

NATIONAL STOCK EXCHANGE OF INDIA LIMITED

DEPARTMENT : COMPLIANCE	
Download Ref No : NSE/COMP/37350	Date : March 28, 2018
Circular Ref. No : 20/2018	

To All Members

Sub: Submission of compliance certificate for the quarter ended March 2018.

This is further to the Exchange Circular NSE/COMP/36637 dated December 29, 2017 requiring members to submit a quarterly compliance certificate.

The members are requested to submit the compliance certificate for the quarter ended March 2018 confirming the status of compliance with requirements relating to “Limit setting at the time of order placement”. The submission is required through NEW ENIT-COMPLIANCE only (link for the same will be activated from April 02, 2018 till April 16, 2018), procedure for upload through NEW ENIT-COMPLIANCE is given at Annexure.

All members are requested to ensure compliance with the requirement of compliance certificate submission on or before April 16, 2018.

For and on behalf of
National Stock Exchange of India Limited

Nipa Simaria
Chief Manager

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