

National Stock Exchange Of India Limited**Department : COMPLIANCE**

Download Ref No: NSE/COMP/43641

Date : February 25, 2020

Circular Ref. No: 16/2020

To All Members,

Sub: Updation of PAN details of KMP and Directors

As part of compliance with respect to Enhanced Supervision of Stock Brokers/Depository Participants, members are required to identify their KMP (Key Management Personnel) and update their PAN details alongwith the PAN details of their Directors on the Exchange portal.

All members are required to note that they have to upload PAN copy against each and every Director under Directors Details module on ENIT New Compliance alongwith updation of KMP(s) details. Members can identify and declare any one or more personnel as their KMP(s) from the given list as per their constitution: CEO or MD or Manager, Company Secretary, CFO, Director(s), Compliance Officer, Proprietor, Partners and any other competent personnel as identified by the member.

The functionality to upload the same has been provided on ENIT New Compliance on Member Portal. Detailed procedure is explained at Annexure I.

Members who have already submitted the details and have no changes to update need not make any further submission. However, members who are yet to submit the details or have changes to be updated are required to comply with the aforementioned requirement latest by April 30, 2020 and failing to submit/update the PAN details of their KMP(s) and Directors latest by April 30, 2020 will be treated as non-compliance and attract a penalty of Rs. 5,000 /- in accordance to our circular ref. no. NSE/INSP/36248 dated November 06, 2017. Members are also required to note that any change in the aforesaid information/details has to be updated within 7 days of such change. Failure to adhere will be treated as non-compliance.

In case of any clarifications, members are requested to contact the Membership Compliance department at toll free no. 1800 266 0050 or at email id: compliance_mem@nse.co.in.

For and on behalf of

National Stock Exchange of India Limited**Nipa Simaria****Membership Compliance**

Telephone No.	Email ID
1800 266 0050	compliance_mem@nse.co.in