

National Stock Exchange Of India Limited**Department : COMPLIANCE**

Download Ref No: NSE/COMP/40440

Date : March 13, 2019

Circular Ref. No: 17/2019

To All Members,

Sub: System Infrastructure & Software

It has come to the notice of the Exchange that some members are using outdated software and system infrastructure in their securities businesses including operating systems.

SEBI (Stock-Brokers & Sub-Brokers) Regulations, 1992 requires all members to have necessary infrastructure like adequate office space, equipment and man power to effectively discharge their activities. Regulation 4.1.4 of NSEIL CM, FO & Commodity Derivatives regulations also prescribes that each trading member shall at all times maintain such infrastructure, staff, communication facilities and records so as to be able to service his constituents satisfactorily.

In view of the same, all members are hereby advised to upgrade their operating systems & software, installed if any, and use only the optimal versions in compliance with the various SEBI/Exchange Bye-laws, Rules, Regulations & circulars issued from time to time.

All members are requested to take note and comply.

For and on behalf of

National Stock Exchange of India Limited**Nipa Simaria****Associate Vice President**

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