

National Stock Exchange Of India Limited**Department : COMPLIANCE**

Download Ref No: NSE/COMP/47858

Date : April 01, 2021

Circular Ref. No: 39/2021

To All Members,

Sub: Reporting for Artificial Intelligence (AI) and Machine Learning (ML) applications and systems offered and used by market intermediaries

This is further to the Exchange Circulars NSE/COMP/40174 dated February 07, 2019 and NSE/COMP/46887 dated January 01, 2021 regarding the Reporting of Artificial Intelligence (AI) and Machine Learning (ML) applications and systems offered and used by market intermediaries.

Members are advised to submit the report for the quarter ended March 31, 2021 through NEW ENIT-COMPLIANCE – ‘ML and AI’ (link for the same shall be activated till April 15, 2021). The procedure for upload through NEW ENIT-COMPLIANCE is given as Annexure.

Members who don't use AI-ML systems are also required to submit a “NIL” report. Please refer the Annexure and follow the instructions for such reporting.

All members are advised to ensure submission on or before April 15, 2021.

For and on behalf of
National Stock Exchange of India Limited

Srijith Menon
Associate Vice President

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