

**National Stock Exchange Of India Limited**

| <b>DEPARTMENT : COMPLIANCE</b>     |                        |
|------------------------------------|------------------------|
| Download Ref. No. : NSE/COMP/39029 | Date : October 3, 2018 |
| Circular Ref. No. : 59/2018        |                        |

To All Members

**Sub: Submission of compliance certificate for the quarter ended September 2018**

This is further to the Exchange Circular NSE/COMP/38200 dated June 29, 2018 requiring members to submit a quarterly compliance certificate.

The members are requested to submit the compliance certificate for the quarter ended September 2018 confirming the status of compliance with requirements relating to “Limit setting at the time of order placement”. The submission is required through NEW ENIT-COMPLIANCE only (link for the same will be activated from October 3, 2018 till October 18, 2018), procedure for upload through NEW ENIT-COMPLIANCE is given at Annexure.

All members are requested to ensure compliance with the requirement of compliance certificate submission on or before October 18, 2018.

**For and on behalf of**  
**National Stock Exchange of India Limited**

**Nipa Simaria**  
**Associate Vice President**

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