
NATIONAL STOCK EXCHANGE OF INDIA LIMITED

DEPARTMENT : COMPLIANCE	
Download Ref No : NSE/COMP/36637	Date : December 29, 2017
Circular Ref. No : 388/2017	

To All Members

Sub: Submission of compliance certificate for the quarter ended December 2017.

This is further to the Exchange Circular NSE/COMP/35964 dated September 29, 2017 requiring members to submit a quarterly compliance certificate.

The members are requested to submit the compliance certificate for the quarter ended December 2017 confirming the status of compliance with requirements relating to “Limit setting at the time of order placement”. The submission is required through NEW ENIT-COMPLIANCE only (link for the same will be activated from 01 January, 2018 till 15 January, 2018), procedure for upload through NEW ENIT-COMPLIANCE is given at Annexure.

All members are requested to ensure compliance with the requirement of compliance certificate submission on or before 15 January, 2018.

For and on behalf of
National Stock Exchange of India Limited

Nipa Simaria
Chief Manager

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