NSE

Circular

National Stock Exchange Of India Limited

Department : COMPLIANCE	
Download Ref No: NSE/COMP/49196	Date : August 05, 2021
Circular Ref. No: 70/2021	

To All Members,

Sub: - Uniformity in application formats for change in Director/Partner and Compliance Officer

This has reference to Exchange circulars NSE/MEM/13964 dated January 22, 2010, and NSE/MEM/18010 dated June 08, 2011, for seeking prior approval of the Exchange for change in Directors and circular NSE/MEM/3441 dated June 14, 2002, regarding intimation of compliance officer or any changes therein, to the Exchange.

In order to simplify the application process and ensure uniformity in the documentation, revised uniform application formats for the following activities, have been introduced, under the guidance of SEBI and in consultation with other Exchanges: -

1) Change in Designated Directors (for Corporates);
2) Change Non-Designated Directors (for Corporates);
3) Change in Designated Partners & Non-Designated Partners (for LLPs);
4) Change in Designated /Managing Partners & Partners (for Partnership Firms);
5) Change in Compliance officer.

The new formats are available on our website at following link under that under the section "Change in Member Details": -

https://www.nseindia.com/trade/membership-formats

The new formats will be applicable for all the applications/intimations received on or after 06-Aug-2021.

All Members are advised to take note of the same and comply.

For and on behalf of
National Stock Exchange of India Limited

Srijith Menon
Associate Vice President

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