

National Stock Exchange Of India Limited**Department : COMPLIANCE**

Download Ref No: NSE/COMP/42281

Date : October 01, 2019

Circular Ref. No: 42/2019

To All Members

Sub: Submission of compliance certificate for the quarter ended September 2019

This is further to the Exchange Circular NSE/COMP/41478 dated July 01, 2019 requiring members to submit a quarterly compliance certificate.

The members are requested to submit the compliance certificate for the quarter ended September 2019 confirming the status of compliance with requirements relating to “Limit setting at the time of order placement”. The submission is required through NEW ENIT-COMPLIANCE only (link for the same will be activated from October 03, 2019 till October 17, 2019), procedure for upload through NEW ENIT-COMPLIANCE is given at Annexure.

All members are requested to ensure compliance with the requirement of compliance certificate submission on or before October 17, 2019.

For and on behalf of
National Stock Exchange of India Limited

Nipa Simaria
Associate Vice President
Membership Compliance

Telephone No	Email id	
1800 266 0050	compliance_mem@nse.co.in	