

National Stock Exchange Of India Limited**Department : COMPLIANCE**

Download Ref No: NSE/COMP/46887

Date : January 01, 2021

Circular Ref. No: 01/2021

To All Members,

Sub: Reporting for Artificial Intelligence (AI) and Machine Learning (ML) applications and systems offered and used by market intermediaries

This is further to the Exchange Circulars NSE/COMP/40174 dated February 07, 2019 and NSE/COMP/45921 dated October 01, 2020 regarding the Reporting of Artificial Intelligence (AI) and Machine Learning (ML) applications and systems offered and used by market intermediaries.

Members are advised to submit the report for the quarter ended December 31, 2020. The submission is required through NEW ENIT-COMPLIANCE – ‘ML and AI’ (link for the same is activated till January 15, 2021), procedure for upload through NEW ENIT-COMPLIANCE is given as Annexure.

Members who don't use AI-ML systems are also required to submit the report. Please refer the Annexure and follow the instructions for such reporting.

All members are advised to ensure submission on or before January 15, 2021.

For and on behalf of
National Stock Exchange of India Limited

Srijith Menon
Associate Vice President

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