

NATIONAL STOCK EXCHANGE OF INDIA LIMITED

DEPARTMENT : COMPLIANCE	
Download Ref No : NSE/COMP/35989	Date : October 03, 2017
Circular Ref. No : 371/2017	

To All Members,

Sub.: SEBI Single Registration for Stock Brokers

This is in continuation to our circular ref. no. NSE/COMP/34786 dated May 04, 2017.

SEBI has intimated that stock brokers with registration numbers starting with INB, INE, INF, INZ01, INZ23, INZ26 or any registration number not starting with INZ00 will have to apply for single registration before making application for any one or more of the below mentioned processes on SEBI Intermediaries portal:

1. Additional Segment Approval - Exchange /Clearing Corporation/Clearing House
2. Segmental Surrender - Exchange /Clearing Corporation/Clearing House
3. Conversion of Membership
4. Transmission of Membership
5. Prior Approval/Change in control
6. Request NOC for Overseas Subsidiaries
7. Update Details
8. Change in Name & Address
9. Loss of Certificate
10. Mutilation of Certificate
11. Merger/De-merger

SEBI Intermediaries portal do not have the provision of uploading application(s) for any of the above mentioned processes for members except those holding INZ00 registration number. Application format for applying for single registration will be available at the following path on NSE website w.e.f. October 05, 2017: www.nseindia.com>Membership>Existing Membership>Formats> Format for Single SEBI Registration. The same is also attached herewith for ready reference at Annexure I. However, any revision henceforth in the format will be available only on the mentioned path.

All the members are requested to take note of the same and ensure adequate compliance.

In case of any clarifications/suggestions, members are requested to contact the Membership Compliance Department at toll free no. 1800 22 00 51 or at email id: compliance_mem@nse.co.in.

For and on behalf of
National Stock Exchange of India Limited

Kapil Jaikalyani
Senior Manager

Telephone No	Fax No.	Email id
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