the trading members of the Exchange are requested to comply with the relevant applicable provisions of Chapter IV of NSEIL Rules and Chapter XII of the NSEIL Byelaws in respect of their transactions with the above-mentioned trading member.

Members and investors are required to take note of the same.

For and on behalf of
National Stock Exchange of India Limited

Manasi Sawant
Senior Manager

National Stock Exchange of India

Annexure A

List of Directors of C. M. GOENKA STOCK BROKERS PVT. LTD.

Sr. No.	Name of the Director	PAN
1	OM PRAKASH GOENKA	ACCPG2083K
2	KAPIL GOENKA	AEOPG2686J

National Stock Exchange of India

Annexure B

List of Authorised Persons of C. M. GOENKA STOCK BROKERS PVT. LTD.

Sr.no.	AP Name	AP Registration No	Segment
1	ARUNA SOGANI	AP1993000051	CD
2	NEHA MODI	AP1993000061	CD
3	VC VENTURES LLP	AP1993000019	CD
4	SHRI GIRDHAR SHARMA	AP1993000211	CD
5	JOSHI APLESHKUMAR PRABHASHANKAR	AP1993000241	CD
6	MAYANK SOMANI	AP1993000251	CD
7	KALEEM AHMED SHAH	AP1993000021	CM
8	ARUNA SOGANI	AP1993000051	CM
9	NEHA MODI	AP1993000061	CM
10	TUSHAR PARASHER	AP1993000081	CM
11	NUPUR TOTLA	AP1993000101	CM
12	RICHA BHOJNAGARWALA	AP1993000141	CM
13	GEETA SETHI	AP1993000151	CM
14	VC VENTURES LLP	AP1993000019	CM
15	JITENDRA VYAS	AP1993000201	CM
16	SHRI GIRDHAR SHARMA	AP1993000211	CM
17	SWETA BAKIWALA	AP1993000221	CM
18	ISHWAR DUTT RAWAL	AP1993000231	CM
19	JOSHI APLESHKUMAR PRABHASHANKAR	AP1993000241	CM
20	MAYANK SOMANI	AP1993000251	CM
21	KESHAV GUPTA	AP1993000261	CM
22	ARVIND BAID	AP1993000281	CM
23	AMITA SHARMA	AP1993000291	CM
24	KALEEM AHMED SHAH	AP1993000021	F&O
25	ARUNA SOGANI	AP1993000051	F&O
26	NEHA MODI	AP1993000061	F&O
27	RICHA BHOJNAGARWALA	AP1993000141	F&O
28	VC VENTURES LLP	AP1993000019	F&O

National Stock Exchange of India

Sr.no.	AP Name	AP Registration No	Segment
29	JITENDRA VYAS	AP1993000201	F&O
30	SHRI GIRDHAR SHARMA	AP1993000211	F&O
31	JOSHI APLESHKUMAR PRABHASHANKAR	AP1993000241	F&O
32	MAYANK SOMANI	AP1993000251	F&O
33	KESHAV GUPTA	AP1993000261	F&O
34	AMITA SHARMA	AP1993000291	F&O