

National Stock Exchange Of India Limited**Department : COMPLIANCE**

Download Ref No: NSE/COMP/39829

Date : January 01, 2019

Circular Ref. No: 01/2019

To All Members

Sub: Submission of compliance certificate for the quarter ended December 2018

This is further to the Exchange Circular NSE/COMP/39029 dated October 03, 2018 requiring members to submit a quarterly compliance certificate.

The members are requested to submit the compliance certificate for the quarter ended December 2018 confirming the status of compliance with requirements relating to “Limit setting at the time of order placement”. The submission is required through NEW ENIT-COMPLIANCE only (link for the same will be activated from January 02, 2019 till January 17, 2019), procedure for upload through NEW ENIT-COMPLIANCE is given at Annexure.

All members are requested to ensure compliance with the requirement of compliance certificate submission on or before January 17, 2019.

For and on behalf of
National Stock Exchange of India Limited

Dr. Dinesh Kumar Soni
VP & Head-Inspection, Membership & Exchange Compliance

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