

National Stock Exchange of India

Circular

Department: Compliance	
Download Ref No: NSE/COMP/51141	Date: January 27, 2022
Circular Ref. No: 03/2022	

To All Members,

Sub: Declaration as Defaulter and Expulsion of Trading Member - OMKAM CAPITAL MARKETS PRIVATE LIMITED

All members are hereby informed that the following trading member has been expelled from the membership of the Exchange under Rules 1 and 2 of Chapter IV of NSEIL Rules and declared defaulter under Byelaw 1(a) of Chapter XII of the NSEIL Byelaws w.e.f. January 28, 2022, before market hours:

Sr. No.	Name of the Trading Member	SEBI registration number
1	OMKAM CAPITAL MARKETS PRIVATE LIMITED	INB230907934 (CM, Debt segment), INF230907934 (F&O segment), NSE230907934 (CD segment)

Member's attention is also drawn to the sub-rule 4(A) & 5 under Rule 8 of Securities Contract (Regulations) Rule, 1957 (SCRR) which provides for disqualification on persons from holding the office of a Director/Partner in a company/firm if such persons had previously held the office of the Directors/partners in any company/firm which had been a Member of the Exchange and has been declared defaulter or expelled by the stock exchange. The list of directors of the aforesaid trading member is enclosed as **Annexure-A**.

Further, as per the Exchange records, the Authorized Persons (mentioned in **Annexure B**) affiliated with the aforesaid trading member, are henceforth not authorized to deal in that capacity.

All the trading members of the Exchange are requested to comply with the relevant applicable provisions of Chapter IV of NSEIL Rules and Chapter XII of the NSEIL Byelaws in respect of their transactions with the above-mentioned trading member.

Members and investors are required to take note of the same.

For and on behalf of
National Stock Exchange of India Limited

Manasi Sawant
Senior Manager

National Stock Exchange of India

Annexure A

List of Directors of OMKAM CAPITAL MARKETS PRIVATE LIMITED

Sr. No.	Name of the Director	PAN
1	PEEYUSH AGGARWAL	AACPA6470C
2	SACHIN GARG	AJGPG9009C

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Annexure B

List of Authorised Persons of OMKAM CAPITAL MARKETS PRIVATE LIMITED

Sr.no.	Name of Authorised Person	AP Code	Segments
1	EXCELSIOR PLANNING AND MANAGEMENT PRIVATE LIMITED	AP1048000043	CD
2	NEELIMA JAIN	AP1048000021	CD
3	RAJ KUMAR GUPTA	AP1048000051	CD
4	SARITA SHARMA	AP1048000061	CD
5	SUNITA VIDYARTHI	AP1048000031	CD
6	BDS SHARE BROKERS PRIVATE LIMITED	AP1048000073	CM
7	EXCELSIOR PLANNING AND MANAGEMENT PRIVATE LIMITED	AP1048000043	CM
8	INDERPAL SINGH	AP1048000011	CM
9	NEELIMA JAIN	AP1048000021	CM
10	RAJ KUMAR GUPTA	AP1048000051	CM
11	SARITA SHARMA	AP1048000061	CM
12	EXCELSIOR PLANNING AND MANAGEMENT PRIVATE LIMITED	AP1048000043	FO
13	INDERPAL SINGH	AP1048000011	FO
14	NEELIMA JAIN	AP1048000021	FO
15	RAJ KUMAR GUPTA	AP1048000051	FO
16	SARITA SHARMA	AP1048000061	FO