

NATIONAL STOCK EXCHANGE OF INDIA LIMITED

DEPARTMENT : COMPLIANCE	
Download Ref No : NSE/COMP/38200	Date : June 29, 2018
Circular Ref. No : 39/2018	

To All Members

Sub: Submission of compliance certificate for the quarter ended June 2018

This is further to the Exchange Circular NSE/COMP/37350 dated March 28, 2018 requiring members to submit a quarterly compliance certificate.

The members are requested to submit the compliance certificate for the quarter ended June 2018 confirming the status of compliance with requirements relating to “Limit setting at the time of order placement”. The submission is required through NEW ENIT-COMPLIANCE only (link for the same will be activated from July 02, 2018 till July 16, 2018), procedure for upload through NEW ENIT-COMPLIANCE is given at Annexure.

All members are requested to ensure compliance with the requirement of compliance certificate submission on or before July 16, 2018.

For and on behalf of
National Stock Exchange of India Limited

Nipa Simaria
Associate Vice President

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