

National Stock Exchange Of India Limited**DEPARTMENT: COMPLIANCE**

Download Ref No: NSE/COMP/49762

Date: October 01, 2021

Circular Ref. No: 82/2021

To All Members,

Sub: Reporting for Artificial Intelligence (AI) and Machine Learning (ML) applications and systems offered and used by market intermediaries

This has reference to SEBI circular SEBI/HO/MIRSD/DOS2/CIR/P/2019/10 dated January 04, 2019, and Exchange circular NSE/COMP/40174 dated February 07, 2019 regarding the Reporting for Artificial Intelligence (AI) and Machine Learning (ML) applications and systems offered and used by market intermediaries.

Currently, the aforementioned reporting is undertaken through the ENIT application of the Exchange. In order to enhance the Member experience & convenience, we are pleased to inform that the module/process related to reporting for Artificial Intelligence (AI) and Machine Learning (ML) applications and systems offered and used by market intermediaries have been migrated from the existing ENIT application to the new enhanced digital portal (<https://digitalexchange.nseindia.com>), details of which was communicated to the market vide circular NSE/CC/49580 dated September 14, 2021.

All Members are hereby informed that reporting of AI and ML applications/systems for the quarter ended Sep 2021 onwards, shall be done only through the said digital portal w.e.f October 04, 2021. The existing functionality for reporting through ENIT will be disabled and will not be available from the mentioned date. As informed in our circular NSE/CC/49580 dated September 14, 2021, Members are advised to create the user IDs for membership compliance users, using the self-help feature in the new digital portal, in order to access the said modules.

The manuals for accessing the portal and intimating the changes are enclosed as under:

- i. Steps to login and create new users on the digital portal- **Annexure-A**
- ii. Reporting for Artificial Intelligence (AI) and Machine Learning (ML) applications and systems offered and used by market intermediaries: **Annexure-B**

All Members are advised to take note of the above and submit the report for the **quarter ended September 30, 2021**, through the said digital portal only. The link for submission shall be activated from October 04, 2021, till October 15, 2021. For any support, please reach out to the helpdesk on 1800 266 0050 (Select IVR option 3) or email at compliance_mem@nse.co.in.

For and on behalf of
National Stock Exchange of India Limited

Srijith Menon
Associate Vice President