

National Stock Exchange Of India Limited**Department : COMPLIANCE**

Download Ref No: NSE/COMP/43091

Date : January 01, 2020

Circular Ref. No: 02/2020

To All Members

Sub: Submission of compliance certificate for the quarter ended December 2019

This is further to the Exchange Circular NSE/COMP/42281 dated October 01, 2019 requiring members to submit a quarterly compliance certificate.

The members are requested to submit the compliance certificate for the quarter ended December 2019 confirming the status of compliance with requirements relating to “Limit setting at the time of order placement”. The submission is required through NEW ENIT-COMPLIANCE only (link for the same will be activated from January 02, 2020 till January 16, 2020), procedure for upload through NEW ENIT-COMPLIANCE is given at Annexure.

All members are requested to ensure compliance with the requirement of compliance certificate submission on or before January 16, 2020.

For and on behalf of
National Stock Exchange of India Limited

Nipa Simaria
Associate Vice President

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