

National Stock Exchange Of India Limited**DEPARTMENT: COMPLIANCE**

Download Ref No: NSE/COMP/49797

Date: October 01, 2021

Circular Ref. No: 83/2021

To All Members,

Sub: Change in Compliance officer and Key Managerial Personnel (KMP)

This has reference to Regulation 18A of Chapter IV of SEBI (Stock-Broker) regulation, 1992 and NSE circular NSE/MEM/3441 dated June 14, 2002, wherein all Members are required to appoint a compliance officer and intimate the details to the Exchange.

Member's attention is also drawn to SEBI circular SEBI/HO/MIRSD/MIRSD2/CIR/P/2016/95 dated September 26, 2016, on Enhanced Supervision of Stock-Brokers/Depository Participants wherein members are required to identify their Key Management Personnel (KMP) and update their PAN details on the Exchange platform.

Currently, the aforementioned intimation/updatons are undertaken through the ENIT application of the Exchange. In order to enhance the Member experience & convenience, we are pleased to inform that the modules/process related to intimation/updation of compliance officer and KMP details have been migrated from the existing ENIT application to the new enhanced digital portal (<https://digitalexchange.nseindia.com>), details of which were communicated to the market vide circular NSE/CC/49580 dated September 14, 2021.

All Members are hereby informed that, w.e.f October 04, 2021, intimation of compliance officer and KMP details and any changes thereof, shall be done through the said digital portal only. The existing functionality for reporting through ENIT will be disabled and the same will not be available from the said date. As informed in our circular NSE/CC/49580 dated September 14, 2021, Members are advised to create the user IDs for membership compliance users, using the self-help feature in the new digital portal, in order to access the said modules.

The manuals for accessing the portal and intimating the changes are enclosed as under:

- i. Steps to login and create new users on the digital portal- **Annexure-A**
- ii. Reporting of compliance officer details & changes: **Annexure-B**
- iii. Reporting of KMP details & changes: **Annexure-C**

All Members are advised to take note of the above and intimate all upcoming changes in the Compliance office and KMPs through the said digital portal only. For any support, please reach out to the helpdesk on 1800 266 0050 (Select IVR option 3) or email at compliance_mem@nse.co.in.

For and on behalf of
National Stock Exchange of India Limited

Srijith Menon
Associate Vice President