

National Stock Exchange Of India Limited**Department : COMPLIANCE**

Download Ref No: NSE/COMP/42273

Date : October 01, 2019

Circular Ref. No: 41/2019

To All Members,

Sub: Reporting for Artificial Intelligence (AI) and Machine Learning (ML) applications and systems offered and used by market intermediaries

This is further to the Exchange Circular NSE/COMP/40174 dated February 07, 2019 and NSE/COMP/41475 dated July 01, 2019 regarding the Reporting for Artificial Intelligence (AI) and Machine Learning (ML) applications and systems offered and used by market intermediaries.

The members are requested to submit the report for the quarter ended September 2019. The submission is required through NEW ENIT-COMPLIANCE – ‘ML and AI’ (link for the same is activated till October 15, 2019), procedure for upload through NEW ENIT-COMPLIANCE is given as Annexure.

The members who don't use AI-ML systems are also required to submit the report. Please refer the Annexure and follow the instructions for such reporting.

All members are requested to ensure submission on or before October 15, 2019.

For and on behalf of
National Stock Exchange of India Limited

Nipa Simaria
Associate Vice President

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